

Microscopic Optical Potentials from Coupled Cluster Calculations

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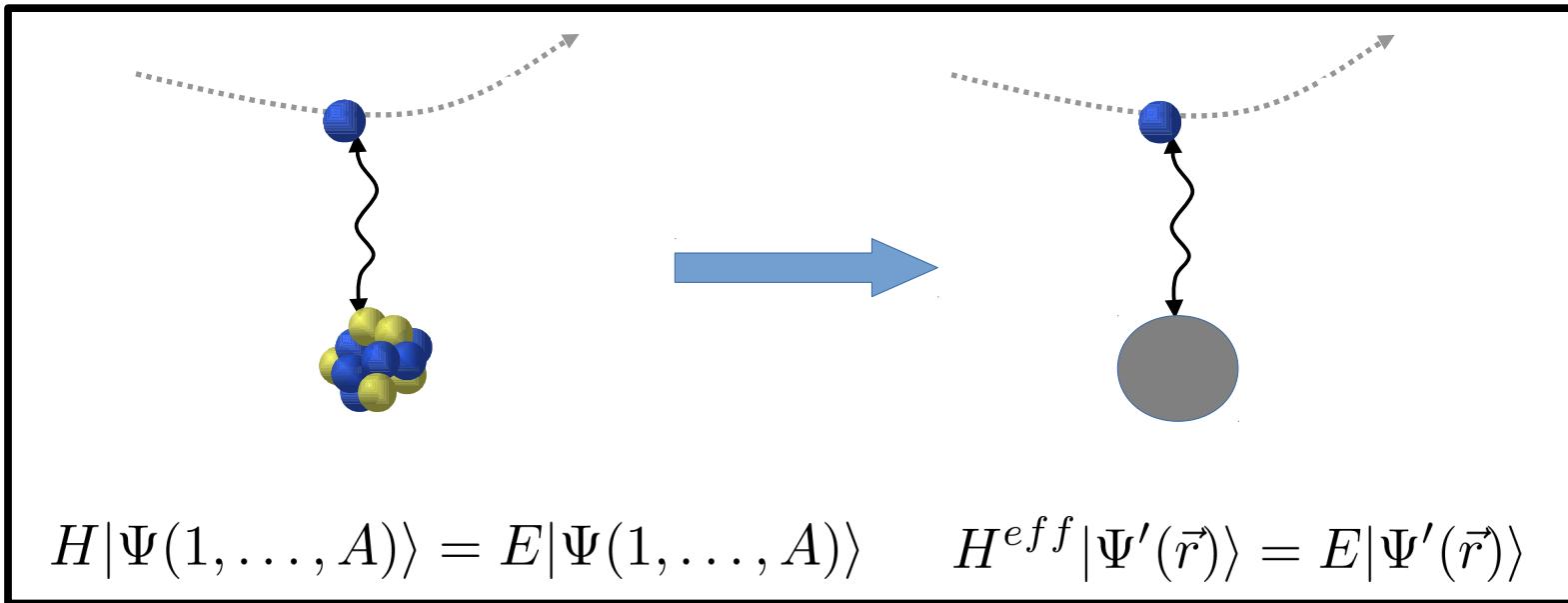
MICHIGAN STATE
UNIVERSITY



"Future directions for nuclear structure and reaction theories: *Ab initio* approaches for 2020", Fustipen meeting, March 14-18 2016.

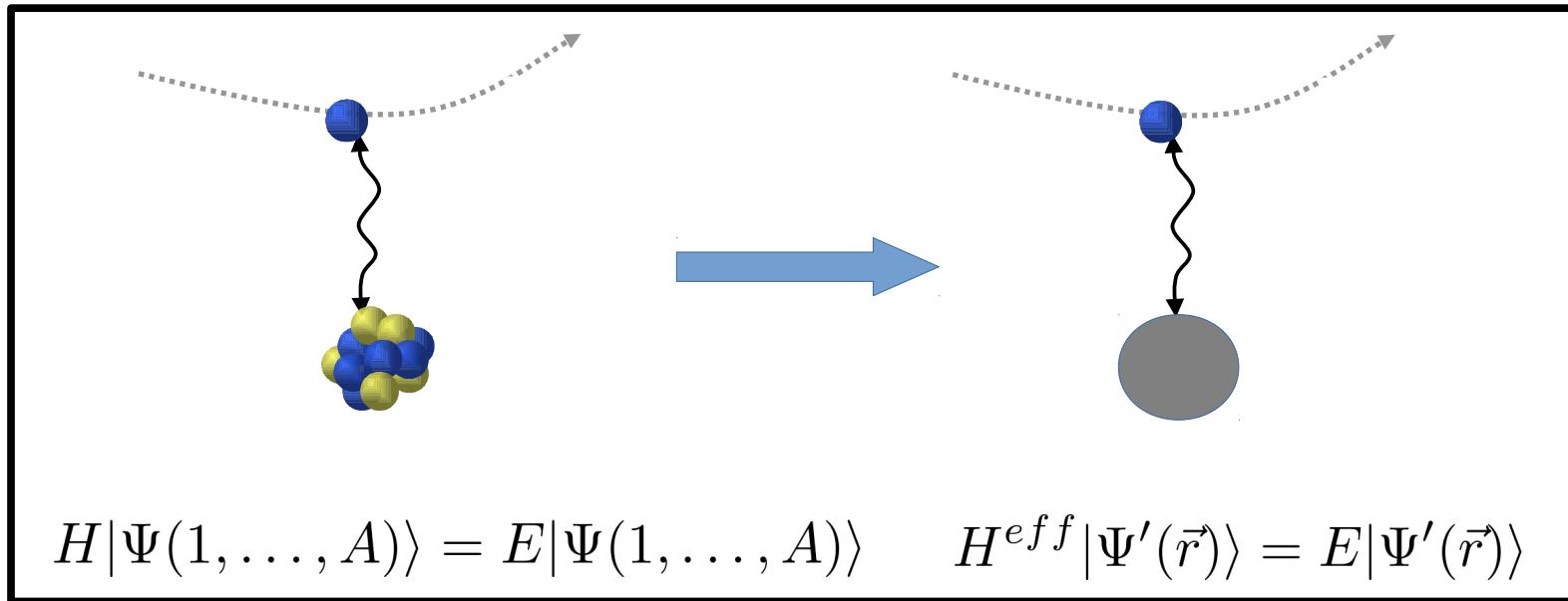
Goals

* microscopic construction of optical potentials : nucleons as degrees of freedom, modern realistic n-n, 3n forces...



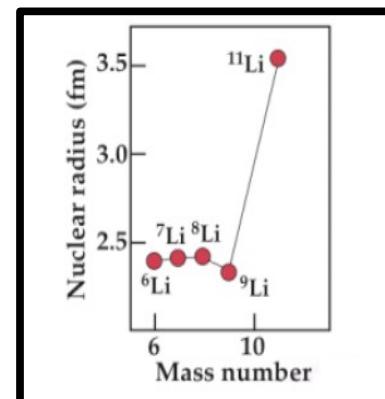
Goals

- * microscopic construction of optical potentials : nucleons as degrees of freedom, modern realistic n-n, 3n forces...

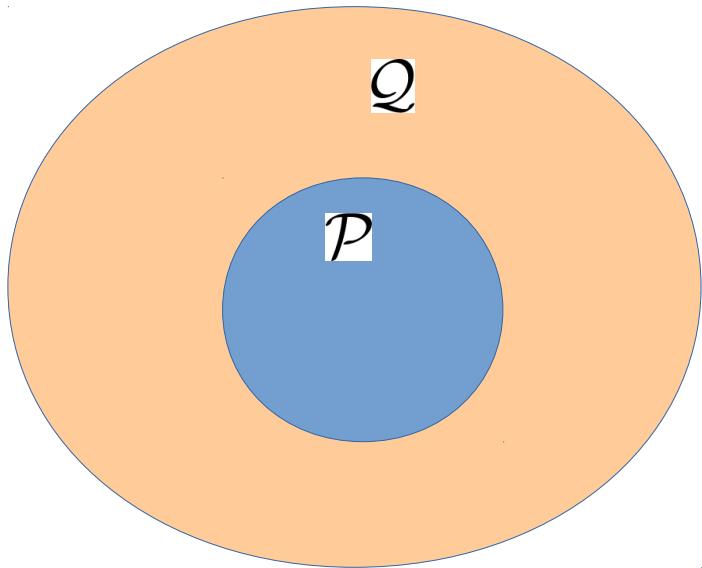


- * predictive theory for nuclear reactions

- * reliable/accurate extrapolations for systems far from stability.



Feshbach projection technique



$$\left\{ \begin{array}{l} |\Psi\rangle = |\Psi_P\rangle + |\Psi_Q\rangle \\ H = H_{PP} + H_{PQ} + H_{QP} + H_{QQ} \end{array} \right.$$

$$(E - H_{PP})|\Psi_P\rangle = H_{PQ}|\Psi_Q\rangle$$

$$(E - H_{QQ})|\Psi_Q\rangle = H_{QP}|\Psi_P\rangle$$

$$\left[E - \left(H_{PP} - H_{PQ} \frac{1}{E - H_{QQ}} H_{QP} \right) \right] |\Psi_P\rangle = 0$$

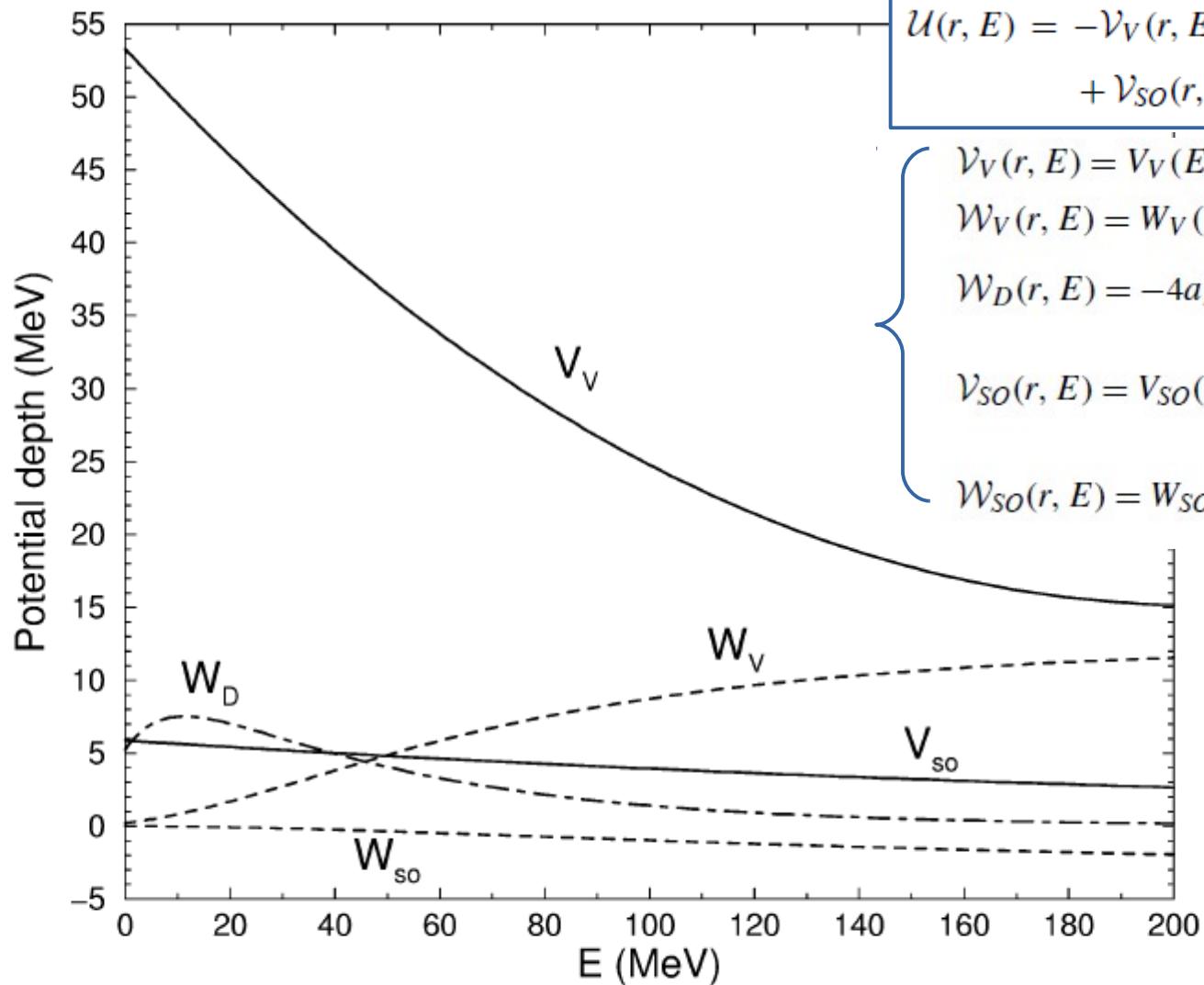


Effective (optical) potential:
→ energy-dependent, non local
→ complex (open quantum system)

Continuum Shell Model/Shell Model Embedded in the continuum:

H.W.Batz et al, NPA (1977) ; R.J. Philpott, NPA (1977) ;
K. Bennaceur et al, NPA(1999) ; J. Okołowicz, M. Płoszajczak,
I. Rotter, PR (2003) ; J. R et al, PRL (2005).

Phenomenological Optical Potential



$$\begin{aligned} \mathcal{U}(r, E) = & -\mathcal{V}_V(r, E) - i\mathcal{W}_V(r, E) - i\mathcal{W}_D(r, E) \\ & + \mathcal{V}_{SO}(r, E)\mathbf{l}\cdot\boldsymbol{\sigma} + i\mathcal{W}_{SO}(r, E)\mathbf{l}\cdot\boldsymbol{\sigma} + \mathcal{V}_C(r), \end{aligned}$$

$$\left. \begin{aligned} \mathcal{V}_V(r, E) &= V_V(E)f(r, R_V, a_V), \\ \mathcal{W}_V(r, E) &= W_V(E)f(r, R_V, a_V), \\ \mathcal{W}_D(r, E) &= -4a_D W_D(E) \frac{d}{dr} f(r, R_D, a_D), \\ \mathcal{V}_{SO}(r, E) &= V_{SO}(E) \left(\frac{\hbar}{m_\pi c} \right)^2 \frac{1}{r} \frac{d}{dr} f(r, R_{SO}, a_{SO}), \\ \mathcal{W}_{SO}(r, E) &= W_{SO}(E) \left(\frac{\hbar}{m_\pi c} \right)^2 \frac{1}{r} \frac{d}{dr} f(r, R_{SO}, a_{SO}). \end{aligned} \right\}$$

Fig. 1. The various potential well depths as a function of incident (laboratory) energy, see Eq. (7). As an example, the values for neutrons incident on ^{56}Fe are plotted.

Single-particle Green's function

$$G(\alpha, \beta, t, t') = -\frac{i}{\hbar} \langle \Psi_0^A | \mathcal{T}[a_\alpha(t) a_\beta^\dagger(t')] | \Psi_0^A \rangle$$

Time ordering operator :

$$\mathcal{T}[a_\alpha(t) a_\beta^\dagger(t')] = \theta(t - t') a_\alpha(t) a_\beta^\dagger(t') - \theta(t' - t) a_\beta^\dagger(t') a_\alpha(t)$$

After a Fourier transformation:

$$G(\alpha, \beta, E) = \langle \Psi_0^A | a_\alpha \frac{1}{E - (H - E_0^A) + i\eta} a_\beta^\dagger | \Psi_0^A \rangle + \langle \Psi_0^A | a_\beta^\dagger \frac{1}{E + (E_0^A - H) - i\eta} a_\alpha | \Psi_0^A \rangle$$

$$\eta \rightarrow 0$$

Lehman representation

$$G(\alpha, \beta; E) = \sum_n \frac{\langle \Psi_0^A | a_\alpha | \Psi_n^{A+1} \rangle \langle \Psi_n^{A+1} | a_\beta^\dagger | \Psi_0^A \rangle}{E - [E_n^{A+1} - E_0^A] + i\eta} + \sum_m \frac{\langle \Psi_0^A | a_\beta^\dagger | \Psi_m^{A-1} \rangle \langle \Psi_m^{A-1} | a_\alpha | \Psi_0^A \rangle}{E - [E_0^A - E_m^{A-1}] - i\eta}$$

Connection to experimental data:

i) poles: energy of the $A+1$ and $A-1$ nuclei with respect to the g.s. of the A -nucleon system

ii) spectral functions :

$$E \leq \epsilon_F^- = E_0^A - E_0^{A-1}$$

$$S_h(\alpha; E) = \frac{1}{\pi} \text{Im } G(\alpha, \alpha; E) = \sum_m |\langle \Psi_m^{A-1} | a_\alpha | \Psi_0^A \rangle|^2 \delta(E - (E_0^A - E_m^{A-1}))$$

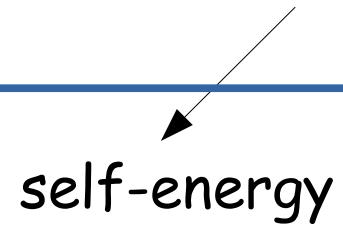
$$S_p(\alpha; E) = -\frac{1}{\pi} \text{Im } G(\alpha, \alpha; E) = \sum_n |\langle \Psi_n^{A+1} | a_\alpha^\dagger | \Psi_0^A \rangle|^2 \delta(E - (E_n^{A+1} - E_0^A))$$

$$E \geq \epsilon_F^+ = E_0^{A+1} - E_0^A$$

"measure" of the correlations in nuclei as their behaviors deviate from an independent particle model

Dyson equation

$$G(\alpha, \beta; E) = G^{(0)}(\alpha, \beta; E) + \sum_{\gamma, \delta} G^{(0)}(\alpha, \gamma; E) \Sigma(\gamma, \delta; E) G(\delta, \beta; E)$$



A diagram illustrating the Dyson equation. A horizontal blue line represents the total Green's function $G(\alpha, \beta; E)$. Above the line, the bare Green's function $G^{(0)}$ is shown as a shorter blue line segment from α to β . Below the line, the self-energy term $\Sigma(\gamma, \delta; E)$ is represented by a red wavy line segment connecting states γ and δ . An arrow points from the text "self-energy" to this red wavy line.

self-energy

Dyson equation

$$G(\alpha, \beta; E) = G^{(0)}(\alpha, \beta; E) + \sum_{\gamma, \delta} G^{(0)}(\alpha, \gamma; E) \Sigma(\gamma, \delta; E) G(\delta, \beta; E)$$

self-energy

$$z_n^{A-1}(r) = \langle \Psi_n^{A-1} | a_r | \Psi_0^A \rangle$$

$$\xi_{E+}^c(r) = \langle \Psi_0^A | a_r | \Psi_{E+}^c \rangle$$

solutions of a one-body Schrödinger-like equation with the self-energy .

wave function for the elastic scattering from the g.s of the A -nucleon system

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wave function for the elastic scattering from the g.s of the A -nucleon system

Applications in Nuclear Physics:

W. H. Dickhoff, C. Barbieri; Prog.Part.Nucl.Phys. 52 (2004) 377-496

.....

H. Dussan, M. H. Mahzoon, R. J. Charity, W. H. Dickhoff, A. Polls, Phys. Rev. C90, 061603(R) (2014).

Our approach: calculation of the Green's function with the Coupled Cluster method.

$$G(\alpha, \beta, E) = \langle \Psi_0^A | a_\alpha \frac{1}{E - (H - E_0^A) + i\eta} a_\beta^\dagger | \Psi_0^A \rangle \\ + \langle \Psi_0^A | a_\beta^\dagger \frac{1}{E + (E_0^A - H) - i\eta} a_\alpha | \Psi_0^A \rangle$$

Previous applications in Quantum Chemistry:

M. Nooijen, J. G. Snijders; J. Quantum Chem. 44 (1992) 55,...,
Kowalski K, K Bhaskaran-Nair, and WA Shelton; J. Chem. Phys. 141
(2014) 094102.

Coupled cluster

Exponential ansatz for the many-body wave function :

$$|\Psi\rangle = e^T |\Phi\rangle$$

G. Hagen, T. Papenbrock, M. Hjorth-Jensen,
D. J. Dean, Rep. Prog. Phys. (2014)

1p-1h operator

$$T = T_1 + T_2 + \dots$$

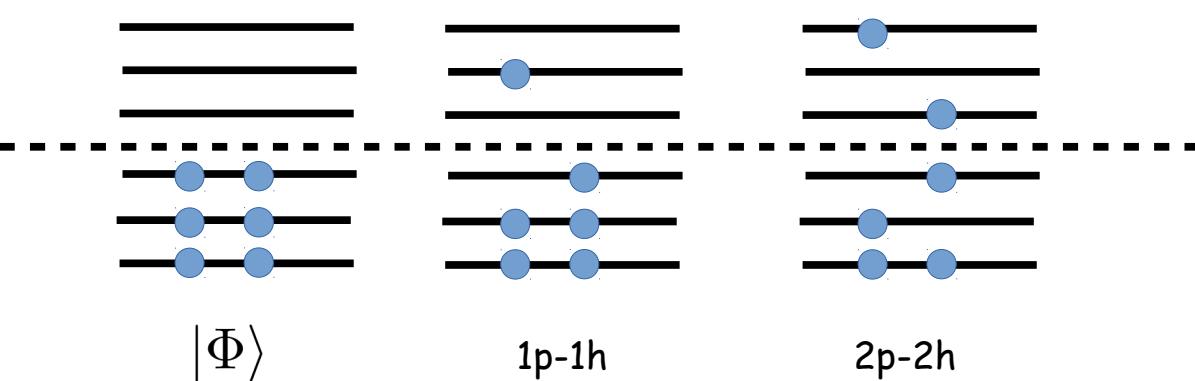
2p-2h operator

Similarity-transformed Hamiltonian

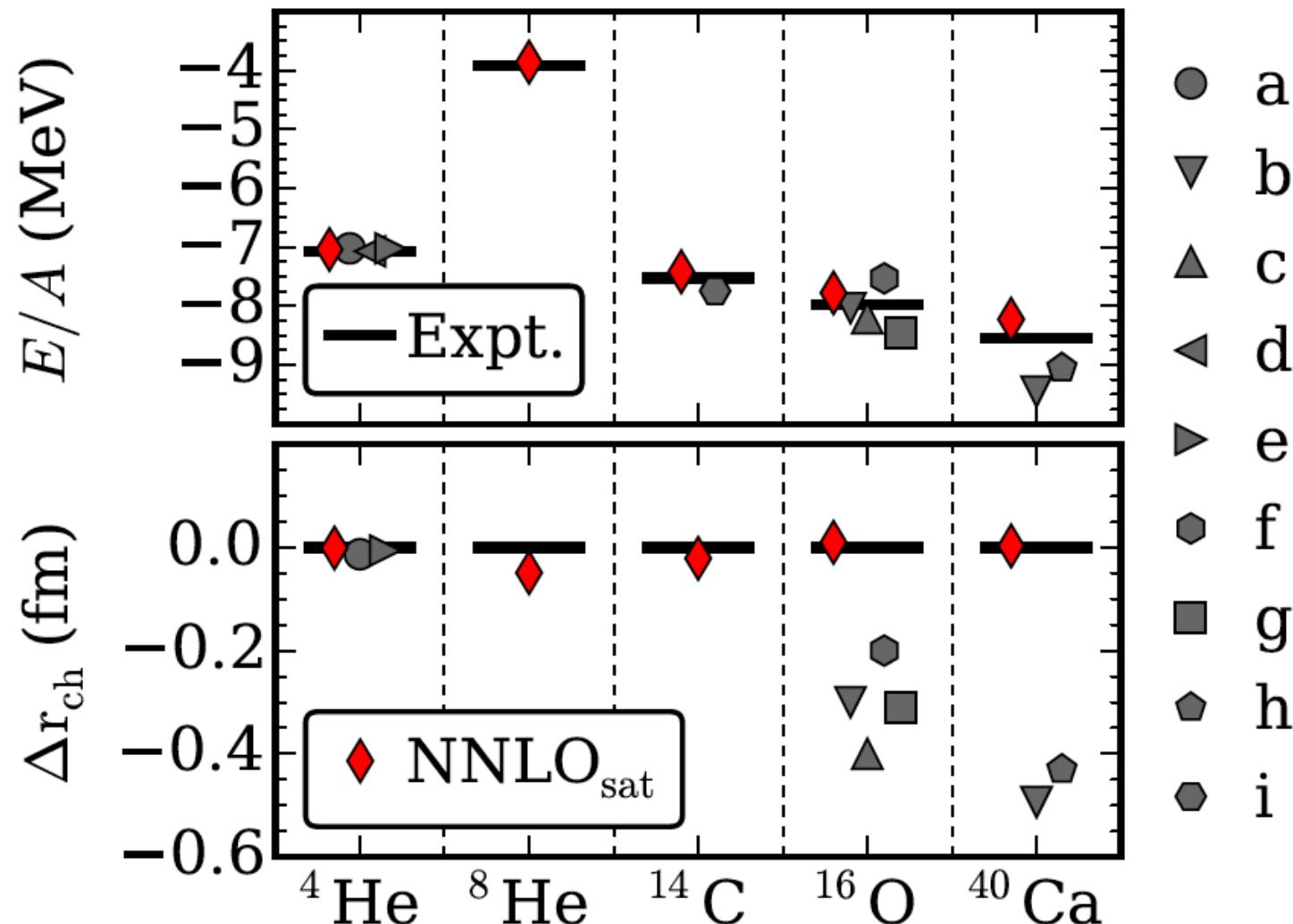
$$\bar{H} = e^{-T} H e^T$$

Coupled-cluster equations

$$\begin{aligned} E &= \langle \Phi | \bar{H} | \Phi \rangle \\ 0 &= \langle \Phi_i^a | \bar{H} | \Phi \rangle \\ 0 &= \langle \Phi_{ij}^{ab} | \bar{H} | \Phi \rangle \\ &\dots \end{aligned}$$



Coupled Cluster calculations of g.s. energies and charge radii



Coupled Cluster Green's function

$$\begin{aligned} G(\alpha, \beta; E) &= \langle \Phi_L | \bar{a}_\alpha \frac{1}{E - [\bar{H} - E_0^A] + i\eta} \bar{a}_\beta^\dagger | \Phi \rangle \\ &+ \langle \Phi_L | \bar{a}_\beta^\dagger \frac{1}{E - [E_0^A - \bar{H}] - i\eta} \bar{a}_\alpha | \Phi \rangle \end{aligned}$$

→ similarity-transformed operators :

$$\boxed{\begin{aligned} \bar{a}_\alpha^\dagger &= e^{-T} a_\alpha^\dagger e^T \\ \bar{a}_\alpha &= e^{-T} a_\alpha e^T \end{aligned}}$$

→ Inversion of the (similarity-transformed) Hamiltonian in the Lanczos basis :

$$\{ \langle \Phi_L | \bar{a}_\alpha, \langle \Phi_L | \bar{a}_\alpha \bar{H}, \langle \Phi_L | \bar{a}_\alpha \bar{H}^2, \dots, \bar{H}^2 \bar{a}_\beta^\dagger | \Phi \rangle, \bar{H} \bar{a}_\beta^\dagger | \Phi \rangle, a_\beta^\dagger | \Phi \rangle \}$$

Particle spectral function in ^{17}O

$$S_p(\alpha; E) = -\frac{1}{\pi} \text{Im } G(\alpha, \alpha; E)$$

CCSD (Single-Double):

-> H.O. basis with $\hbar\omega=20$ MeV.

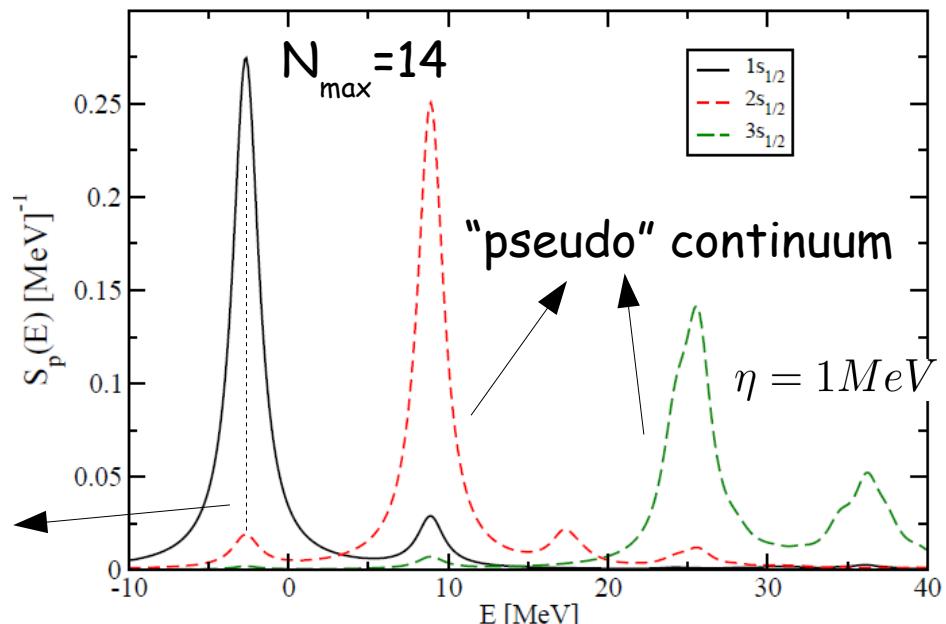
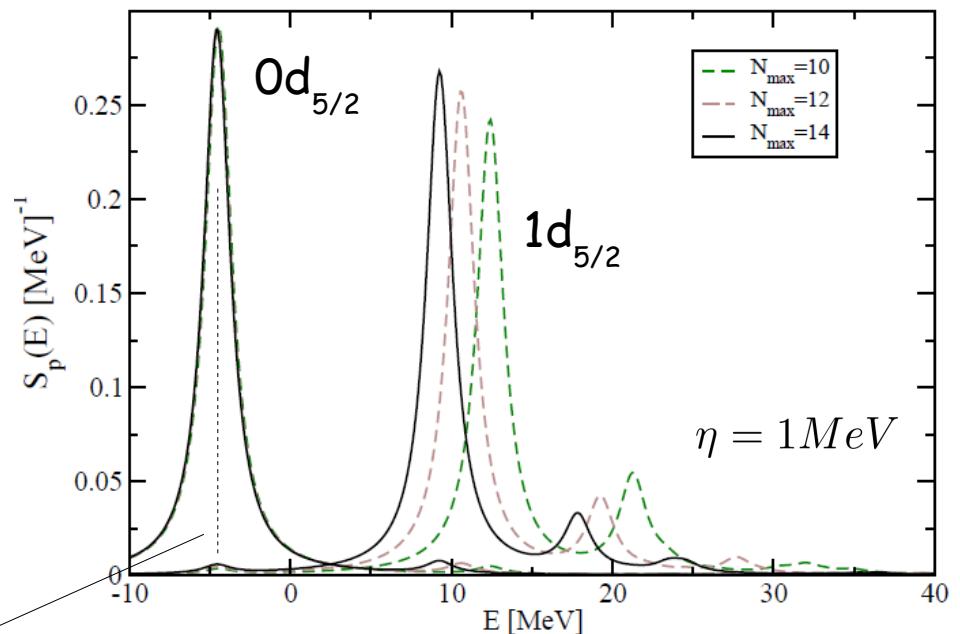
→ Interaction : $N^2\text{LO}_{\text{opt}}$

(A. Ekström et al, PRL 110 [2013])

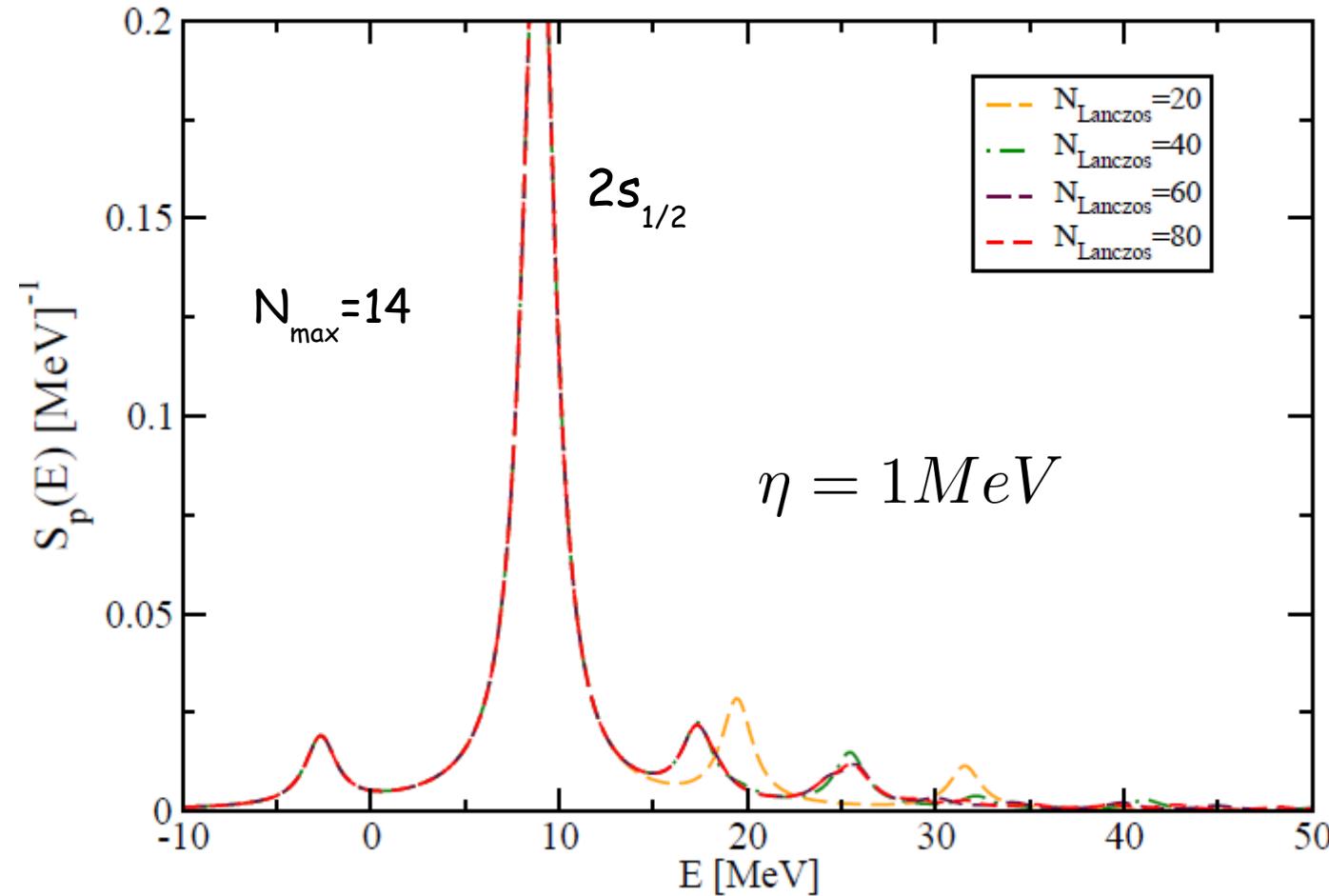
$$\begin{aligned} E^{\text{exp}}(5/2^+) &= -4.142 \text{ MeV} \\ E^{\text{exp}}(1/2^+) &= -3.272 \text{ MeV} \end{aligned}$$

ground state
 $(E_{\text{ccsd}} = -4.557 \text{ MeV})$

1st excited state
 $(E_{\text{ccsd}} = -2.670 \text{ MeV})$

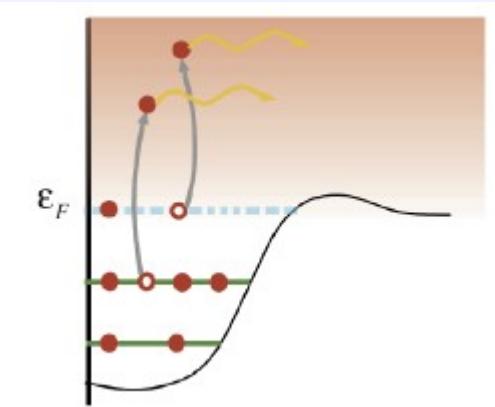
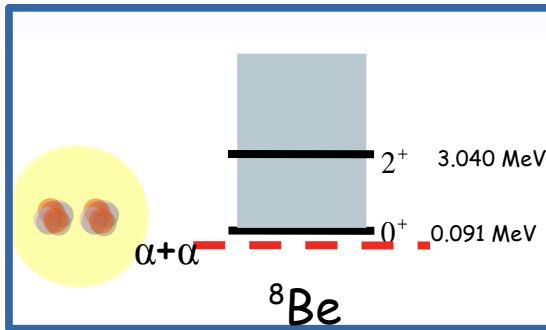
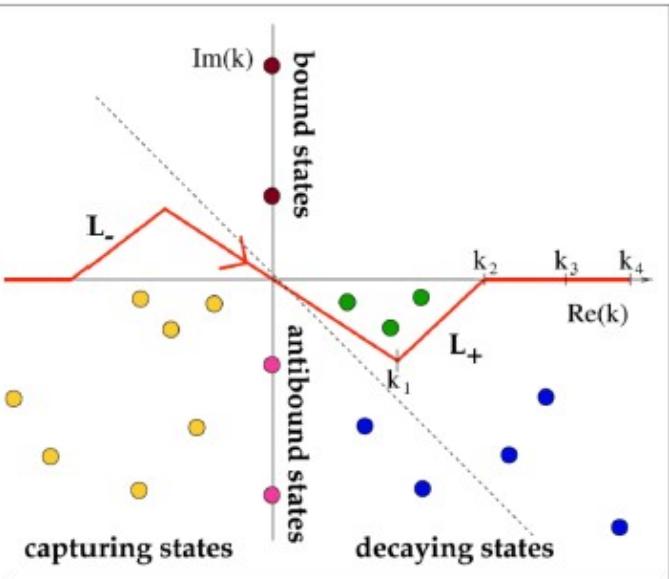


Convergence pattern with the number of Lanczos iterations



Berggren basis

Physics of nuclei at the edges of stability



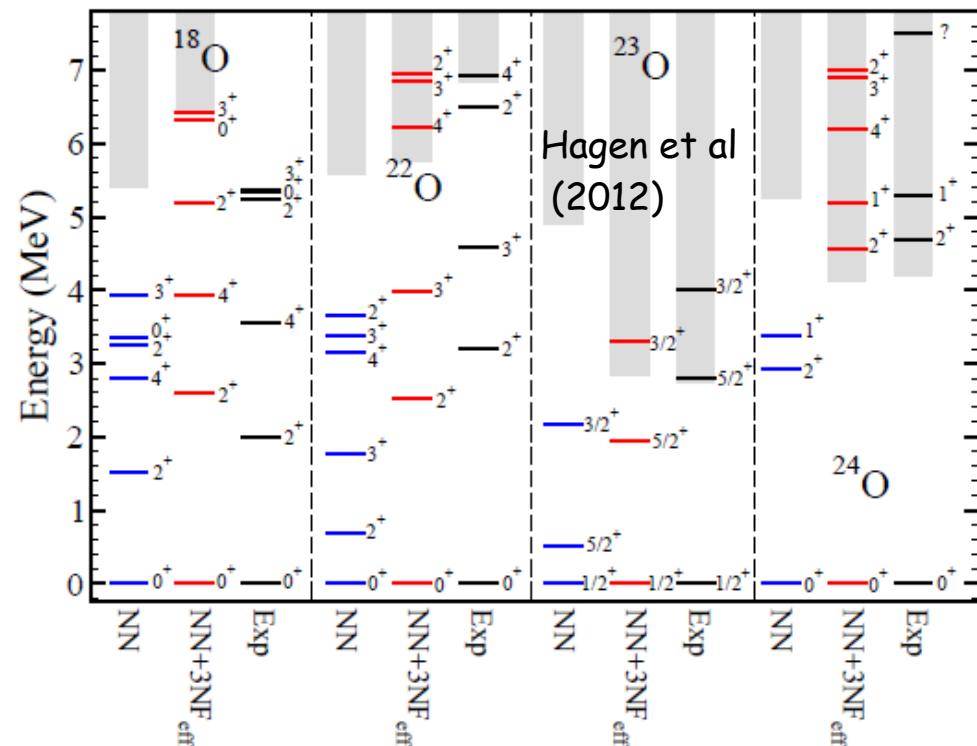
- *coupling to the continuum is an essential feature of systems far from stability.
- *taken into account by using the Berggren basis which includes bound, resonant and scattering states.

Gamow (complex-energy) Shell Model :

N. Michel et al, PRL (2002); G. Hagen et al, PRC (2005);
 J.R et al, PRL (2006); N. Michel et al, JPG (2009); G.
 Papadimitriou et al, PRC (2014); Y. Jaganathan et al, JP
 (2012); K. Fossez et al, PRA (2015).

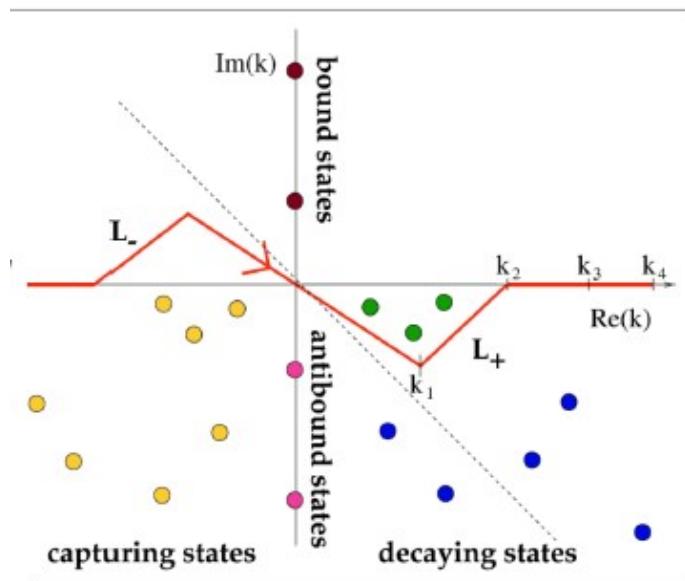
Coupled Cluster in the Gamow Basis:

G. Hagen et al; PLB (2007), PRC (2009), PRL (2010), PRL
 (2012), RPP (2014).



Green's function in the Berggren Basis

$$G(\alpha, \beta; E) = \sum_n \frac{\langle \Psi_0^A | a_\alpha | \Psi_n^{A+1} \rangle \langle \Psi_n^{A+1} | a_\beta^\dagger | \Psi_0^A \rangle}{E - [\tilde{E}_n^{A+1} - E_0^A] + i\eta} + \sum_m \frac{\langle \Psi_0^A | a_\beta^\dagger | \Psi_m^{A-1} \rangle \langle \Psi_m^{A-1} | a_\alpha | \Psi_0^A \rangle}{E - [E_0^A - \tilde{E}_m^{A-1}] - i\eta}$$



→ with the complex-continuum
the (numerical) Green's function
behaves smoothly as η goes to 0.

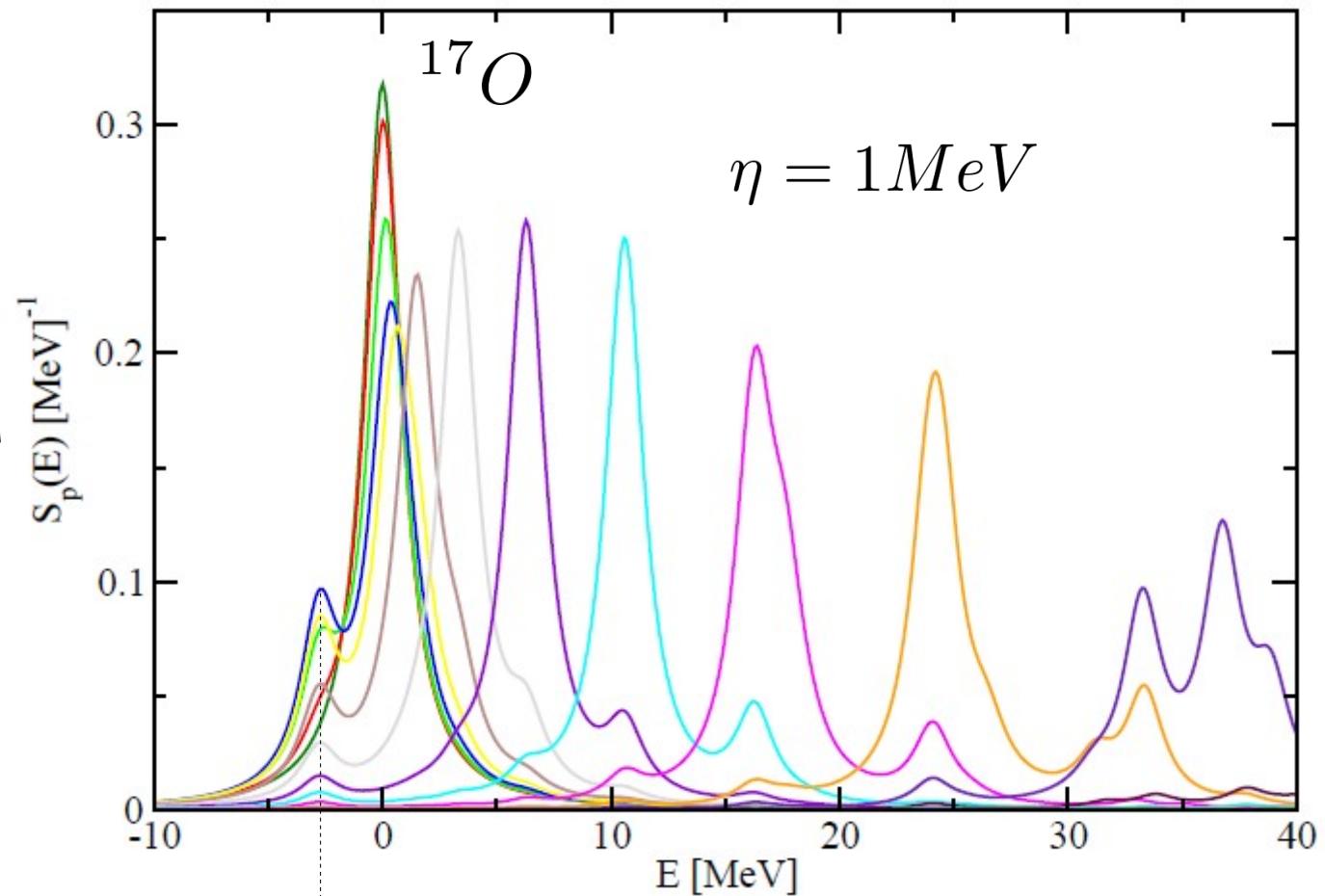
Particle spectral function in ^{17}O

CCSD:

→ H.O. Shells ($N_{\max} = 14$)

→ 40 s-wave (real) continuum shells

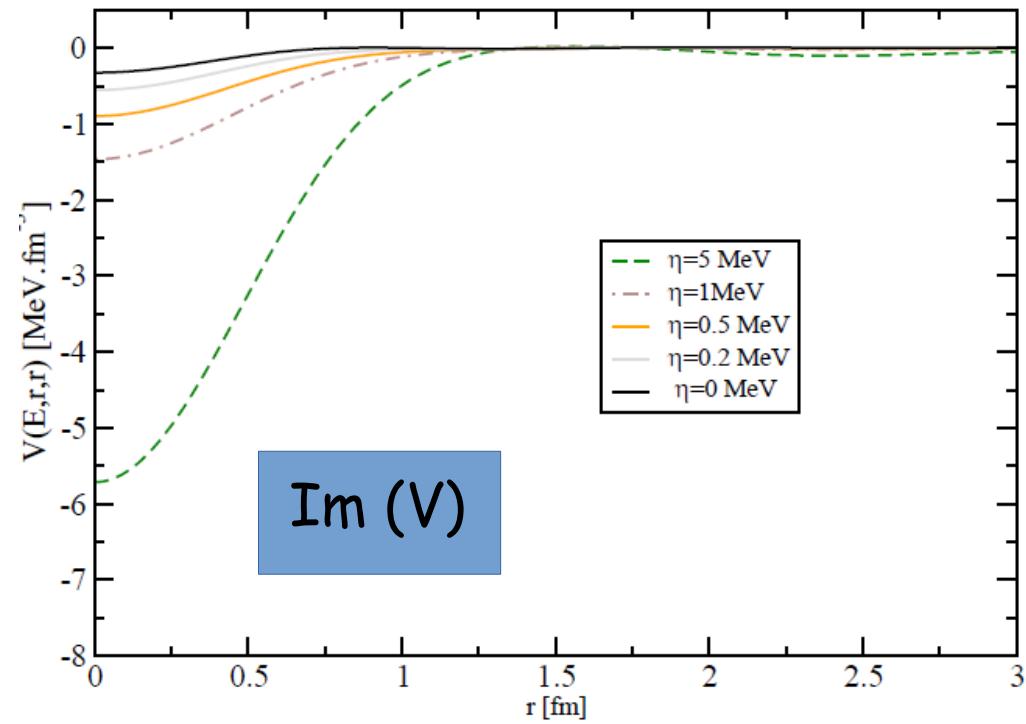
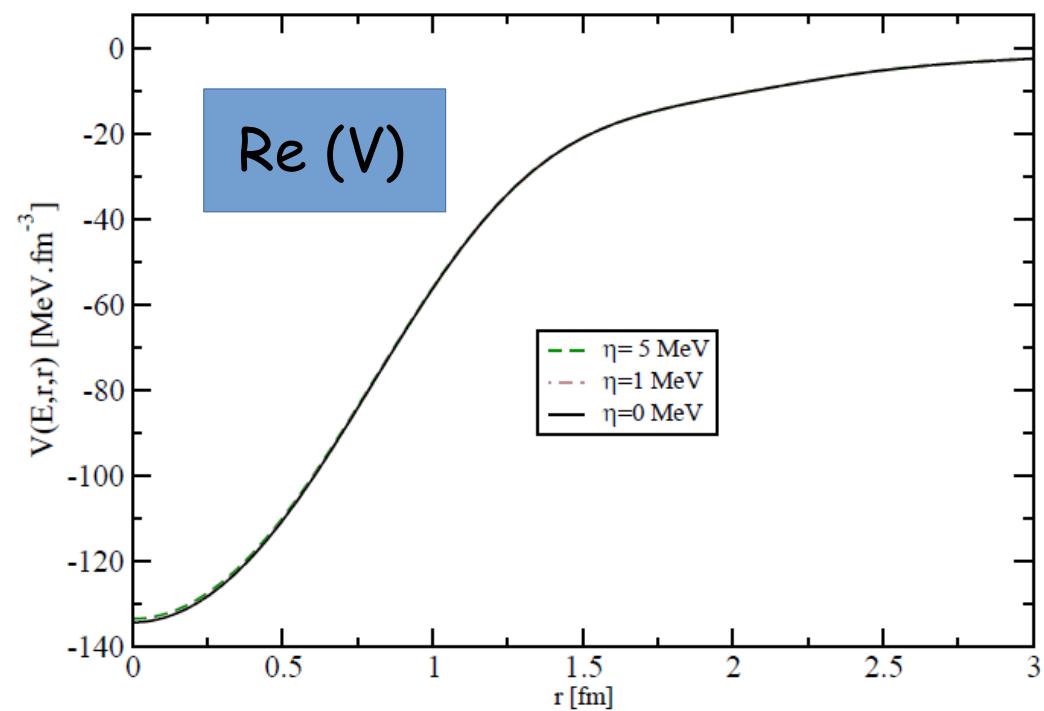
→ Interaction : N^2LO_{opt}



$1/2^+$ bound state in ^{17}O

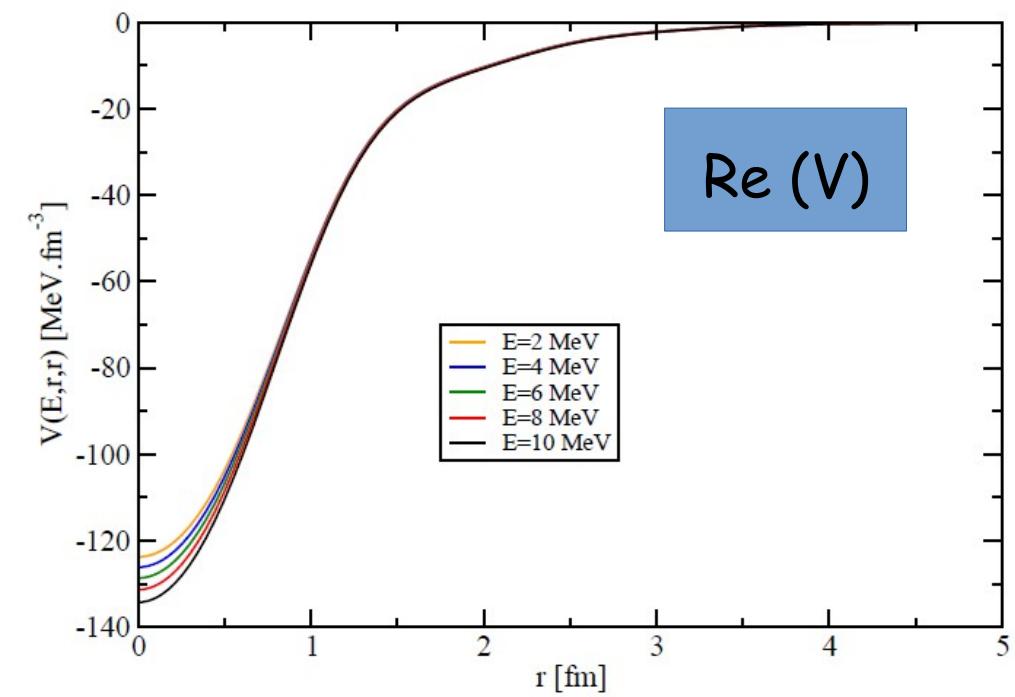
($E_{\text{ccsd}} = -2.761 \text{ MeV}$)

Optical Potential for S-wave neutron elastic scattering at 10 MeV

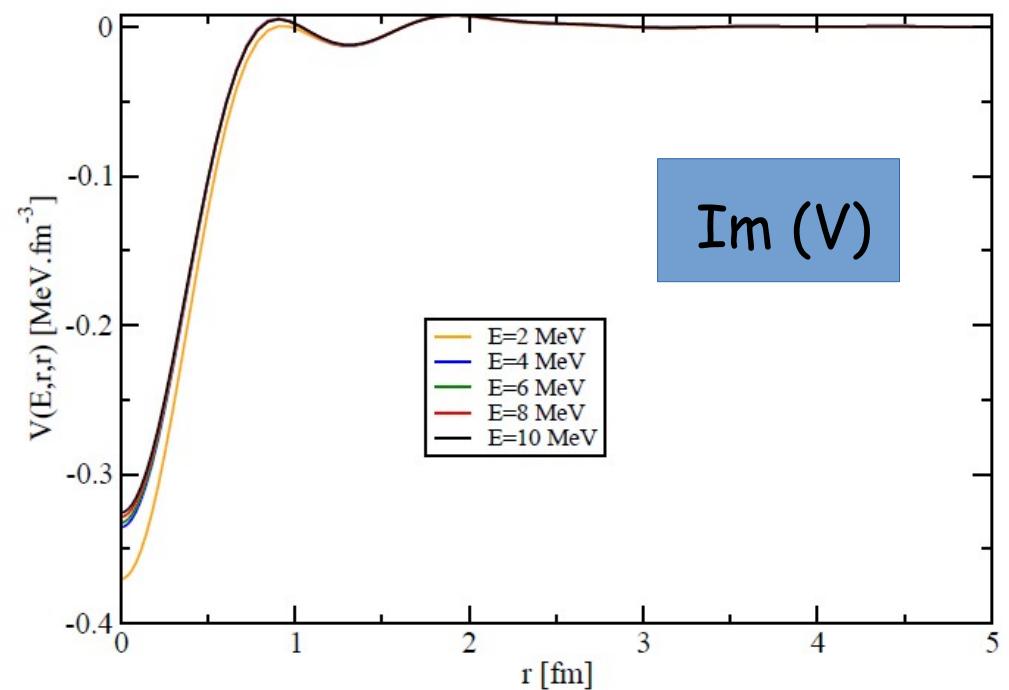


H.O. shells ($N_{\max} = 10$) + 40 s-wave complex continuum

Optical Potential for S-wave neutron elastic scattering



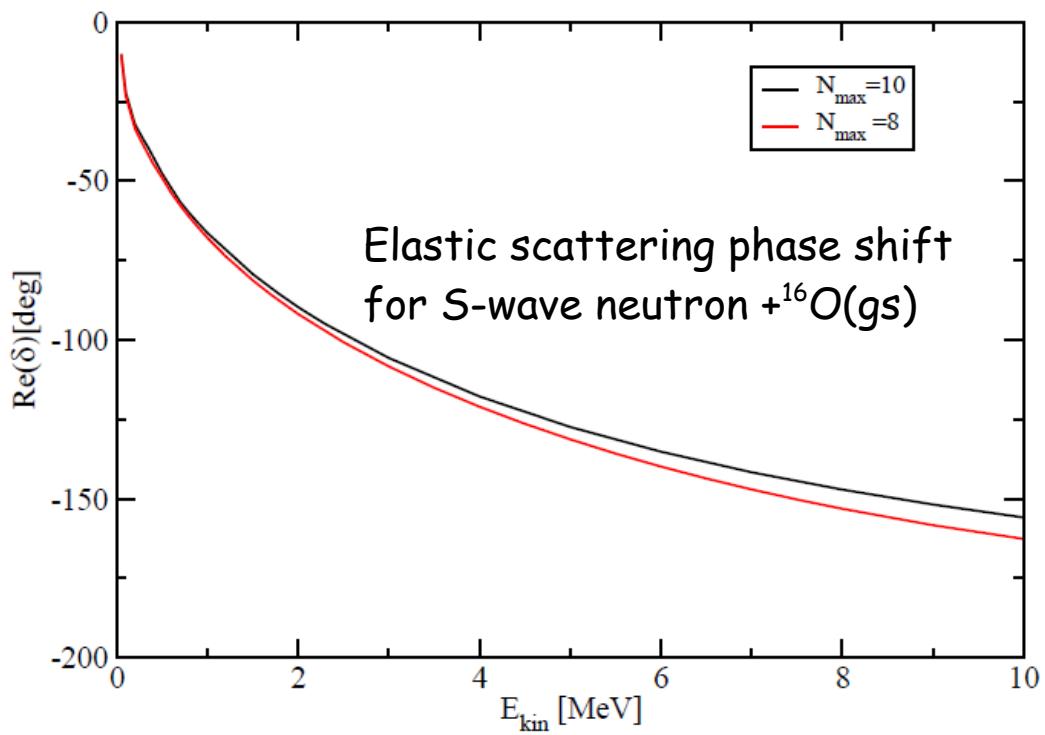
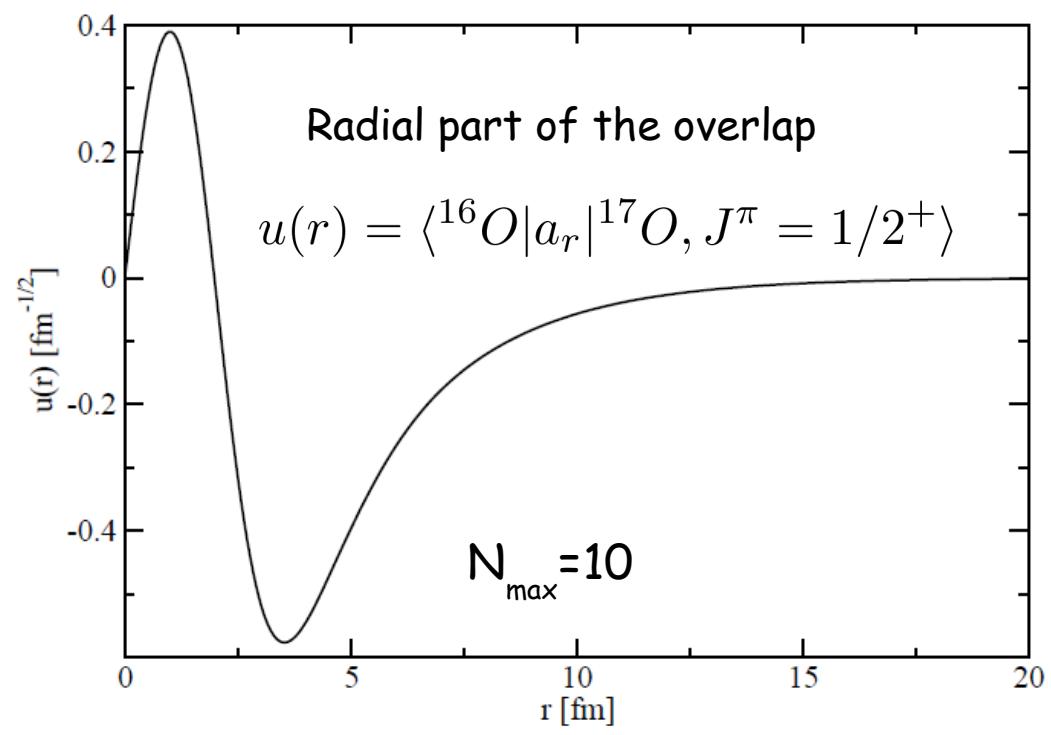
$\text{Re} (V)$



$\text{Im} (V)$

H.O. shells ($N_{\max} = 10$) + 40 s-wave complex continuum

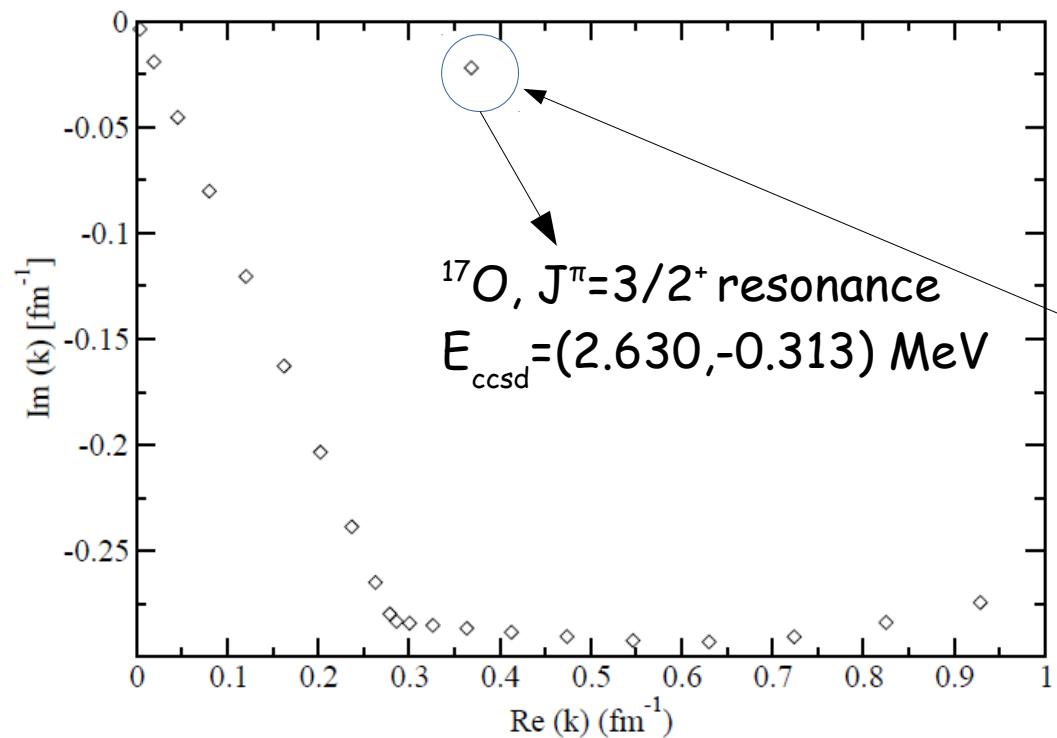
S-wave neutron



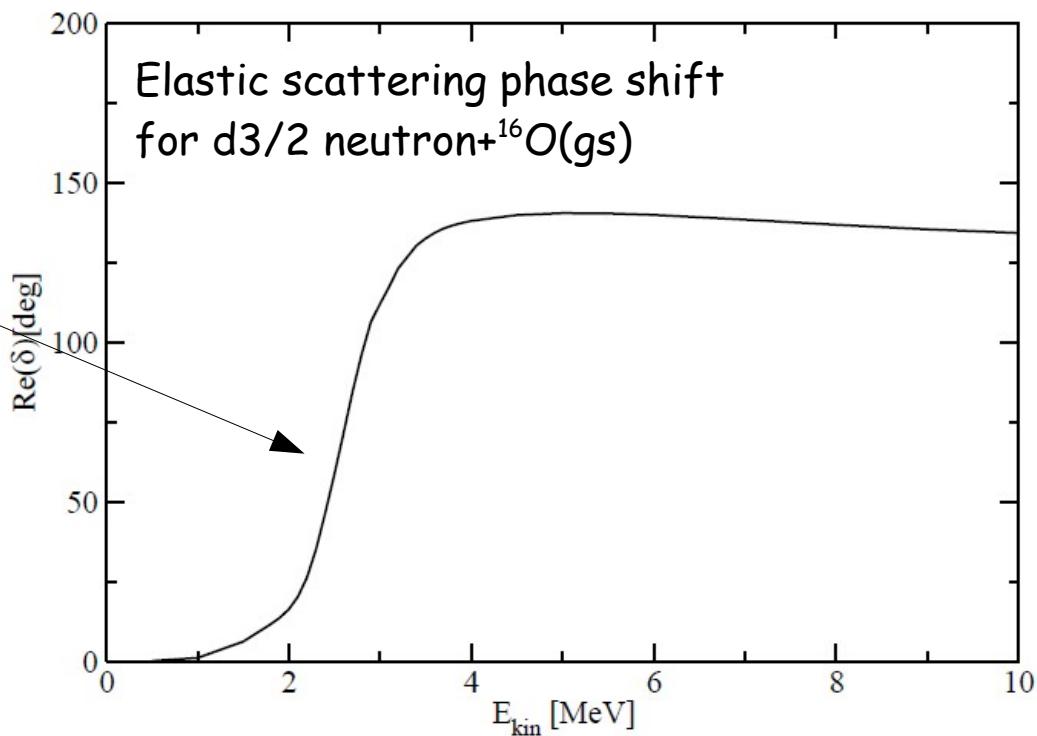
H.O. shells + 40 s-wave complex continuum shells

$d_{3/2}$ neutron

CCSD spectrum in k-space for $J^\pi=3/2^+$



$$E^{\text{exp}}(3/2^+) = (0.943, -0.48) \text{ MeV}$$

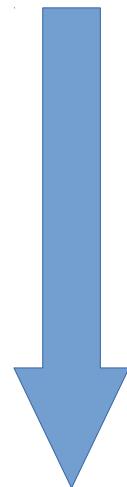


H.O. shells ($N_{\text{max}}=10$) + 40 $d_{3/2}$ -wave complex continuum

Towards Optical Potentials from Coupled Cluster Calculations

Combining the Many-body Green's function
and the Coupled-Cluster method.

Ab-initio approach with n-n,
3n forces and coupling to the
continuum



Microscopic construction of optical potentials