

DFT rooted NCCI approach and its applications to $N \sim Z$ nuclei

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Frontiers in nuclear structure theory:

- golden decade of ab initio methods
- spectacular developments in (SR) DFT, TD-DFT or MR-DFT-rooted approaches
 - new developments (in particular the DFT-rooted NCCI) and the physics highlights (personal selection)
- vivid activity and spectacular progress in large-scale calculations using shell-model, RPA, collective models...



Final remarks and perspectives



FUSTIPEN

French-U.S. Theory Institute for Physics with Exotic Nuclei

Physics of Hadrons

Degrees of Freedom

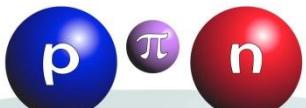


LQCD

quarks, gluons

quark
models

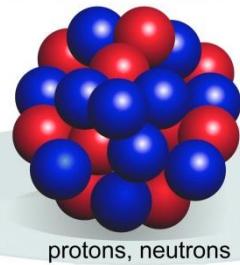
constituent quarks



ab initio

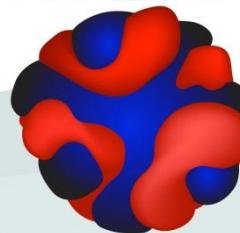
baryons, mesons

CI



protons, neutrons

DFT



collective
and
algebraic
models

nucleonic densities
and currents

collective coordinates

Energy (MeV)

940
neutron mass

140
pion mass

8
proton separation
energy in lead

1.12
vibrational
state in tin

0.043
rotational state in uranium

Resolution

Effective (field) theories

Hot and dense quark-gluon
matter
Hadron structure

Hadron-Nuclear
interface

Nuclear structure &
reactions

Third Law of Progress in Theoretical Physics by Weinberg:

"You may use any degrees of freedom you like to describe a physical system, but if you use the wrong ones, you'll be sorry!"

Effective or low-energy (low-resolution) theory explores separation of scales. Its formulation requires:

in coordinate space:

→define R to separate short- and long-distance physics

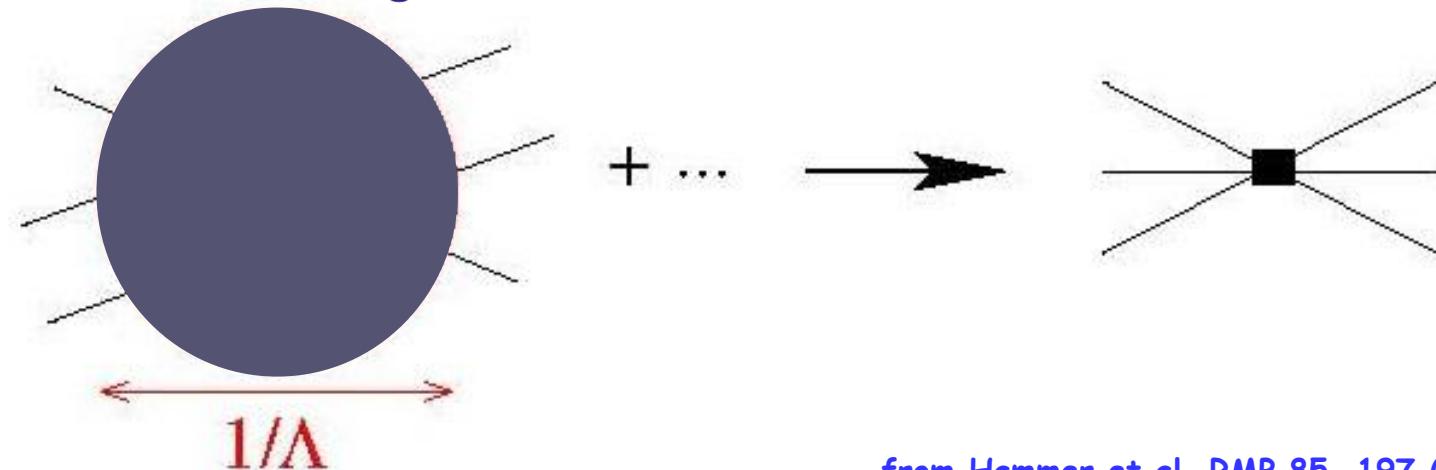
or, in momentum space:

→define Λ ($1/R$) to separate low and high momenta

replace (complicated and, in nuclear physics, unknown) short distance (or high momentum) physics by a LCP (local correcting potential)

(there is a lot of freedom how this is done concerning both the scale and form but physics is (should be!) independent on the scheme!!!)

emergence of 3NF due to finite resolution



from Hammer et al. RMP 85, 197 (2013)

Nuclear effective theory for EDF (nuclear DFT)

is based on the same simple and very intuitive assumption that low-energy nuclear theory is independent on high-energy dynamics

ultraviolet
cut-off Λ

$$v_S(q^2) \approx v_S(0) + v_S^{(1)}(0)q^2 + v_S^{(2)}(0)q^4 \dots ,$$

Coulomb
hierarchy of scales: $v_{eff}(r) \approx v_{long}(r)$

$$\frac{2r_o A^{1/3}}{r_o} \sim 2A^{1/3}$$

$$\sim 10$$

Long-range part of the NN interaction
(must be treated exactly!!!)

$$+ ca^2\delta_a(r) \\ + d_1a^4\nabla^2\delta_a(r) + d_2a^4\nabla\delta_a(r)\nabla \\ + \dots \\ + g_1a^{n+2}\nabla^n\delta_a(r) + \dots ,$$

Gaussian regulator

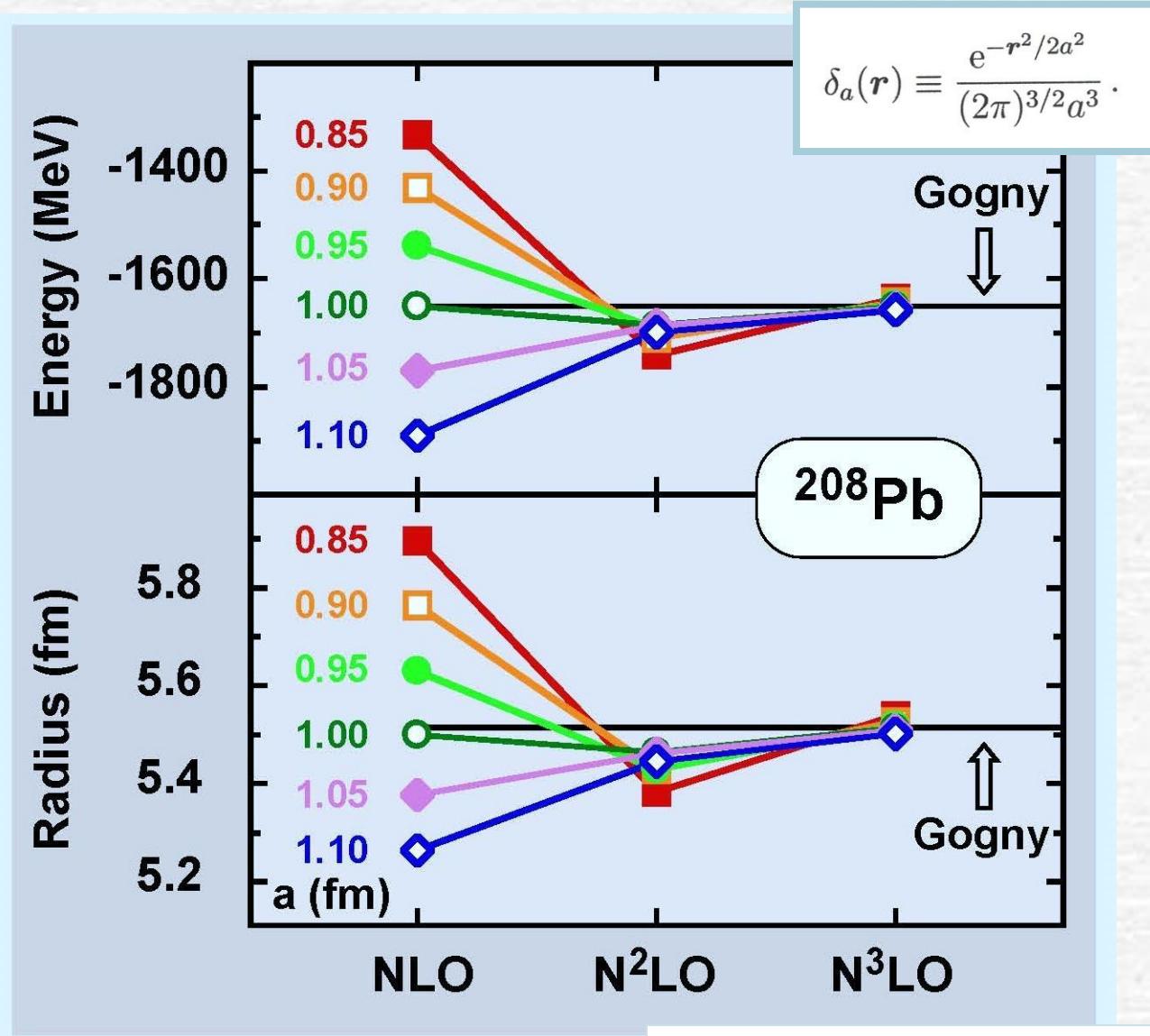
$$\delta_a(r) \equiv \frac{e^{-r^2/2a^2}}{(2\pi)^{3/2}a^3}.$$

where $\delta_a(r)$ denotes an arbitrary Dirac-delta model

There exist an „infinite” number
of equivalent realizations
of effective theories



Proof of principle of the regularization range (scale) independence for the gaussian-regularized density-independent EDFs



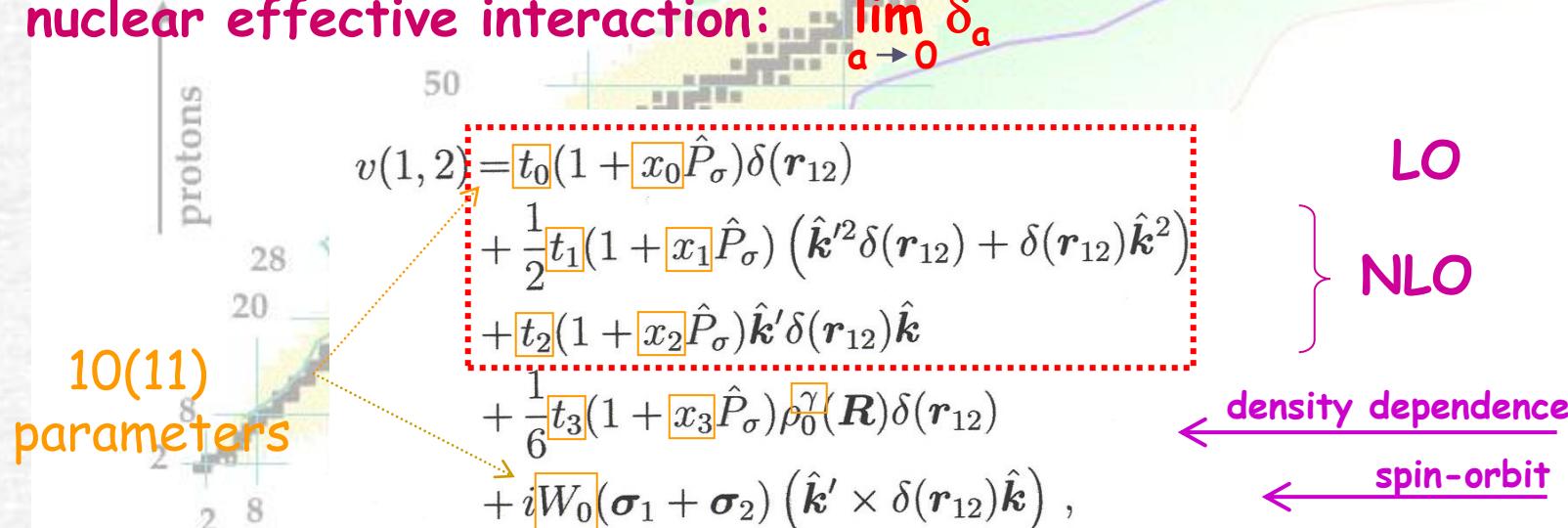
Having defined the generator, the nuclear EDF is built using mean-field (HF or Kohn-Sham) methodology

$$E[\rho(\vec{r}_1, \vec{r}_2)] = \iint d\vec{r}_1 d\vec{r}_2 \mathcal{H}(\rho(\vec{r}_1, \vec{r}_2))$$

$$\mathcal{H}(\rho(\vec{r}_1, \vec{r}_2)) = V(\vec{r}_1 - \vec{r}_2) [\rho(\vec{r}_1)\rho(\vec{r}_2) - \rho(\vec{r}_1, \vec{r}_2)\rho(\vec{r}_2, \vec{r}_1)]$$

direct term exchange term

Skyrme interaction - specific (local) realization of the nuclear effective interaction: $\lim_{a \rightarrow 0} \delta_a$



$$\mathbf{r}_{12} = \mathbf{r}_1 - \mathbf{r}_2; \mathbf{R} = (\mathbf{r}_1 + \mathbf{r}_2)/2;$$

$$\hat{\mathbf{k}} = \overrightarrow{\frac{1}{2i}(\nabla_1 - \nabla_2)} \quad \hat{\mathbf{k}}' = \overleftarrow{-\frac{1}{2i}(\nabla_1 - \nabla_2)}$$

relative momenta

$$\hat{P}_\sigma = \frac{1}{2}(1 + \boldsymbol{\sigma}_1 \boldsymbol{\sigma}_2)$$

spin exchange

- Skyrme EDF (for $N = Z$ and without pairing)

$$E[\rho, \tau, \mathbf{J}] = \int d\mathbf{r} \left\{ \frac{\tau}{2M} + \frac{3}{8} t_0 \rho^2 + \frac{1}{16} (3t_1 + 5t_2) \rho \tau + \frac{1}{64} (9t_1 - 5t_2) (\nabla \rho)^2 \right. \\ \left. - \frac{3}{4} W_0 \rho \nabla \cdot \mathbf{J} + \frac{1}{16} t_3 \rho^{2+\alpha} + \dots \right\}$$

where $\rho(\mathbf{r}) = \sum_i |\psi_i(\mathbf{r})|^2$, $\tau(\mathbf{r}) = \sum_i |\nabla \psi_i(\mathbf{r})|^2$, ...

- Pionless zero-range EFT \Rightarrow dilute LDA $\rho\tau\mathbf{J}$ EDF (with $V_{\text{external}} = 0$)

$$E[\rho, \tau, \mathbf{J}] = \int d\mathbf{r} \left\{ \frac{\tau}{2M} + \frac{3}{8} C_0 \rho^2 + \frac{1}{16} (3C_2 + 5C'_2) \rho \tau + \frac{1}{64} (9C_2 - 5C'_2) (\nabla \rho)^2 \right. \\ \left. - \frac{3}{4} C''_2 \rho \nabla \cdot \mathbf{J} + \frac{c_1}{2M} C_0^2 \rho^{7/3} + \frac{c_2}{2M} C_0^3 \rho^{8/3} + \frac{1}{16} D_0 \rho^3 + \dots \right\}$$

Look very similar except of „three-body” contributions!

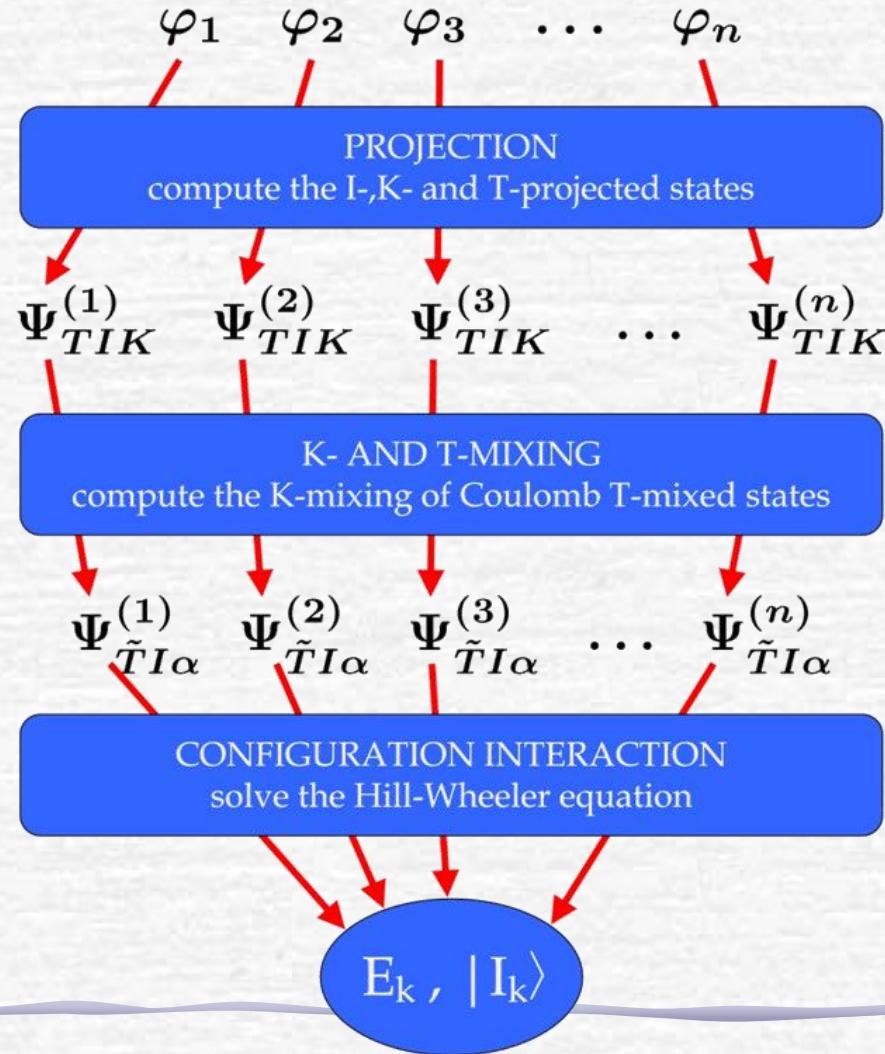
Fractional powers of the density lead to singularities in extensions involving restoration of broken symmetries:

- rotational (spherical) symmetry
- isospin symmetry (approximate)
- particle number...

and subsequent configuration mixing.

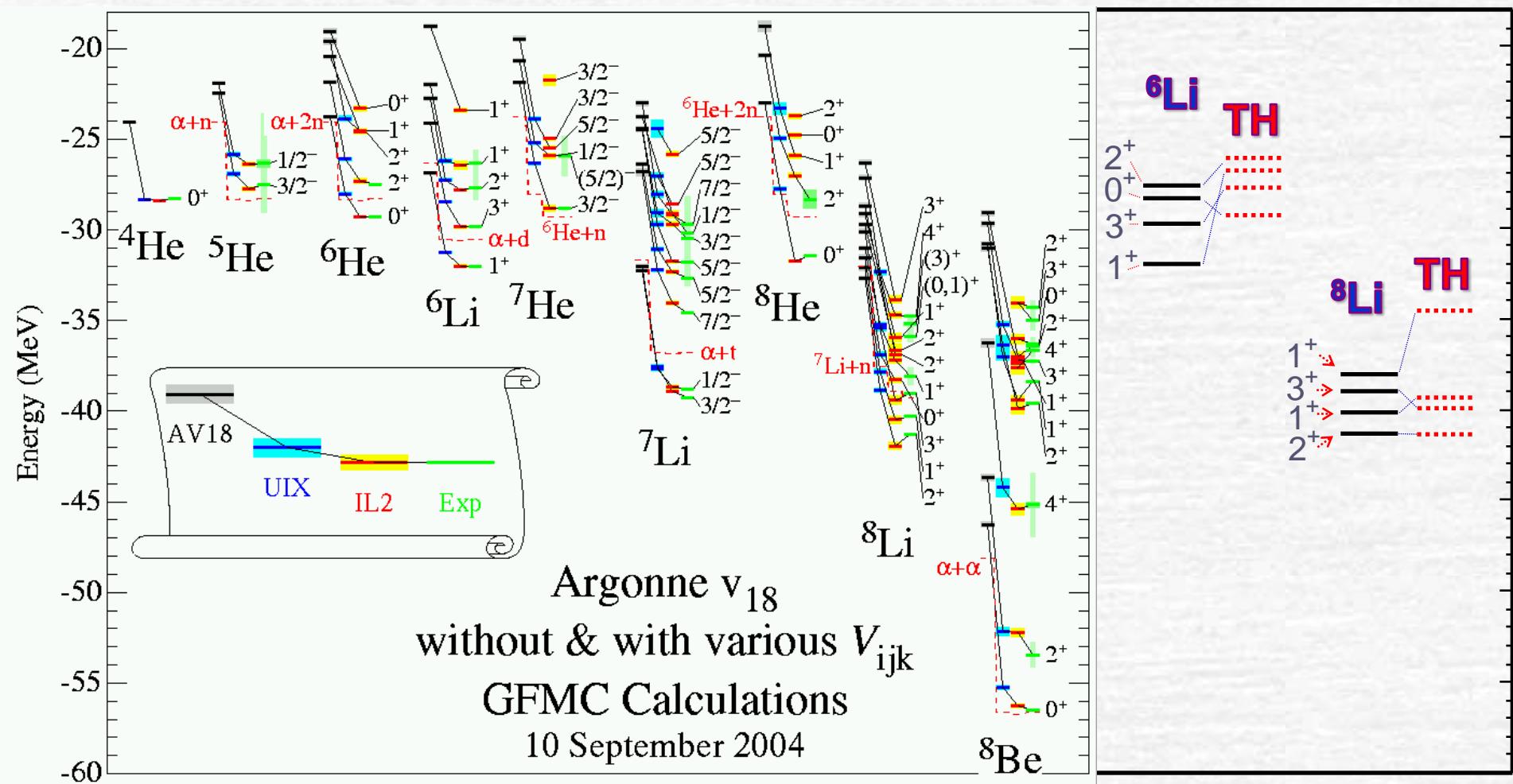


MEAN FIELD
compute a set of n self-consistent Slater determinants
corresponding to low-lying p-h excitations

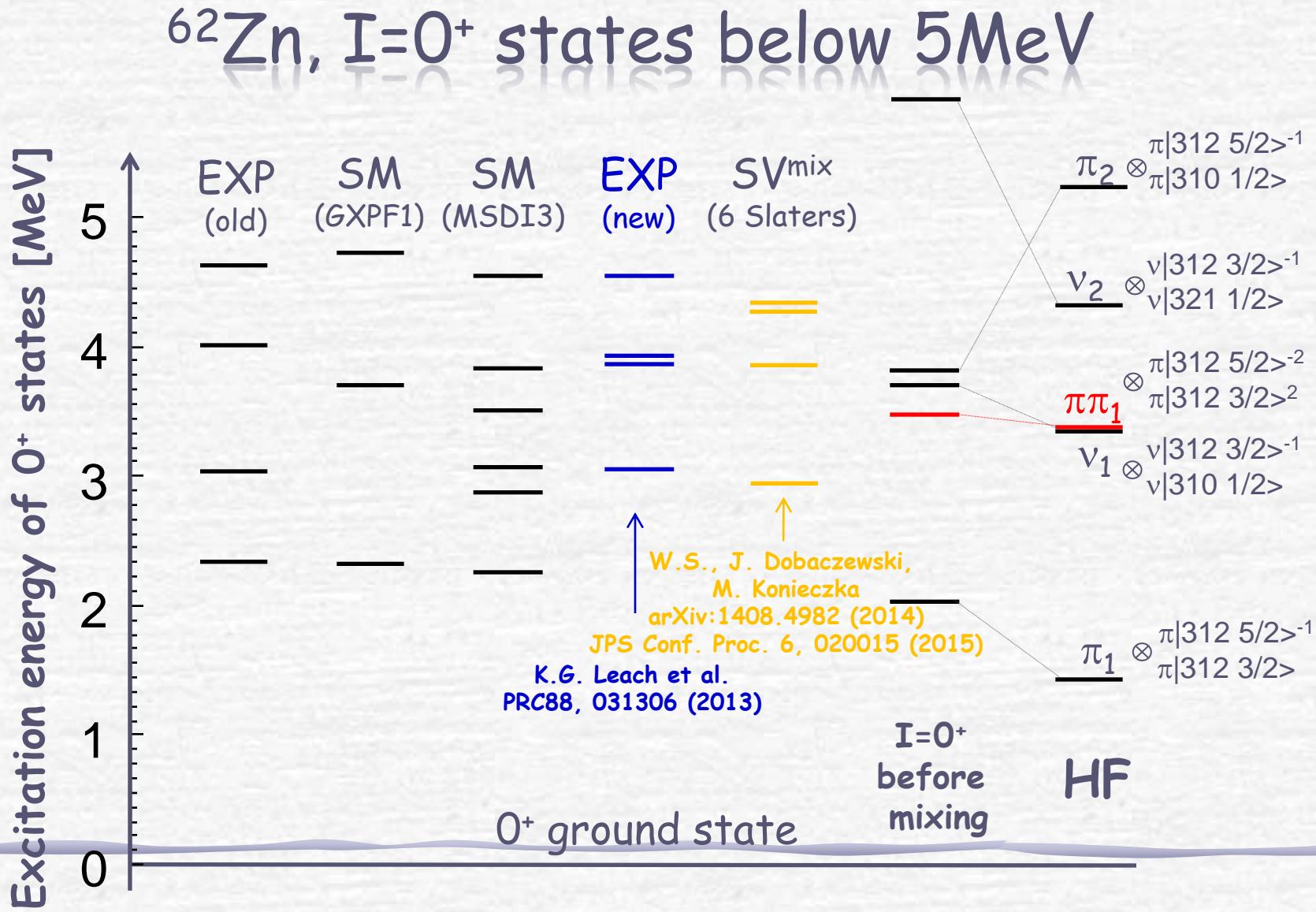


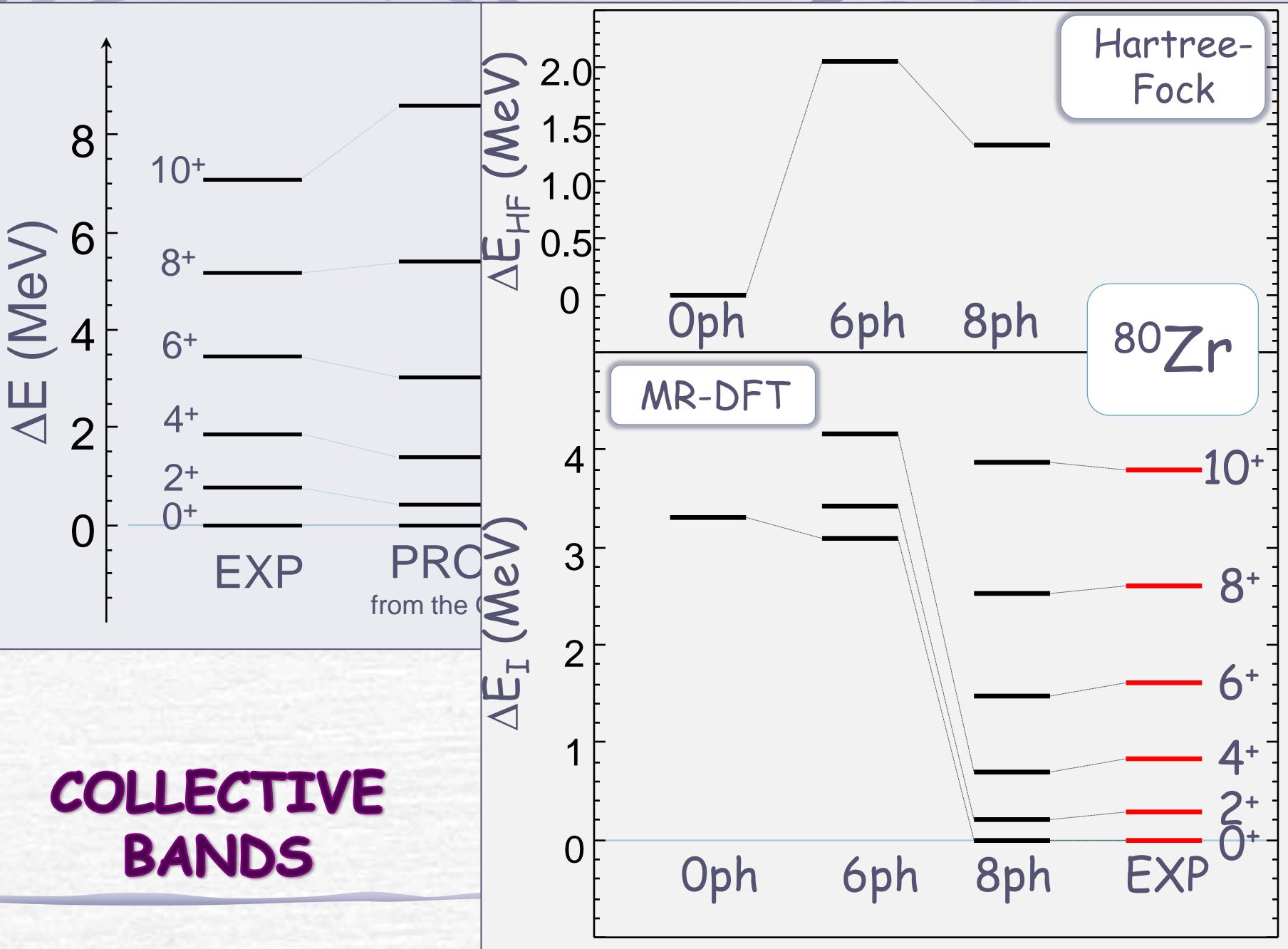
PRELIMINARY

(mixing of states projected from three-four p-h configurations)

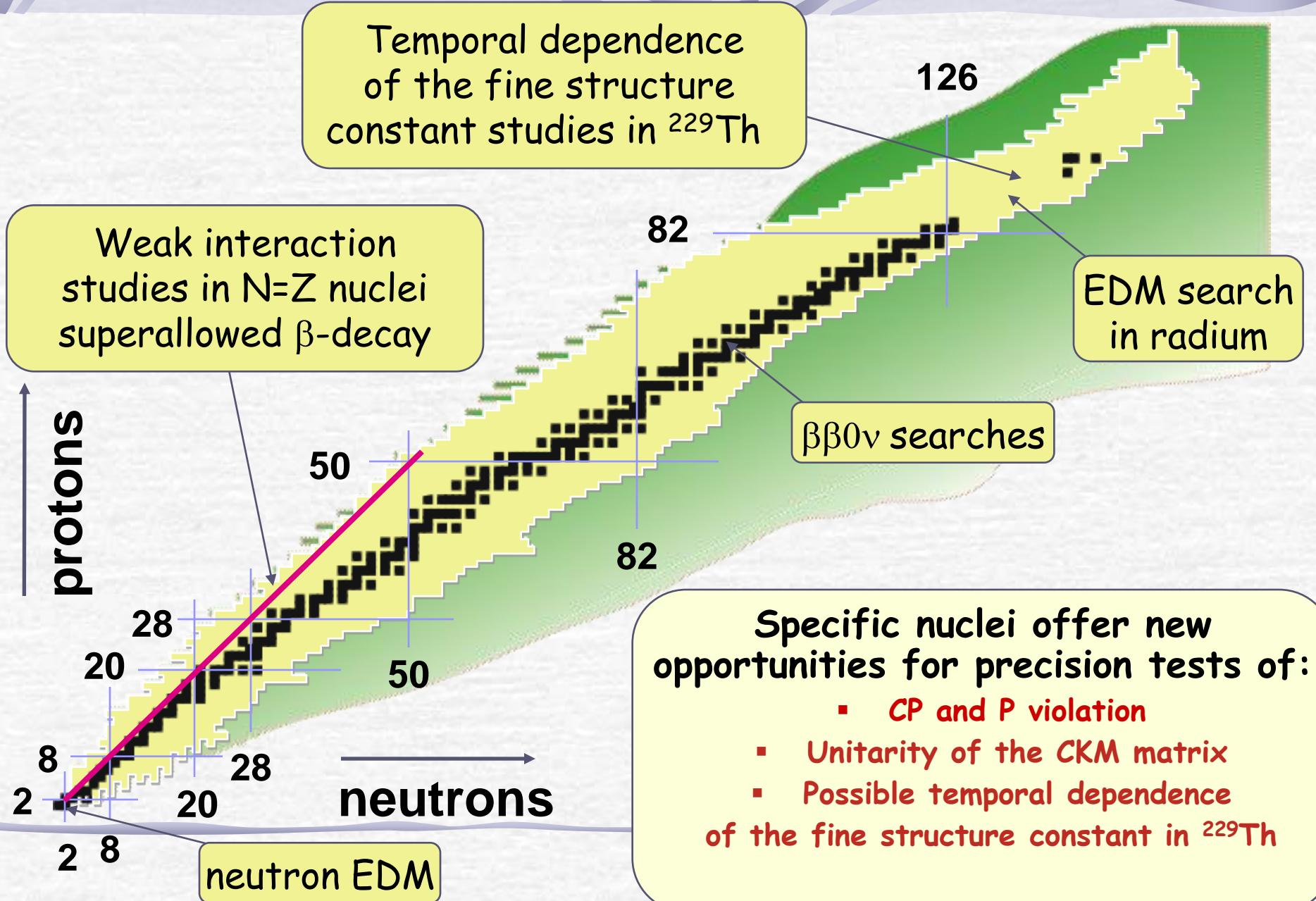


No-core configuration-interaction formalism based on the isospin and angular momentum projected DFT



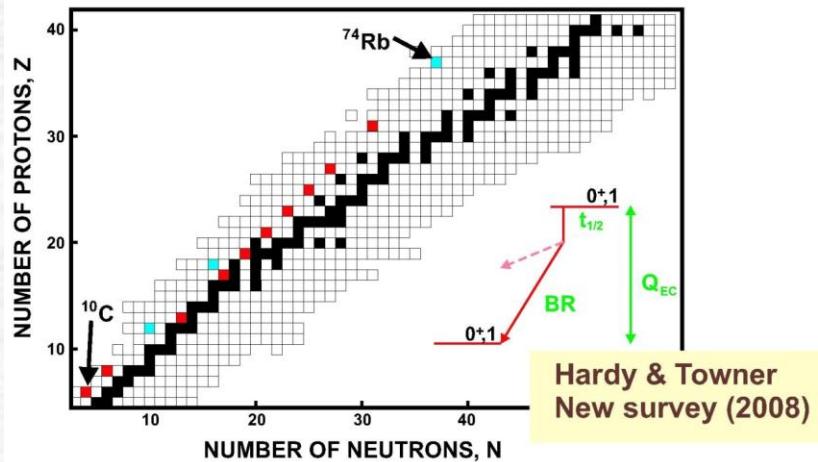


Testing the fundamental symmetries of nature



Superallowed $0^+ > 0^+$ Fermi beta decays (testing the Standard Model)

adopted from J.Hardy's, ENAM'08 presentation



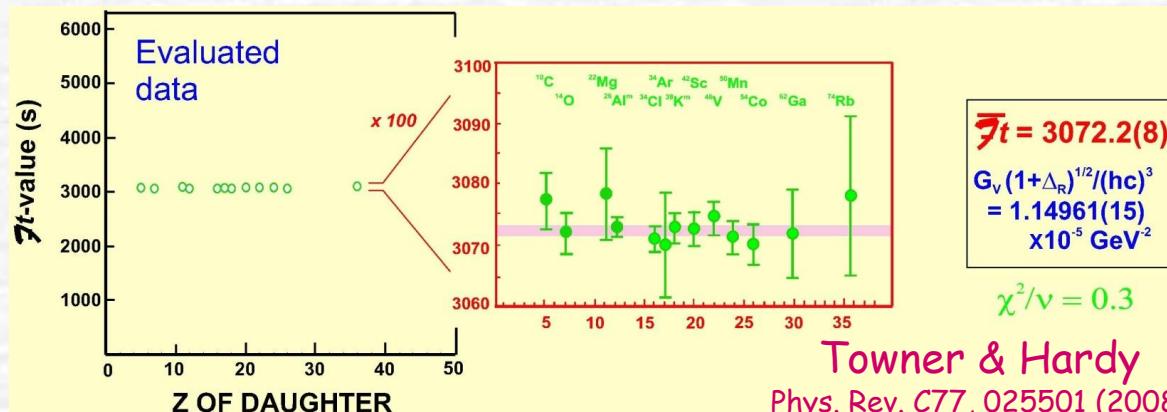
10 cases measured with accuracy $\delta t \sim 0.1\%$
3 cases measured with accuracy $\delta t \sim 0.3\%$

→ test of the CVC hypothesis
(Conserved Vector Current)

INCLUDING RADIATIVE CORRECTIONS

$$\delta t = ft (1 + \delta'_R) [1 - (\delta_c - \delta_{NS})] = \frac{K}{2G_V^2 (1 + \Delta_R)}$$

1.5% 0.3%
- 1.5% ~2.4%



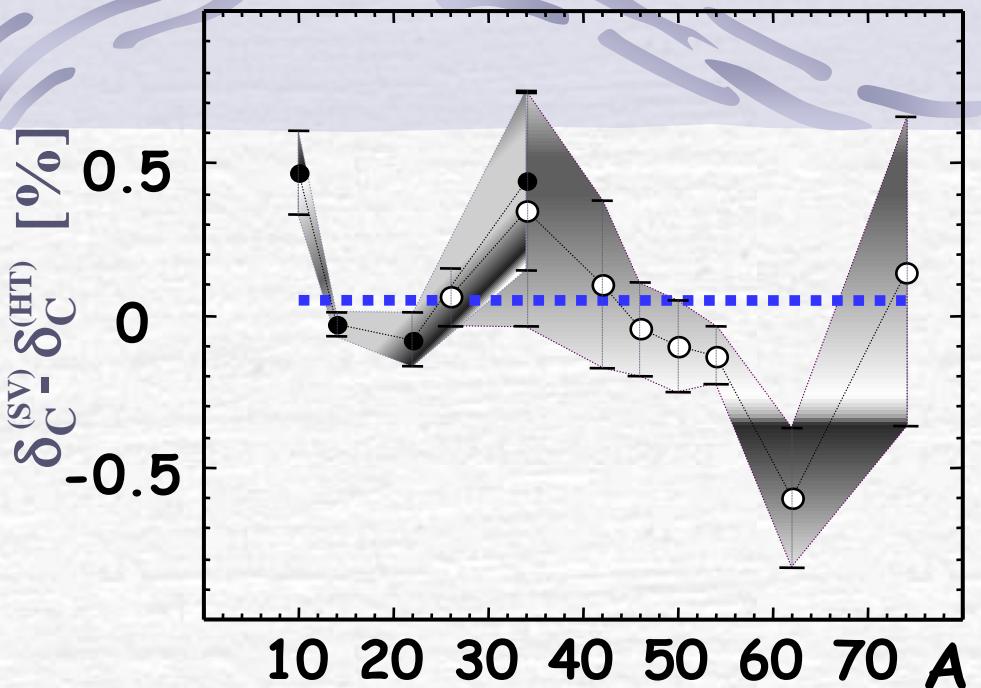
$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

weak eigenstates CKM mass
Cabibbo-Kobayashi eigenstates
-Maskawa

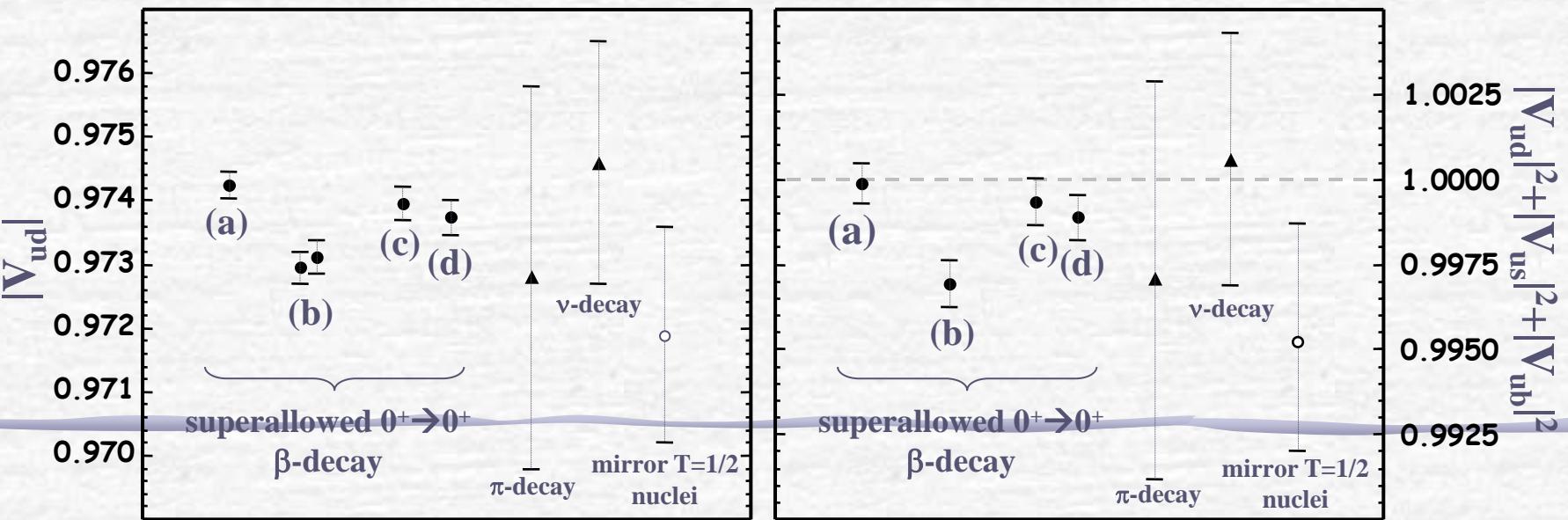
$|V_{ud}| = 0.97418 \pm 0.00026$
→ test of unitarity of the CKM matrix

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9997(6)$$

0.9490(4) 0.0507(4) <0.0001



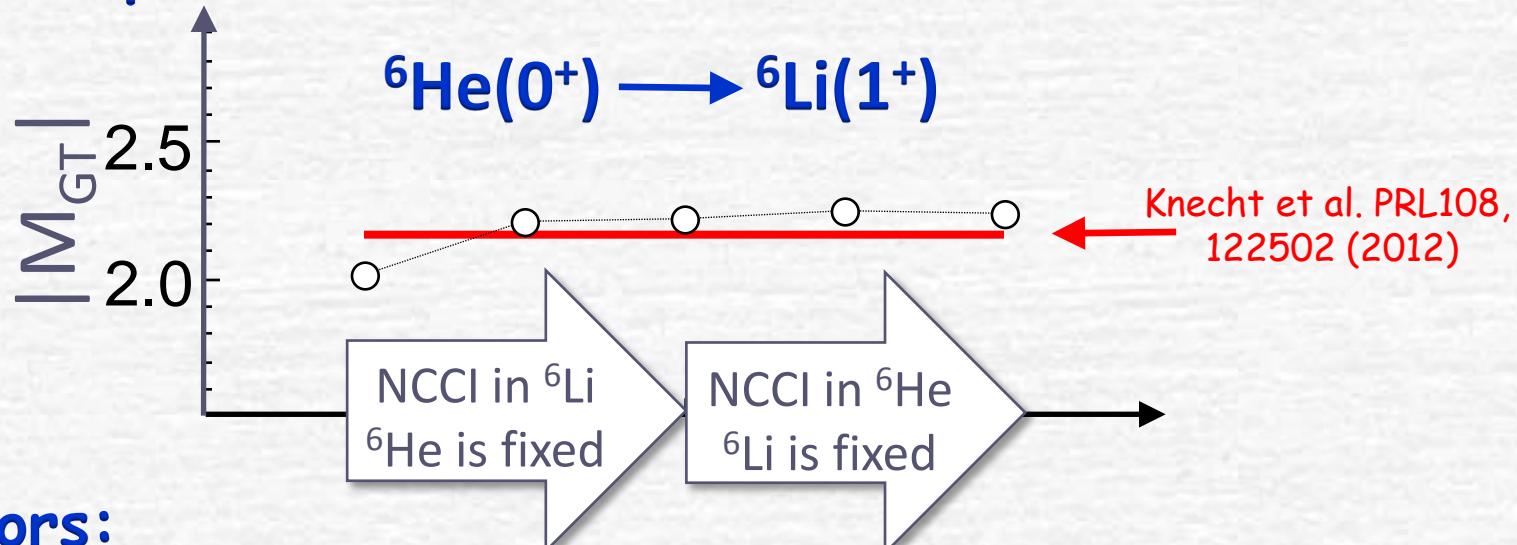
$|V_{ud}|$ & unitarity - world survey



Gamow-Teller and Fermi matrix elements in T=1/2 sd- and ft- mirrors. The NCCI study

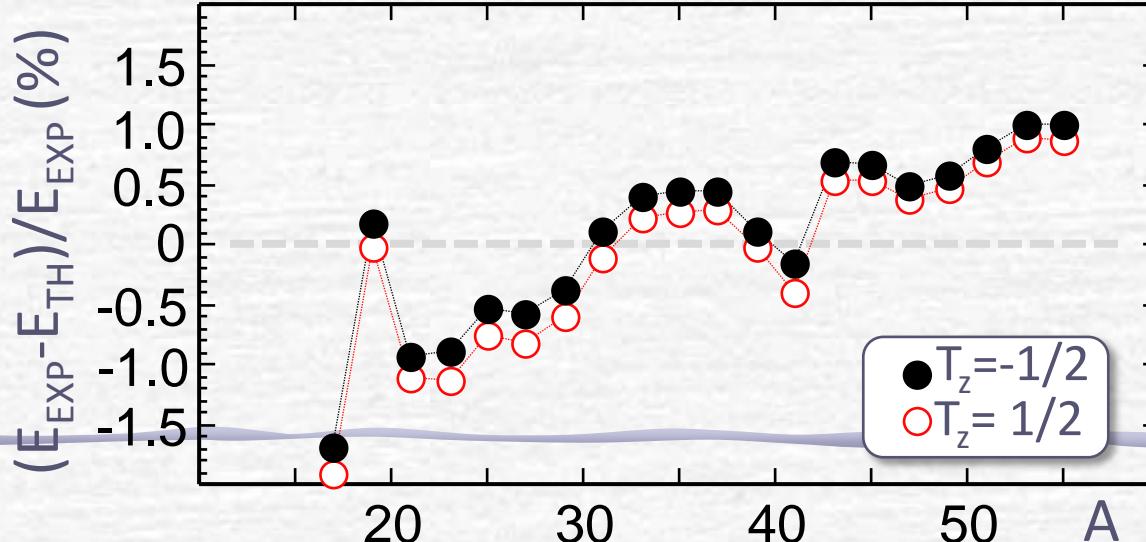
M.Konieczka, P.Bączyk, W.Satuła [arXiv:1509.04480](https://arxiv.org/abs/1509.04480)

Proof-of-principle calculation:



T=1/2 mirrors:

● masses:



Shell-model:

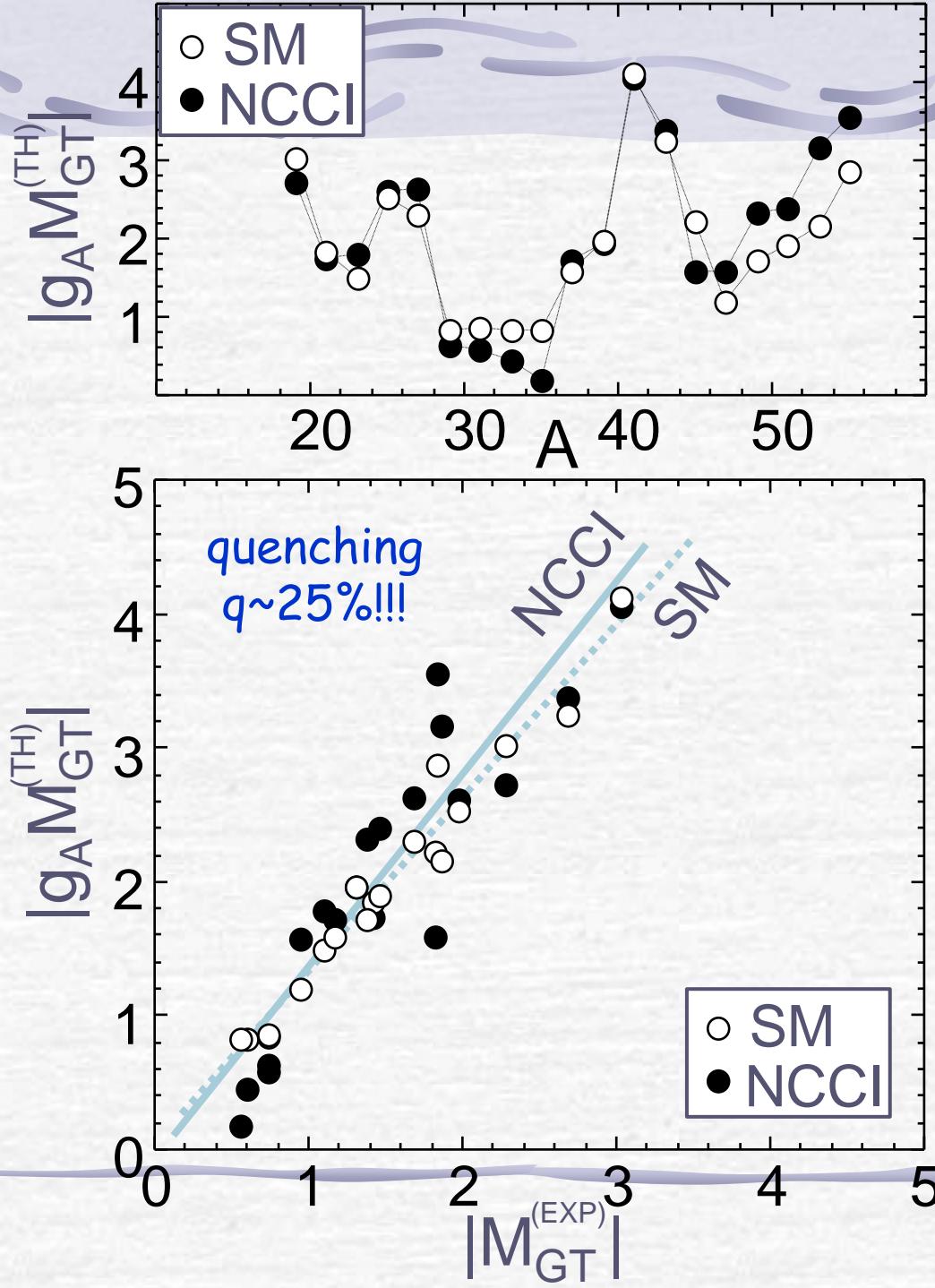
B. A. Brown and B. H. Wildenthal,
 Atomic Data and Nuclear
 Data Tables **33**, 347 (1985).

G. Martinez-Pinedo *et al.*,
 Phys. Rev. C **53**, R2602 (1996).

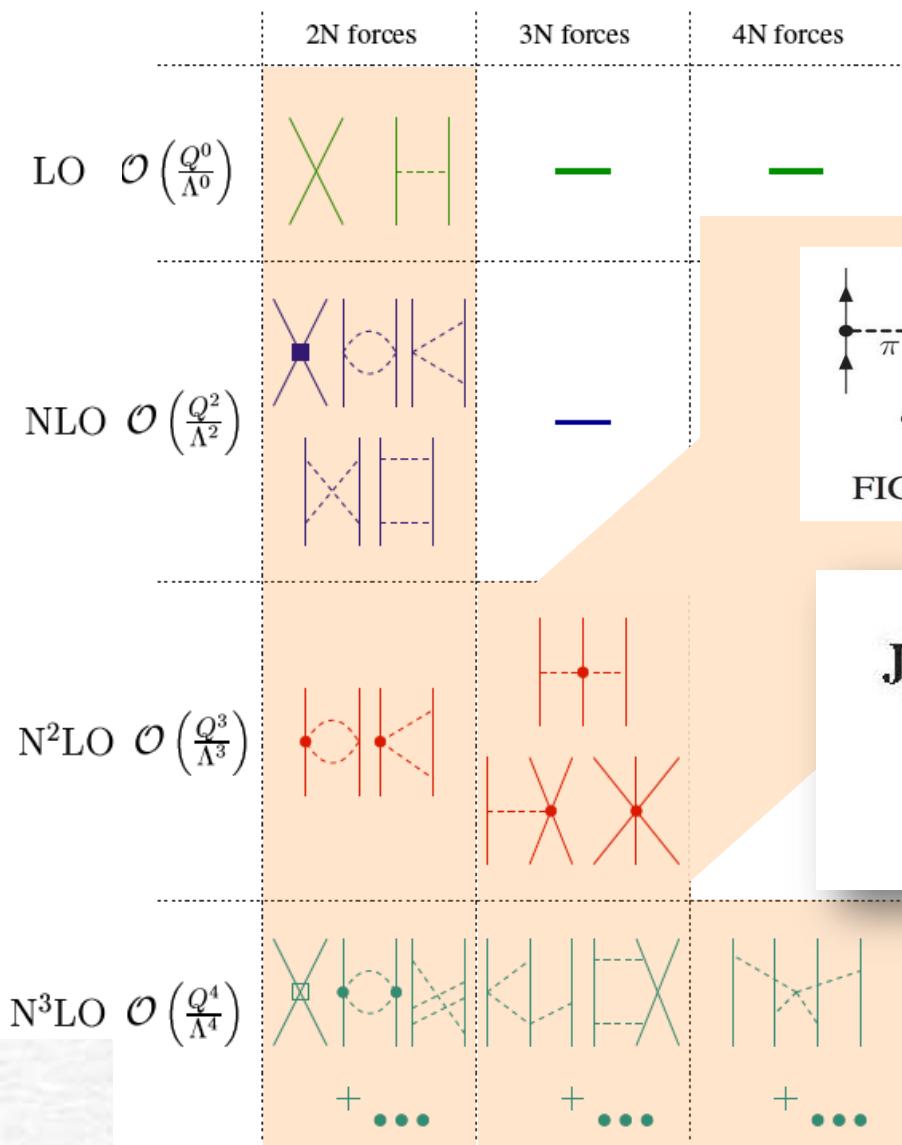
T. Sekine *et al.*,
 Nucl. Phys. A **467**, (1987).

NCCI vs shell-model:

- The NCCI takes into account a core and core-polarization
- Completely different model spaces
- Different treatment of correlations
- Different interactions



Renormalization of axial-vector coupling constant by 2B-currents



c_i from $\pi\bar{N}$ and NN :

$$c_1 = -0.9^{+0.2}_{-0.5}, c_3 = -4.7^{+1.2}_{-1.0}, c_4 = 3.5^{+0.5}_{-0.2}$$

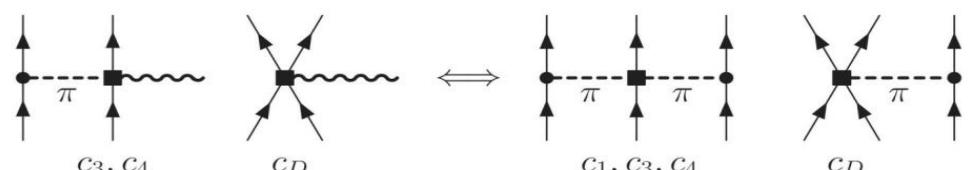


FIG. 1. Chiral 2b currents and 3N force contributions.

Menendez et al. PRL107, 062501 (2011)

$$\begin{aligned} \mathbf{J}_{i,2b}^{\text{eff}} = & -g_A \boldsymbol{\sigma}_i \tau_i^- \frac{\rho}{F_\pi^2} \left[\frac{c_D}{g_A \Lambda_\chi} + \frac{2}{3} c_3 \frac{\mathbf{p}^2}{4m_\pi^2 + \mathbf{p}^2} \right. \\ & \left. + I(\rho, P) \left(\frac{1}{3}(2c_4 - c_3) + \frac{1}{6m} \right) \right], \end{aligned}$$

β^- decays of ^{14}C and $^{22,24}\text{O}$
Ekstrom et al. PRL 113, 262504 (2014)
 $q^2 \sim 0.84-0.92$ (from Ikeda sum rule)

See also:

Klos et al. PRC89, 029901 (2013)

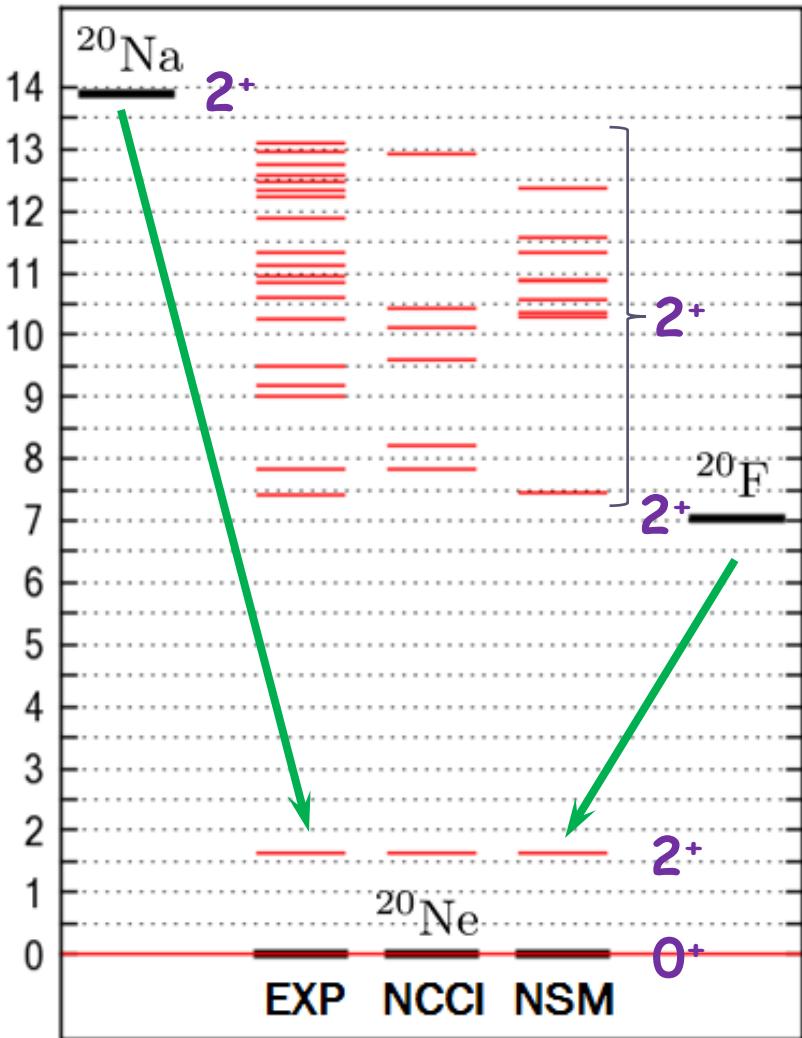
Engel et al. PRC89, 064308 (2013)

$q \sim 0.9$

GT beta decay to excited states

(from Maciek Konieczka)

Beta decay to ^{20}Ne ; 6 SD in NCCI



Gamow-Teller matrix elements for $^{20}\text{Na} \rightarrow ^{20}\text{Ne}$

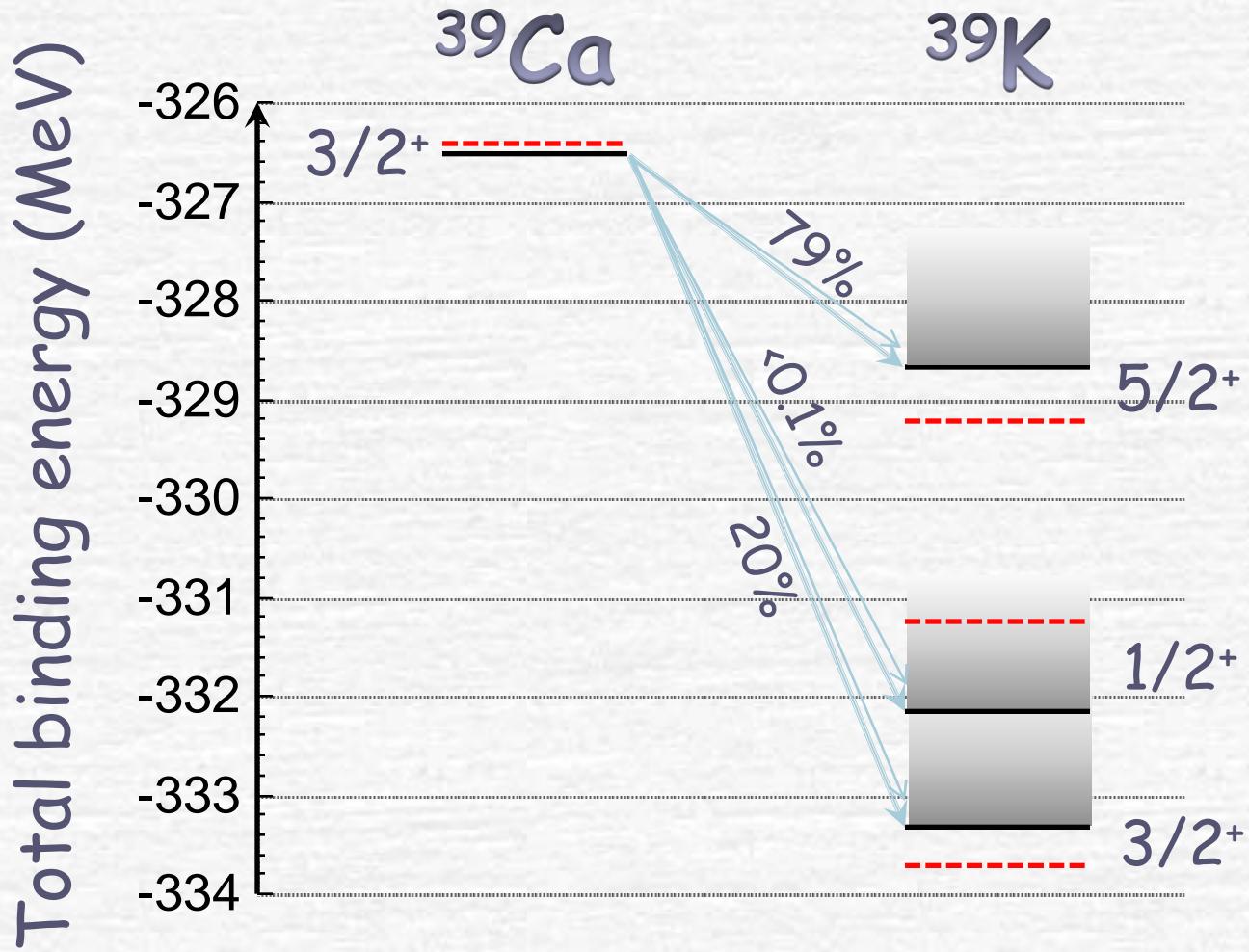
ITn	NCCI	NSM	EXP
2 0 1	0.326	0.551	0.450
2 1 1	0.752	0.774	0.532
2 0 2	2.343	2.171	-
2 1 2	0.198	0.181	-
2 0 3	0.980	1.322	1.133
2 1 3	0.531	0.384	-

Isospin symmetry breaking effects
in GT decays of T=1 nuclei

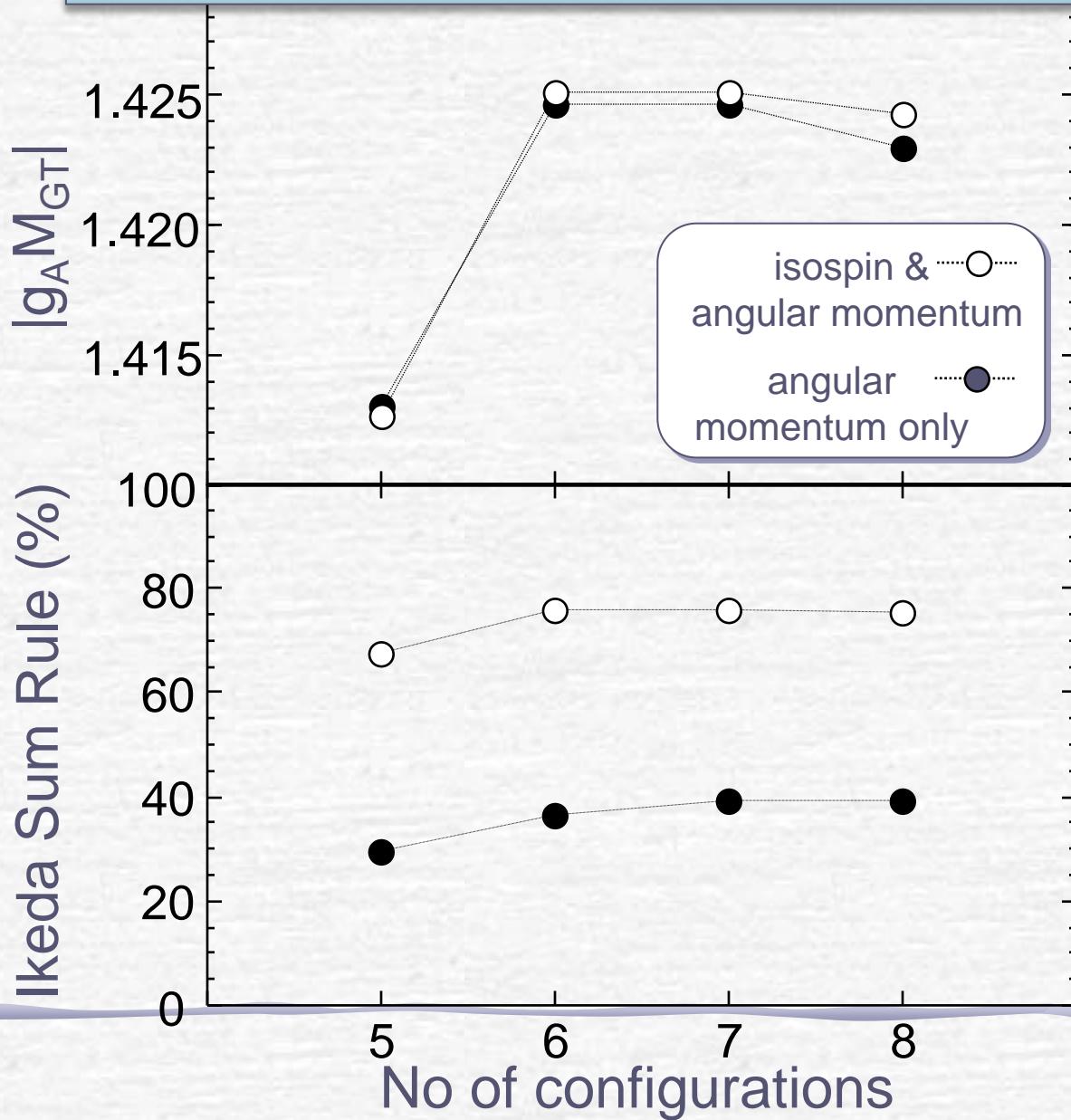
	$ \mathcal{M}_{GT-} - \mathcal{M}_{GT\pm} $
β^-	
$^{18}\text{Ne} \rightarrow ^{18}\text{F}$	$^{18}\text{F} \rightarrow ^{18}\text{O}$
$^{20}\text{Na} \rightarrow ^{20}\text{Ne}$	$^{20}\text{F} \rightarrow ^{20}\text{Ne}$
$^{24}\text{Al} \rightarrow ^{24}\text{Mg}$	$^{24}\text{Na} \rightarrow ^{24}\text{Mg}$
$^{28}\text{P} \rightarrow ^{28}\text{Si}$	$^{28}\text{Al} \rightarrow ^{28}\text{Si}$
$^{30}\text{S} \rightarrow ^{30}\text{P}$	$^{30}\text{P} \rightarrow ^{30}\text{Si}$
$^{32}\text{P} \rightarrow ^{32}\text{S}$	$^{32}\text{Cl} \rightarrow ^{32}\text{S}$
MR-DFT	EXP

VERY PRELIMINARY RESULTS !!!

Ikeda sum rule for GT operator



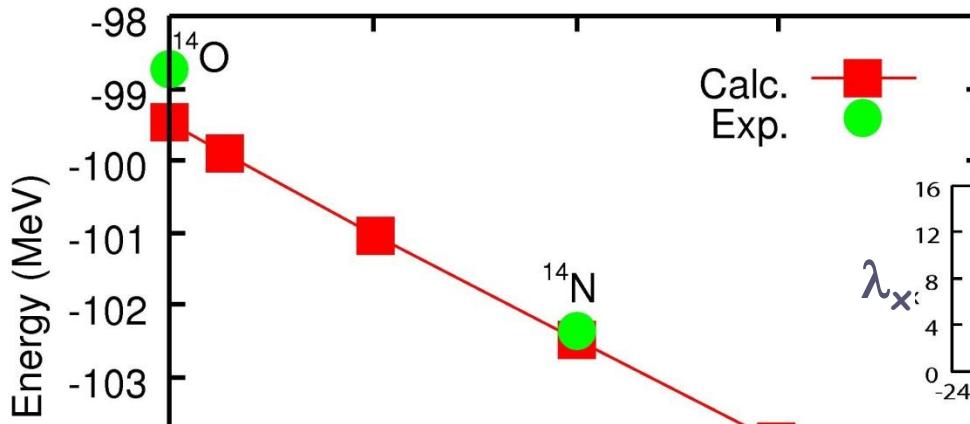
8 p-h configs
 $^{23}\text{Mg} \rightarrow ^{23}\text{Na} \rightarrow \sim 80\%$



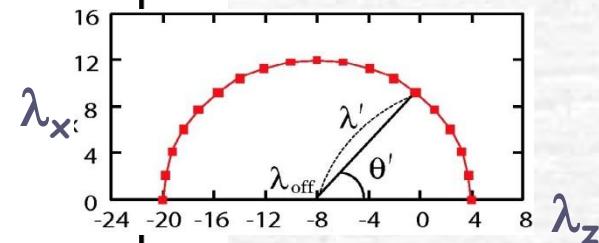
$T=1, I=0^+$ isobaric analogue states
from self-consistent 3D-isocranked HF: $h^\lambda = h - \lambda T$

K. Sato, J. Dobaczewski, T. Nakatsukasa, and W. Satuła, Phys. Rev. C88 (2013), 061301

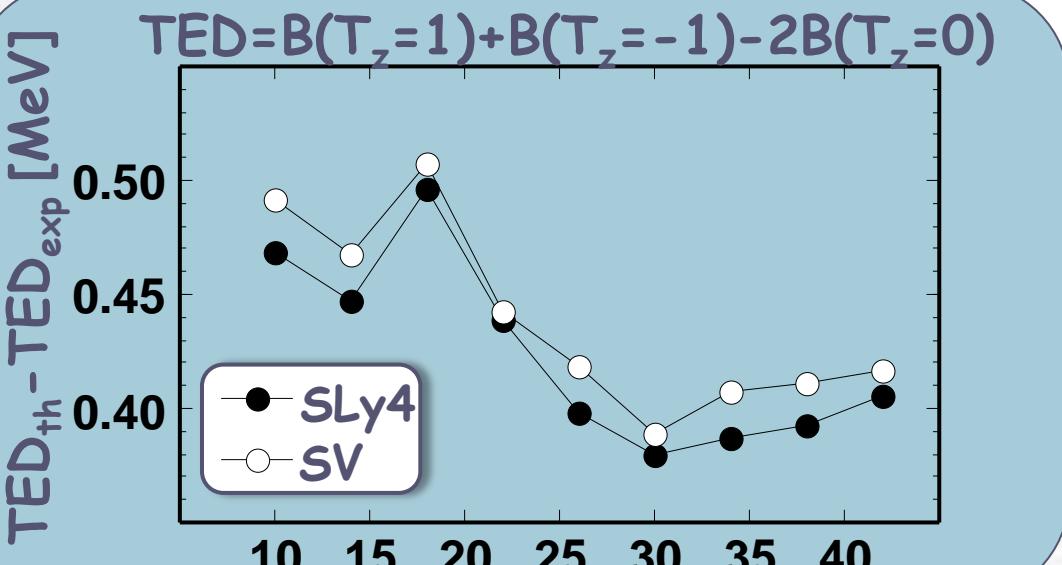
$$h^\lambda = h - \lambda T$$



Calc.
Exp.



λ_z



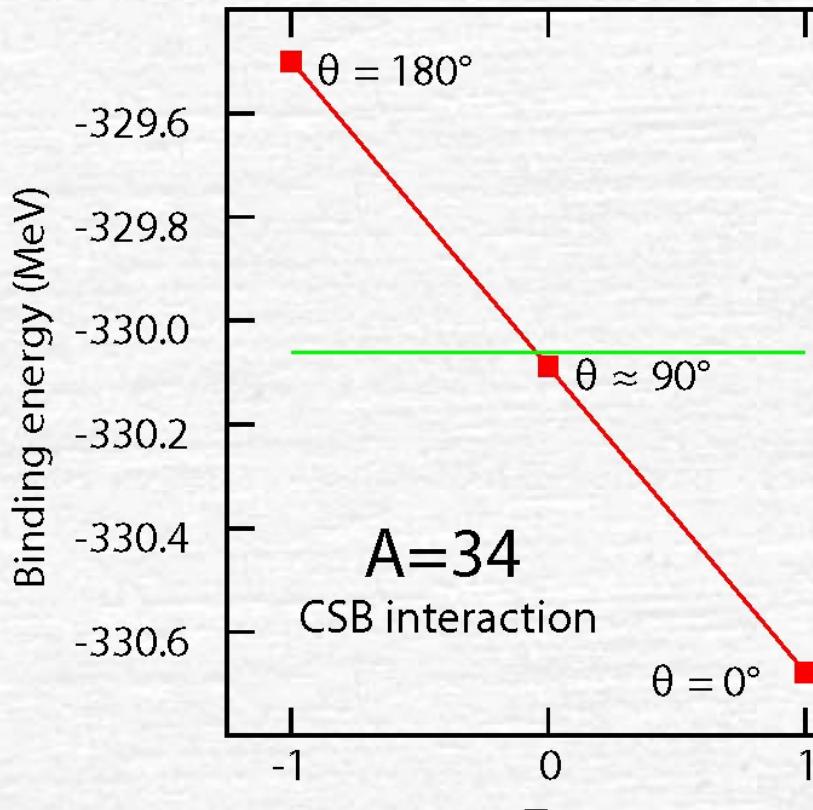
normalized:
theory (red curve)
shifted
by 3.2 MeV

separable
solution

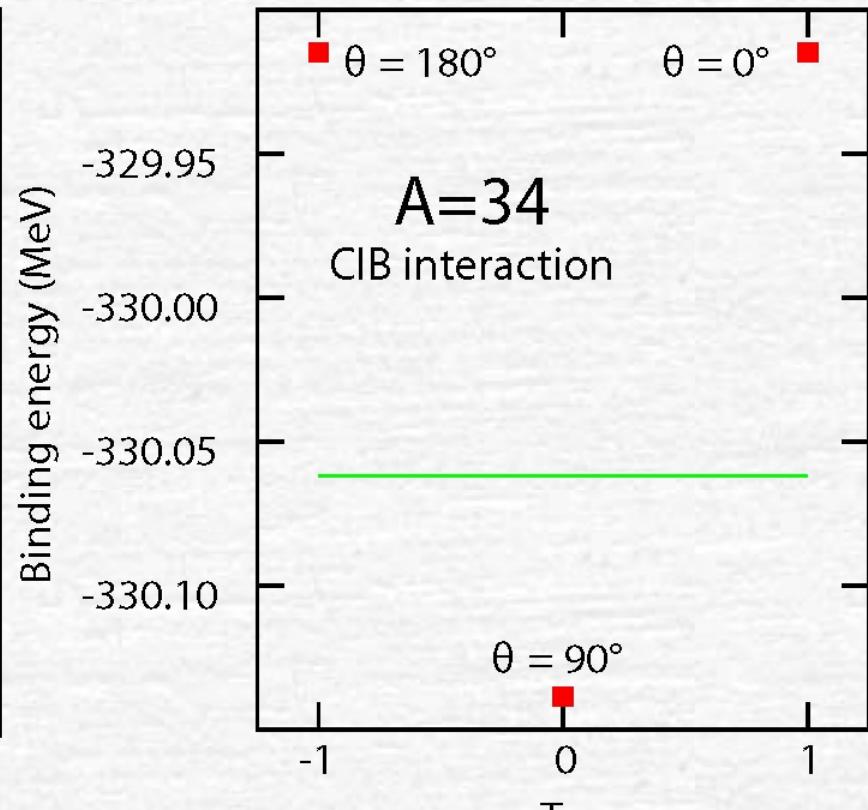
CSB and CIB local corrections to the Skyrme force (class II (CIB) and III (CSB) Henley-Miller forces)

$$\hat{V}^{\text{II}}(i,j) = \frac{1}{2}t_0^{\text{II}} \delta(\mathbf{r}_i - \mathbf{r}_j) \left(1 - x_0^{\text{II}} \hat{P}_{ij}^\sigma\right) \left[3\hat{\tau}_3(i)\hat{\tau}_3(j) - \hat{\vec{\tau}}(i) \circ \hat{\vec{\tau}}(j)\right], \quad \mathcal{H}_{\text{II}} = \frac{1}{2}t_0^{\text{II}} (1 - x_0^{\text{II}}) (\rho_n^2 + \rho_p^2 - 2\rho_n\rho_p - 2\rho_{np}\rho_{pn} - s_n^2 - s_p^2 + 2s_n \cdot s_p + 2s_{np} \cdot s_{pn}),$$

$$\hat{V}^{\text{III}}(i,j) = \frac{1}{2}t_0^{\text{III}} \delta(\mathbf{r}_i - \mathbf{r}_j) \left(1 - x_0^{\text{III}} \hat{P}_{ij}^\sigma\right) [\hat{\tau}_3(i) + \hat{\tau}_3(j)], \quad \mathcal{H}_{\text{III}} = \frac{1}{2}t_0^{\text{III}} (1 - x_0^{\text{III}}) (\rho_n^2 - \rho_p^2 - s_n^2 + s_p^2),$$



CSB corrects for MDE

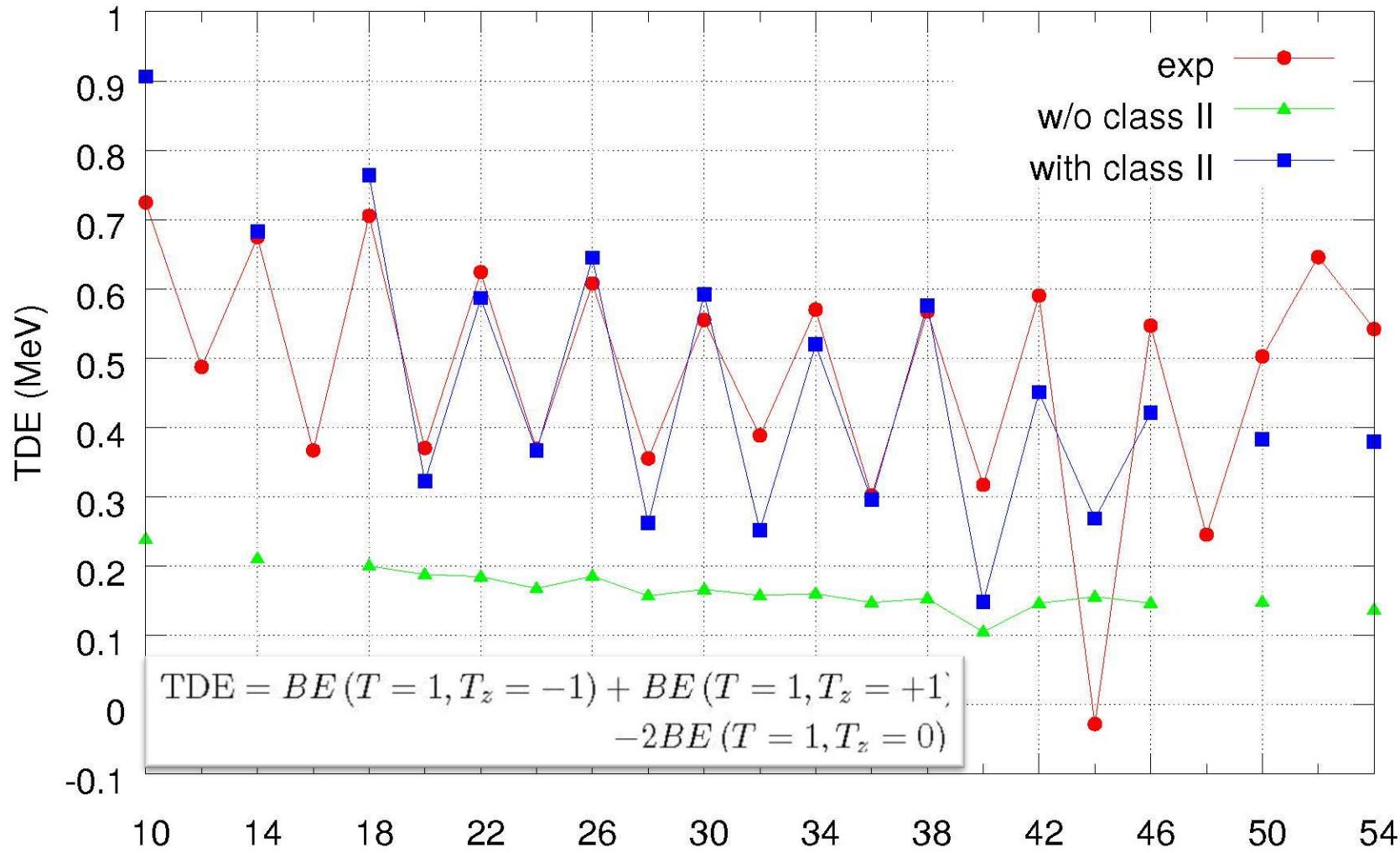


CIB corrects for TDE

CSB and CIB local corrections to the Skyrme force

$$\hat{V}^{\text{II}}(i,j) = \frac{1}{2} t_0^{\text{II}} \delta(\mathbf{r}_i - \mathbf{r}_j) \left(1 - x_0^{\text{II}} \hat{P}_{ij}^\sigma\right) \left[3\hat{\tau}_3(i)\hat{\tau}_3(j) - \hat{\tau}(i) \circ \hat{\tau}(j)\right], \quad \mathcal{H}_{\text{II}} = \frac{1}{2} t_0^{\text{II}} (1 - x_0^{\text{II}}) (\rho_n^2 + \rho_p^2 - 2\rho_n\rho_p - 2\rho_{np}\rho_{pn} - \mathbf{s}_n^2 - \mathbf{s}_p^2 + 2\mathbf{s}_n \cdot \mathbf{s}_p + 2\mathbf{s}_{np} \cdot \mathbf{s}_{pn}),$$

$$\hat{V}^{\text{III}}(i,j) = \frac{1}{2} t_0^{\text{III}} \delta(\mathbf{r}_i - \mathbf{r}_j) \left(1 - x_0^{\text{III}} \hat{P}_{ij}^\sigma\right) [\hat{\tau}_3(i) + \hat{\tau}_3(j)], \quad \mathcal{H}_{\text{III}} = \frac{1}{2} t_0^{\text{III}} (1 - x_0^{\text{III}}) (\rho_n^2 - \rho_p^2 - \mathbf{s}_n^2 + \mathbf{s}_p^2),$$



Challenges for Low-Energy Nuclear Theory

- Perform proof-of-principle lattice QCD calculation for the lightest nuclei
- Develop first-principles framework for light, medium-mass nuclei, and nuclear matter from 0.1 to twice the saturation density
- Derive predictive nuclear energy density functional rooted in first-principles theory
- Carry out predictive and quantified calculations of nuclear matrix elements for fundamental symmetry tests.
- Unify the fields of nuclear structure and reactions.
- Develop predictive microscopic model of fusion and fission that will provide the missing data for astrophysics and nuclear energy research.
- Develop and utilize tools for quantification of theoretical uncertainties.
- Provide the microscopic explanation for observed, and new, (partial-) dynamical symmetries and simple patterns

Perspectives are not bad!

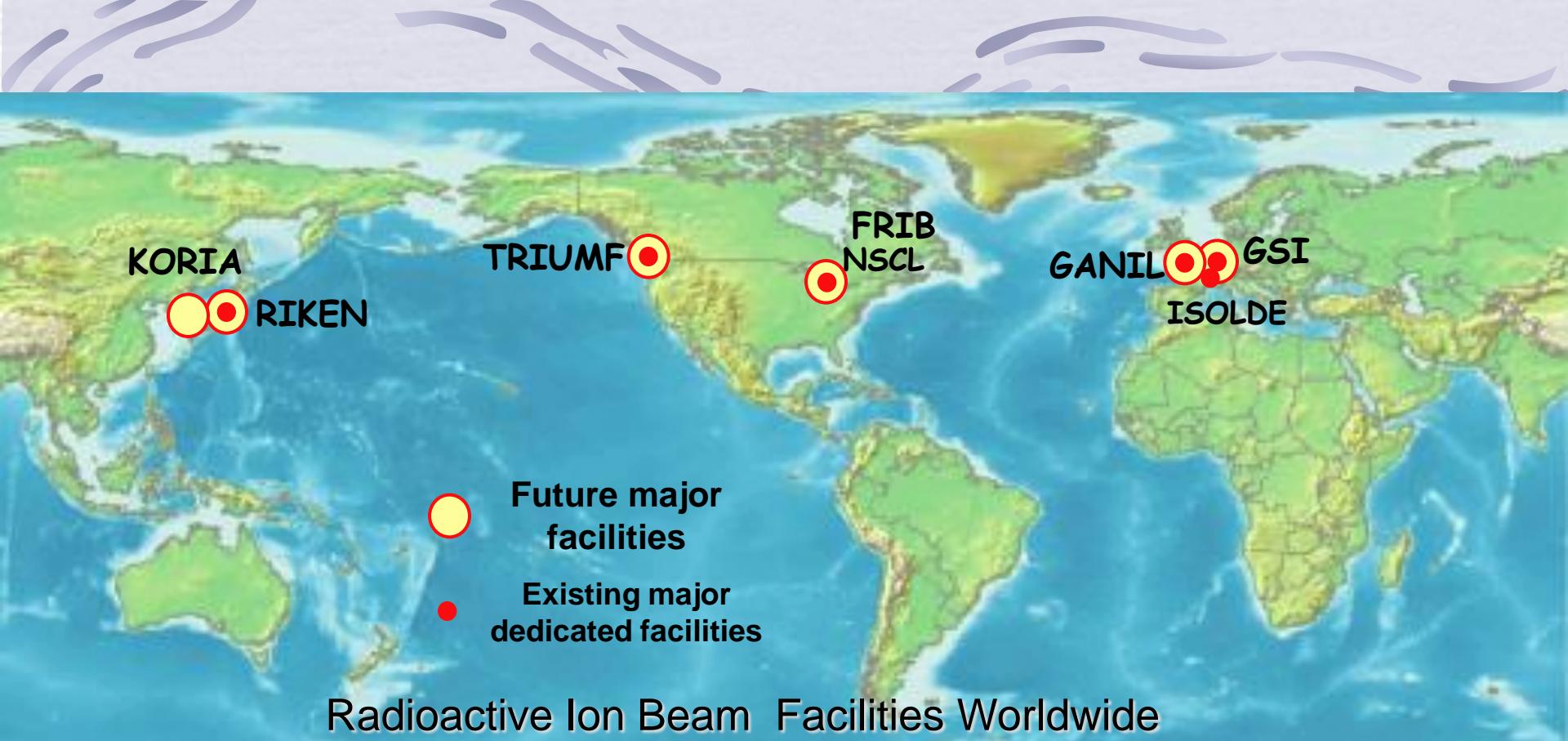
“The purpose of computing is insight, not numbers”

(Richard Hamming, 1962)

“According to Einstein’s theory, if we move the computer real fast, we can go back in time and recover the files you accidentally deleted.”



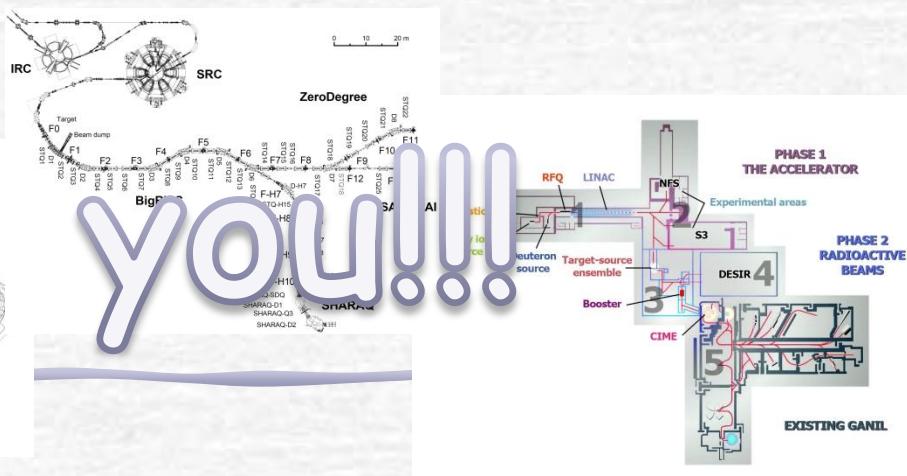
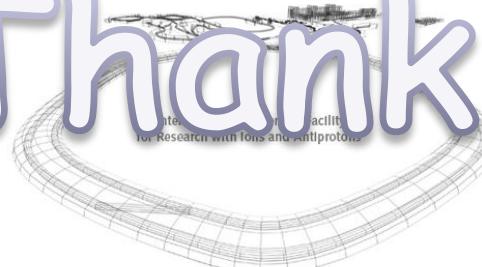
- because of high-performance computing
- and new high-precision data, in particular, on short lived nuclei



Radioactive Ion Beam Facilities Worldwide



Thank you!!!

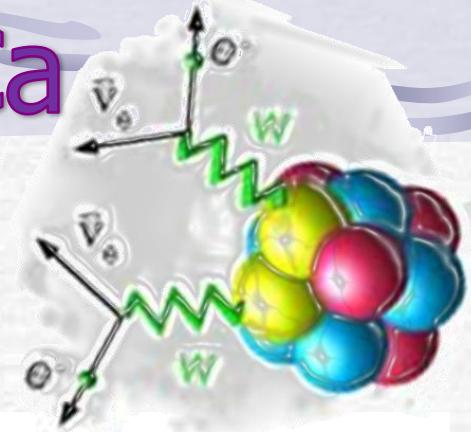


Double beta decay of ^{48}Ca

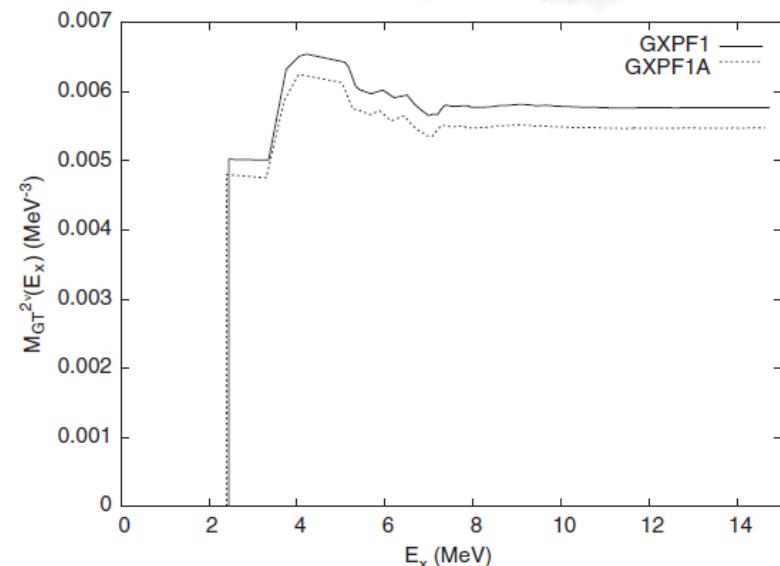
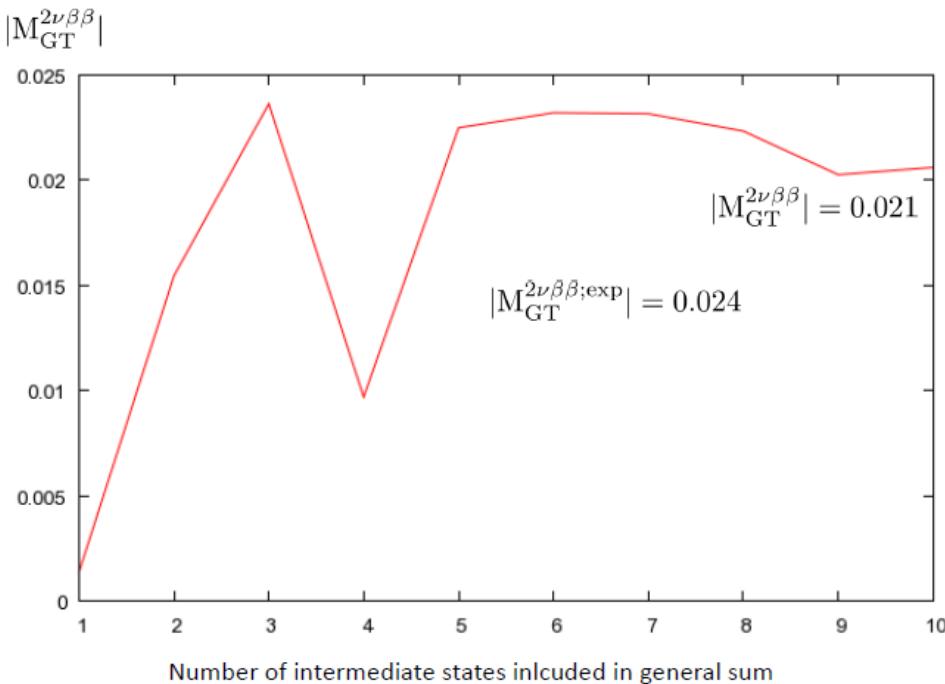
$$|M_{GT}^{2\nu\beta\beta}| = \sum_n \frac{\langle \Psi_f, I=0 | \hat{O}_{GT} | \Psi_n^{int}, I=1 \rangle \langle \Psi_n^{int}, I=1 | \hat{O}_{GT} | \Psi_i, I=0 \rangle}{(\frac{1}{2}Q_{\beta\beta}(\Psi_f, I=0) + E(\Psi_n^{int}) - M_i)/m_e + 1}$$

J. Suhonen, O. Civitarese, Physics Reports 300 (1998)

NSM uses **more than**
250 intermediate states!!!



VERY PRELIMINARY RESULTS !!!

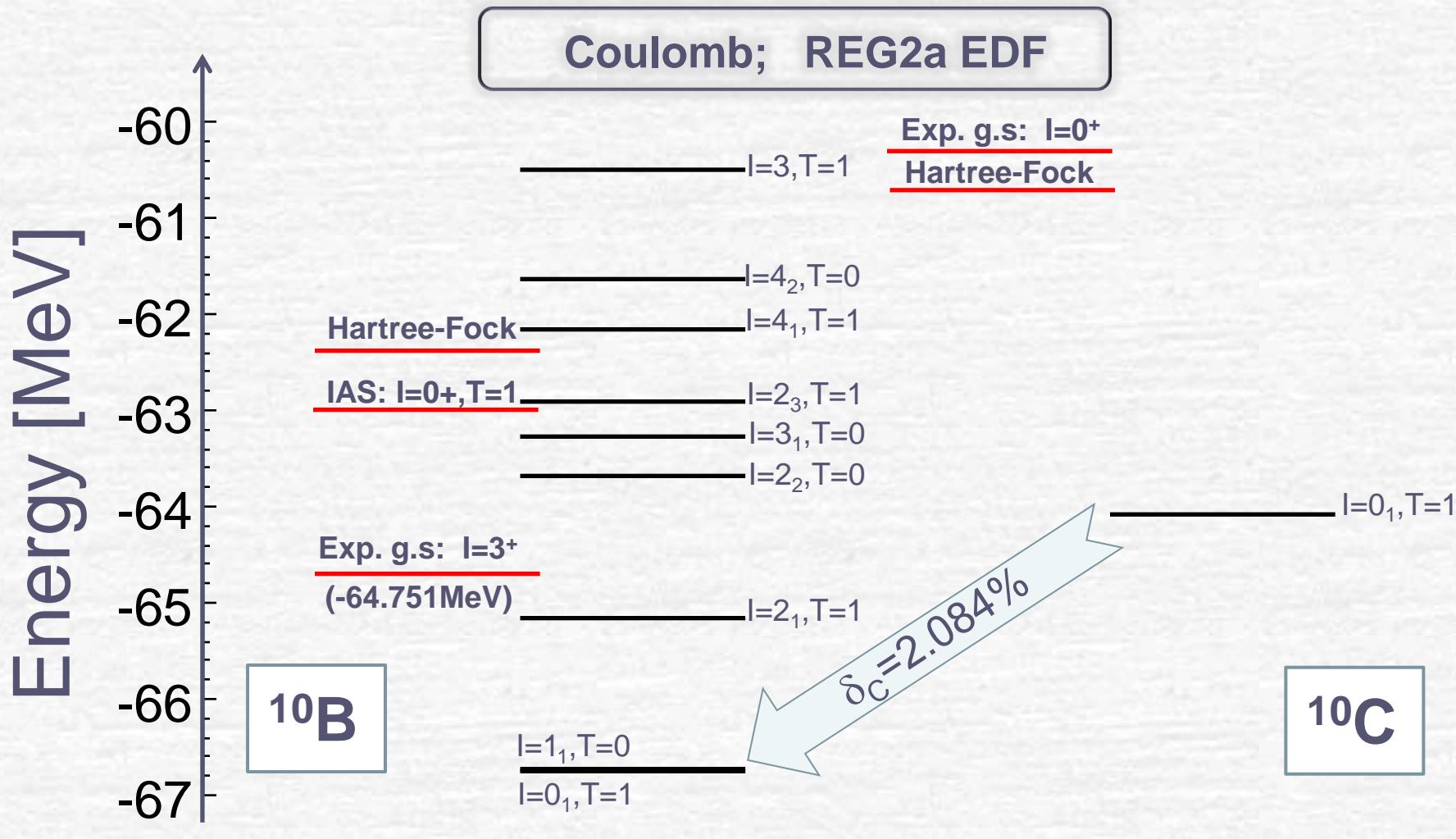


Horoi, Stoica, Brown; Phys Rev. C 75, 034303 (2007)

10 intermediate states only:

$E(1_1^+)$	2.901	$E(1_6^+)$	8.598
$E(1_2^+)$	4.028	$E(1_7^+)$	10.250
$E(1_3^+)$	4.669	$E(1_8^+)$	14.042
$E(1_4^+)$	5.356	$E(1_9^+)$	15.495
$E(1_5^+)$	6.931	$E(1_{10}^+)$	40.419

Projection from anti-aligned HF state BDR regularized functional REG2



$$\Delta E_{\text{th}} = 2.597 \text{ MeV}; \quad \Delta E_{\text{exp}} = 2.690 \text{ MeV}$$