

EFT of ${}^3\text{H}$ and ${}^3\text{He}$

U. van Kolck

*Institut de Physique Nucléaire d'Orsay
and University of Arizona*

Outline

- Why Pionless EFT
- What Pionless EFT
- A spin on Pionless EFT
- Next for Pionless EFT



Why

The 60s schism: nuclear and particle physics part ways

- "too many divergences"
 - "too many interactions"
- ➔ fairwell field theory
data fitting eclipses consistency

The 90s re-unification: nuclear again connected to particle physics

- ✓ renormalization
 - ✓ power counting
- ➔ welcome effective field theory
consistency before fitting

simplest in
Pionless EFT

- two-body system analytical with separable regulators
- three-body system numerically clean after two-body regulator removed
- more generally, few-nucleon physics relatively transparent
- close connection with cold-atom physics and other long-distance physics

➤ Renormalization and power counting playground for nuclear EFTs

naïve dimensional analysis too naïve
for non-perturbative renormalization

Cohen *et al.* '96, '97
...

but message not yet fully digested in Chiral EFT

➤ A theory of (real) light nuclei

emphasis today

$A \leq 6$ nuclei described up to 30% in LO,
 $A \leq 3$ much better to N²LO

Bedaque + vK '97
...

bound states and low-energy reactions, including symmetry violation

➤ A theory of nuclei at larger quark masses ("lattice nuclei")

only EFT for pion masses
in most current LQCD calculations

Barnea *et al.* '13
...

extrapolation of LQCD to heavier nuclei and to reactions



Effective Field Theory [©]

$$T = T^{(\infty)} (Q \sim m \ll M) \propto \sum_{\nu=\nu_{\min}}^{\infty} \left[\frac{Q}{M} \right]^{\nu} \sum_i \underbrace{\tilde{c}_{\nu,i}(M, \Lambda)}_{\text{"low-energy constants"}} F_{\nu,i} \left(\underbrace{\frac{Q}{m}; \frac{Q}{\Lambda}}_{\text{non-analytic, from loops}} \right)$$

$\frac{\partial T}{\partial \Lambda} = 0$
arbitrary UV regulator

light scales *hard scales*

counting index
 "power counting"

For $Q \sim m$, truncate ...

$$T = T^{(\bar{\nu})} \left[1 + \mathcal{O} \left(\frac{Q}{M}, \frac{Q}{\Lambda} \right) \right]$$

controlled

... consistently with RG invariance

$$\frac{\Lambda}{T^{(\bar{\nu})}} \frac{\partial T^{(\bar{\nu})}}{\partial \Lambda} = \mathcal{O} \left(\frac{Q}{\Lambda} \right) \ll 1$$

model independent

If so { to minimize cutoff errors, $\Lambda \gtrsim M$
 for realistic error estimate, $\Lambda \in [M, \infty)$

OTHERWISE THERE IS NO ERROR ESTIMATE

Pionless EFT

$$Q \ll M_{hi} \sim m_\pi$$

- d.o.f.: nucleons
- symmetries: Lorentz, ~~P~~, ~~T~~, ~~B~~

$$\begin{aligned} \mathcal{L}_{EFT} = & N^+ \left(i\mathbf{D}_0 + \frac{\mathbf{D}^2}{2m_N} \right) N + \sum_{I=0,1} C_{0I} N^+ N^+ \mathbf{P}_I N N \\ & + D_0 N^+ N^+ N^+ N N N \\ & + \Delta C_{0I_3=1} N^+ N^+ \mathbf{P}_{I_3=1} N N + \sum_{I=0,1} C_{2I} \left(N^+ N^+ \mathbf{P}_I \mathbf{D}^2 N N + \dots \right) \\ & + \dots \end{aligned}$$

$$\mathbf{D}_\mu = \partial_\mu + ie \frac{1 + \tau_3}{2} A_\mu$$



$$\begin{aligned}
 & \left[\text{Diagram: } V_A \right] = \left[\text{Diagram: } \text{Contact} \right] + \left[\text{Diagram: } \text{Loop} \right] + \dots \\
 & \left[\text{Diagram: } \text{Contact} \right] \quad \left[\text{Diagram: } \text{Loop} \right] \\
 & \quad \left(\begin{array}{l} s=0,1 \\ l=0 \end{array} \right) \quad \left(\begin{array}{l} s=0,1 \\ l=0 \end{array} \right) \\
 & \left. \begin{array}{l} C_{2n} Q^{2n} \sim \frac{4\pi}{m_N M_{lo}} \frac{Q^{2n}}{M_{lo}^n M_{hi}^n} \quad \text{s to s waves} \\ \sim \frac{4\pi}{m_N M_{lo}} \left(\frac{Q}{M_{hi}} \right)^{2n} \left(\frac{M_{lo}}{M_{hi}} \right)^{1-\#} \\ \# = 0, 1: \text{ s waves} \end{array} \right\}
 \end{aligned}$$

$$\begin{aligned}
 & + \left[\text{Diagram: } \text{Contact} \right] + \left[\text{Diagram: } \text{Loop} \right] + \dots \\
 & \left[\text{Diagram: } \text{Contact} \right] \quad \left[\text{Diagram: } \text{Loop} \right] \\
 & \quad \left(\begin{array}{l} s=0 \\ l=0 \end{array} \right) \quad \left(\begin{array}{l} s=0 \\ l=0 \end{array} \right) \\
 & \left. \begin{array}{l} \equiv \frac{C_{-2}}{Q^2} \sim \frac{4\pi}{m_N M_{lo}} \frac{\alpha m_N M_{lo}}{Q^2} \\ \Delta C_{0I_3=1} \sim \frac{4\pi}{m_N M_{lo}} \frac{\alpha m_N}{M_{lo}} \end{array} \right\}
 \end{aligned}$$

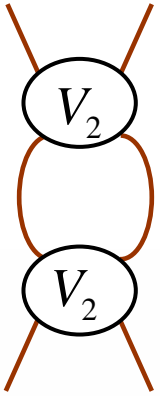
$$\begin{aligned}
 & + \left[\text{Diagram: } \text{Contact} \right] + \dots \\
 & \left[\text{Diagram: } \text{Contact} \right] \\
 & \quad \left(\begin{array}{l} s=1/2 \\ l=0 \end{array} \right) \\
 & \left. \begin{array}{l} D_0 \sim \frac{(4\pi)^2}{m_N} \frac{1}{M_{lo}^4} \\ D_2 Q^2 \sim \frac{(4\pi)^2}{m_N} \frac{1}{M_{lo}^4} \frac{Q^2}{M_{hi}^2} \end{array} \right\}
 \end{aligned}$$

A = 2

Bedaque + v.K. '97

v.k. '97

Kaplan, Savage + Wise '98



$$\sim \frac{m_N}{4\pi} C_{2n} C_{2n'} \left\{ \underbrace{\# \Lambda^{2(n+n')+1} + \dots + k^{2(n+n')} \Lambda}_{\text{absorbed in same or lower order}} + \underbrace{ik^{2(n+n')+1}}_{\text{non-analytic in } \mathcal{E}} + \underbrace{\mathcal{O}\left(\frac{k^{2(n+n'+1)}}{\Lambda}\right)}_{\text{absorbed in higher order}} \right\}$$

absorbed in same or lower order

non-analytic in \mathcal{E}

absorbed in higher order

$$\sim \frac{m_N Q}{4\pi} V_2 \times \text{Diagram}(V_2)$$

$C_0 \sim \frac{4\pi}{m_N M_{lo}}$: series in Q/M_{lo}

→ non-perturbative for $Q \gtrsim M_{lo}$

poles of T_A at $Q_A \sim M_{lo}$

$C_{2n>0}$: expansion in Q/M_{hi}

$$\frac{C_{-2}}{Q^2} \sim \frac{4\pi}{m_N M_{lo}} \frac{\alpha m_N M_{lo}}{Q^2} : \left\{ \begin{array}{l} \text{series in } \alpha m_N / Q \Rightarrow \text{non-perturbative for } Q \lesssim \alpha m_N \\ \text{expansion in } \alpha m_N M_{lo} / Q^2 \end{array} \right.$$

$\Delta C_{0I_3=1} \sim \frac{4\pi}{m_N M_{lo}} \frac{\alpha m_N}{M_{lo}}$: expansion in $\alpha m_N / M_{lo}$

Kong + Ravndal '98

importance of E&M hinges on $\alpha m_N / M_{lo}$



N.B. Perturbative treatment of subLOs **not** (in general) optional

1) Except for regular interactions, iteration can destroy RG invariance

e.g. iterating $C_2 \Rightarrow r_2 < 0$

Wigner bound

Cohen *et al.* '96, '97

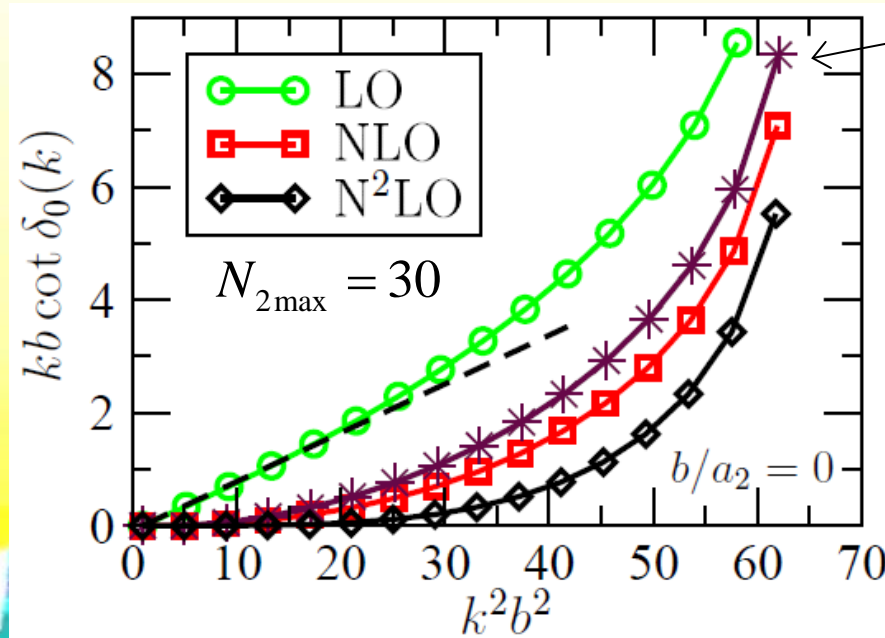
RG invariance

2) Even at fixed cutoff, iteration can give worse results

e.g.

two spin-1/2 fermions
at unitarity, in a
harmonic oscillator
of length b and
 $N_{2\max}$ shells

Rotureau, Stetcu, Barrett + v.K. '10



N²LO Hamiltonian
fully diagonalized:
worse than NLO!

A = 3

S_{1/2}

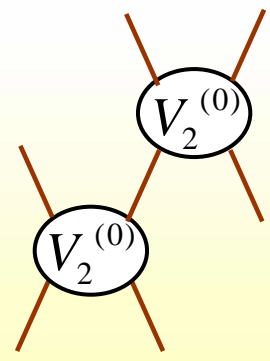
same for bosons

$T_{2+1}^{(0)}(\Lambda \gg \underbrace{p \gg M_{lo}}_{\text{approximate scale invariance}}; D_0 = 0) \approx A \cos\left(s_0 \ln \frac{p}{\Lambda} + \delta\right)$
 $s_0 = 1.0064\dots$



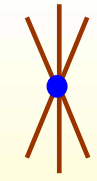
$\frac{\Lambda_3}{T_{2+1}^{(0)}} \frac{\partial T_{2+1}^{(0)}}{\partial \Lambda_3}(p \sim M_{lo}; D_0 = 0) \sim 1$

no RG invariance



$\frac{m_N C_0^2}{Q^2} \sim \frac{(4\pi)^2}{m_N} \frac{1}{M_{lo}^2 Q^2}$

$Q_A \sim M_{lo}$



$D_0 \sim \frac{(4\pi)^2}{m_N} \frac{1}{M_{lo}^4}$



LO

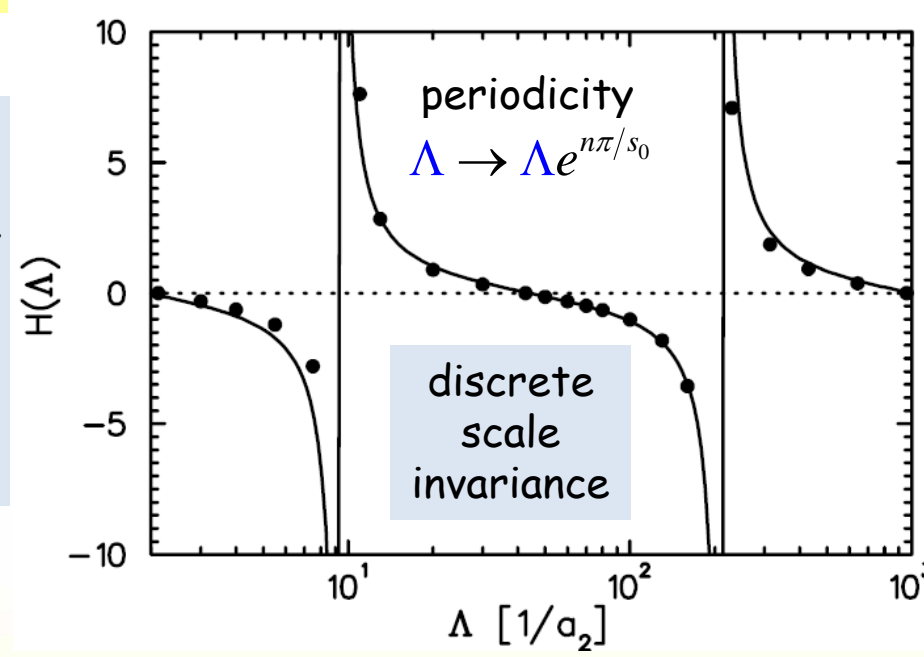
RG invariance:

$$H(\Lambda) \equiv \frac{\Lambda^2 D_0(\Lambda)}{m_N C_0^2(\Lambda)}$$

$$\approx \frac{\sin(\ln(\Lambda/\Lambda_*) + \arctan(1/s_0))}{\sin(\ln(\Lambda/\Lambda_*) - \arctan(1/s_0))}$$

dimensionful parameter
(dimensional transmutation)

RG limit cycle!

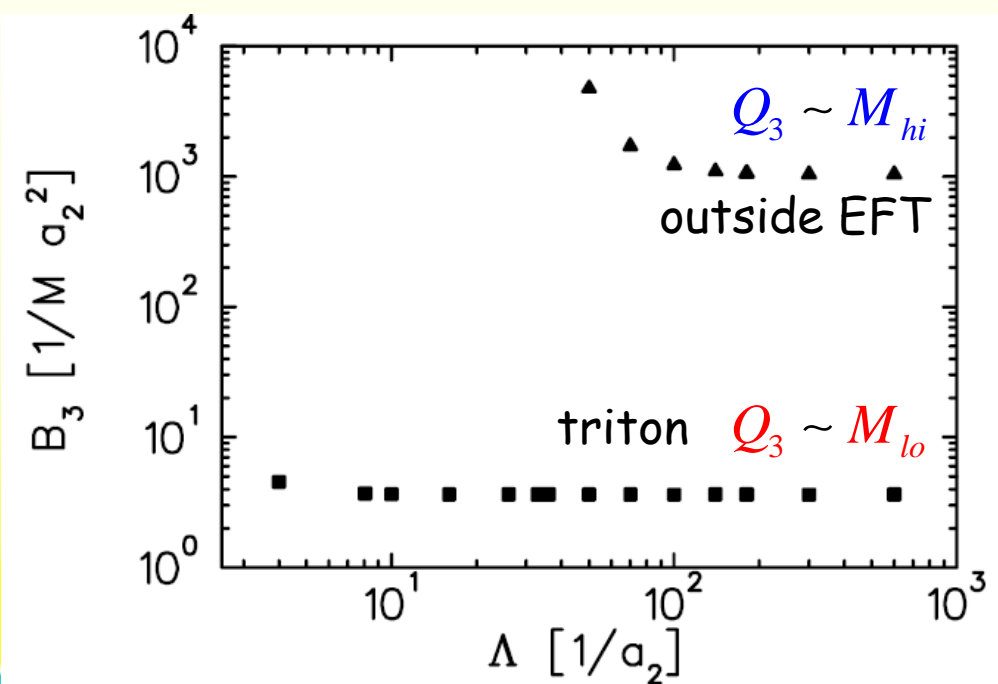


before: after:

$$B_3 \sim \frac{\Lambda^2}{m_N}$$

Thomas collapse

Thomas '35
...



Efimov '71
...
Efimov state

A = 3

S_{1/2}

$$T_{2+1}^{(0)}(\Lambda \gg \underbrace{p \gg M_{lo}}; D_0 = 0) \approx A \cos\left(s_0 \ln \frac{p}{\Lambda} + \delta\right)$$

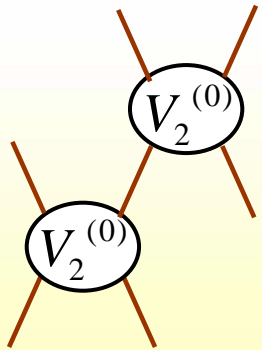
approximate scale invariance

s₀ = 1.0064...

$$\frac{\Lambda_3}{T_{2+1}^{(0)}} \frac{\partial T_{2+1}^{(0)}}{\partial \Lambda_3}(p \sim M_{lo}; D_0 = 0) \sim 1$$

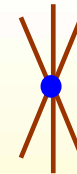


same for bosons



$$\frac{m_N C_0^2}{Q^2} \sim \frac{(4\pi)^2}{m_N} \frac{1}{M_{lo}^2 Q^2}$$

Q_A ~ M_{lo}



$$D_0 \sim \frac{(4\pi)^2}{m_N} \frac{1}{M_{lo}^4}$$

+ some changes of NDA in other channels

$$D_2 Q^2 \sim \frac{(4\pi)^2}{m_N} \frac{1}{M_{lo}^4} \frac{Q^2}{M_{hi}^2} : \text{expansion in } Q^2/M_{hi}^2$$

Analogous for higher derivatives?



$$A = 4$$

Hammer, Meißner + Platter '04, '05
Hammer + Platter '07

At what order a
four-body force?

Bazak's
talk

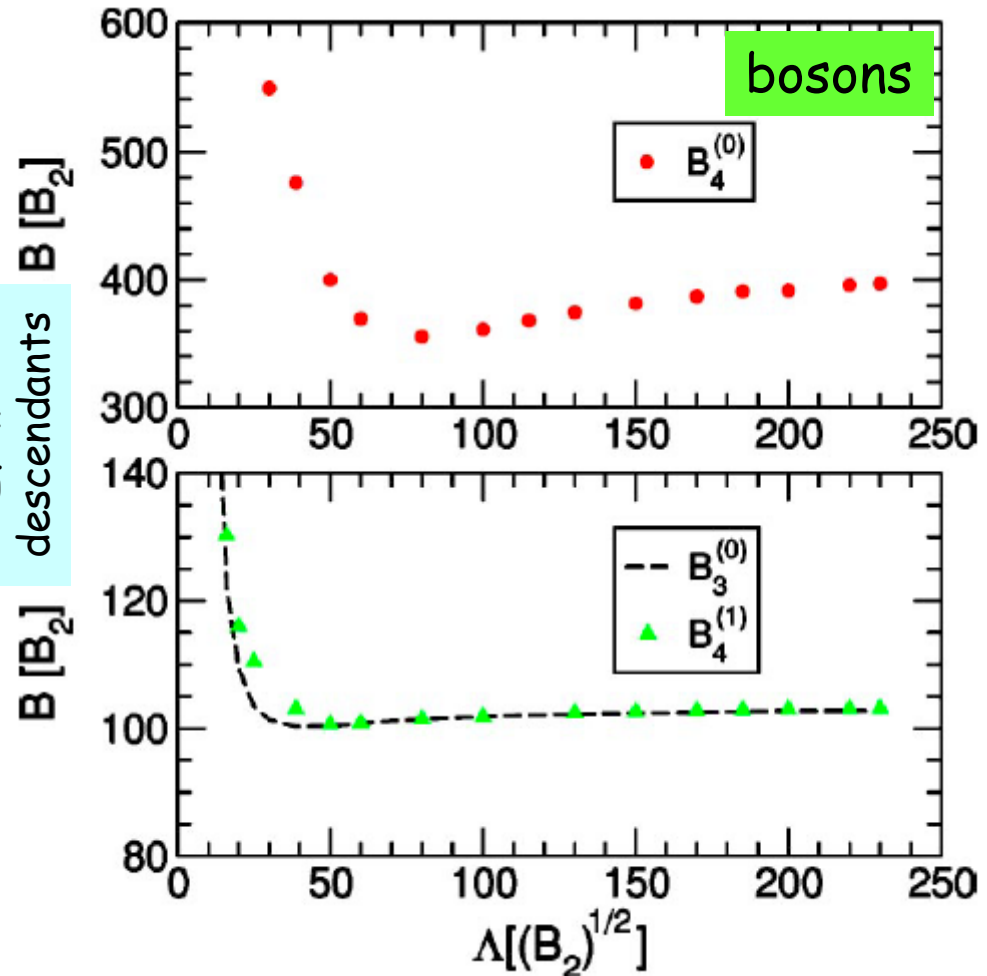
Not at LO:

Kirscher, Shukla, Griebhammer + Hofmann '09

Not at NLO*

NLO+... iterated
No Coulomb counterterm

Efimov
descendants



$$E_0 \sim \frac{(4\pi)^2}{m_N} \frac{1}{M_{hi}^7} \quad (\text{NDA}) \quad ?$$

Standard Power Counting

$$M_{lo} \sim \frac{1}{|a_{2,I=0}|} \sim \frac{1}{|a_{2,I=1,I_3}|} \sim \alpha m_N$$

$$M_{hi} \sim \frac{1}{|r_{2,I=0}|} \sim \frac{1}{|r_{2,I=1,I_3}|} \sim \dots \sim m_\pi$$

- d and d^* treated the same way
- Coulomb LO and thus non-perturbative
- quark mass splitting effects?

Chen, Rupak + Savage '99
Bedaque, Hammer + v.K. '99

...

Kong + Ravndal '00

...

Kirscher *et al.* '09

Ando + Birse '10

...

Kirscher + Phillips '11

König + Hammer '14



As a theory of nuclei

ground
states

A	$Q_A \approx \sqrt{2m_N B_A/A}$ (MeV)
2	45
3	70
4	115
5	100
6	100
...	...
∞	125

$ a_{2,I=1,I_3=0} ^{-1}$	8
αm_N	7
$ a_{2,I=1,I_3=+1} ^{-1} - a_{2,I=1,I_3=0} ^{-1}$	17
$ a_{2,I=1,I_3=-1} ^{-1} - a_{2,I=1,I_3=0} ^{-1}$	3

$$Q_A \sim M_{lo}$$

$$\left| a_{2,I=1,I_3=0} \right|^{-1} \equiv \mathfrak{K}_0 \sim \frac{M_{lo}^2}{M_{hi}}$$

$$\left| a_{2,I=1,I_3=+1} \right|^{-1} - \left| a_{2,I=1,I_3=0} \right|^{-1} \sim \alpha m_N \sim \mathfrak{K}_0$$

$$\left| a_{2,I=1,I_3=-1} \right|^{-1} - \left| a_{2,I=1,I_3=0} \right|^{-1} \sim \frac{M_{lo}^3}{M_{hi}^2}$$

consistent
with

$$\left\{ \begin{array}{l} \left| a_{2,I=1,I_3=+1} \right|^{-1} - \left| a_{2,I=1,I_3=0} \right|^{-1} = \mathcal{O}(\alpha m_N, m_d - m_u) \\ \left| a_{2,I=1,I_3=-1} \right|^{-1} - \left| a_{2,I=1,I_3=0} \right|^{-1} = \mathcal{O}(m_d - m_u) \end{array} \right.$$

while

$$m_n - m_p = \mathcal{O}\left(\frac{\alpha m_N}{4\pi}, m_d - m_u\right)$$



- treat ground states as usual

but two-nucleon spin-singlet S wave is expanded around unitarity

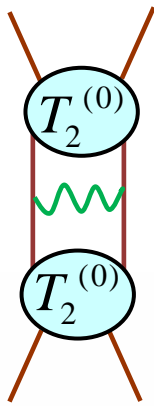
$$\Rightarrow a_{2,I=1,I_3}^{-1} \neq 0 \text{ at NLO}$$

- Coulomb is **perturbative** for bound states, cf. Hammer, Meißner + Platter '05
Stetcu, Barrett + v.K. '07
also Kirscher + Gazit '15

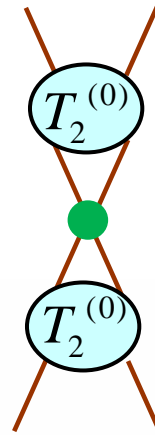
and needs to be resummed only near scattering thresholds

- **smaller** quark mass splitting effects





RG invariance



$$\Delta C_{0I_3=1}(\Lambda) \sim 4\pi\alpha \frac{\ln(\alpha m_N / \Lambda)}{\Lambda^2}$$

$$\Delta C_{0I_3=1} \sim \frac{4\pi}{m_N M_{lo}} \frac{\alpha m_N}{M_{lo}}$$

NLO

$$\left(\frac{m_N}{4\pi}\right)^2 4\pi\alpha \left(\ln \frac{\Lambda}{\alpha m_N} + \mathcal{O}(1)\right) T^{(0)2} P_{I_3=1} \quad \left(\frac{m_N}{4\pi}\right)^2 \Delta C_{0I_3=1} (\Lambda + \mathcal{O})^2 T^{(0)2} P_{I_3=1}$$

+ no three-body LEC: can predict ${}^3\text{He}$ up to NLO

in contrast to standard power counting where

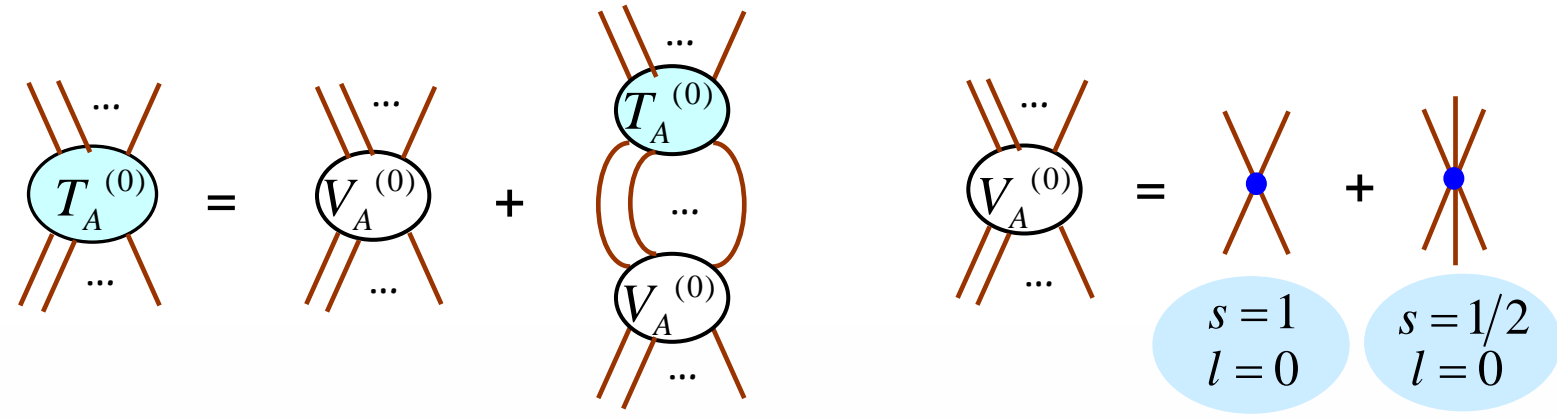
Coulomb and the associated two-body LEC are LO
 a new three-body LEC appears at NLO

Vanasse *et al.* '14

smaller number of LECs at each order,
 more predictive power

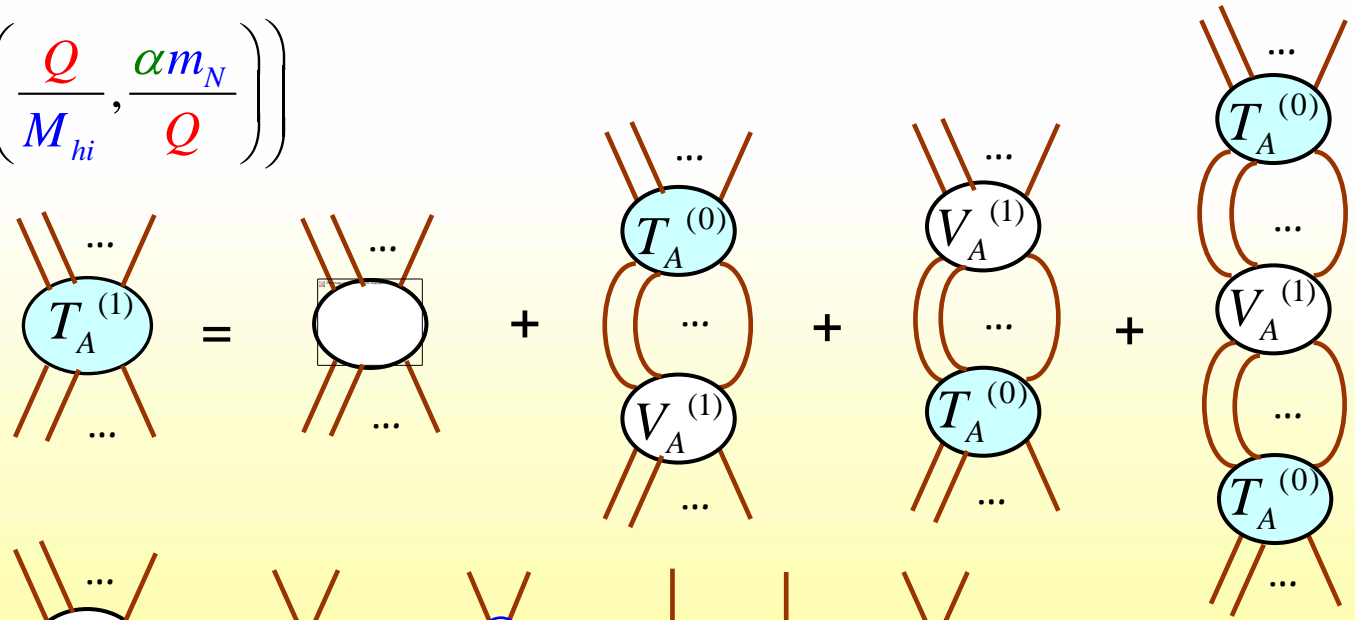
LO

$$\mathcal{O} \left(\frac{4\pi}{m_N M_{lo}} \right)$$



NLO

$$\mathcal{O} \left(\frac{4\pi}{m_N M_{lo}} \times \left(\frac{Q}{M_{hi}}, \frac{\alpha m_N}{Q} \right) \right)$$



$$s=0, l=0$$

$$s=0,1, l=0$$

$$s=0, l=0$$

etc.



$$A = 2$$

$$T_{2,I=0}(k) = \frac{4\pi}{m_N} \frac{1}{\gamma_d + ik} \left\{ 1 + \frac{\rho_d}{2} \frac{k^2 + \gamma_d^2}{\gamma_d + ik} + \dots \right\}$$

LO $\gamma_d = 45.7 \text{ MeV} \Rightarrow C_{0I=0}$
 NLO $\rho_d = 1.765 \text{ fm} \Rightarrow C_{2I=0}$

$$T_{2,I=1,I_3 \neq 1}(k) = \frac{4\pi}{m_N} \frac{1}{ik} \left\{ 1 + \frac{1}{ik} \left[-a_{2,I=1,I_3=0}^{-1} + \frac{r_{2,I=1,I_3=0}}{2} k^2 \right] + \dots \right\}$$

NLO
 $a_{2,I=1,I_3=0} = -23.714 \text{ fm} \Rightarrow C_{0I=1}$
 $r_{2,I=1,I_3=0} = 2.73 \text{ fm} \Rightarrow C_{2I=1}$

$$T_{2,I=1,I_3=+1}(k) = T_C(k) + \frac{\Gamma\left(1 + i \frac{\alpha m_N}{2k}\right)}{\Gamma\left(1 - i \frac{\alpha m_N}{2k}\right)} t_{sC}(k)$$

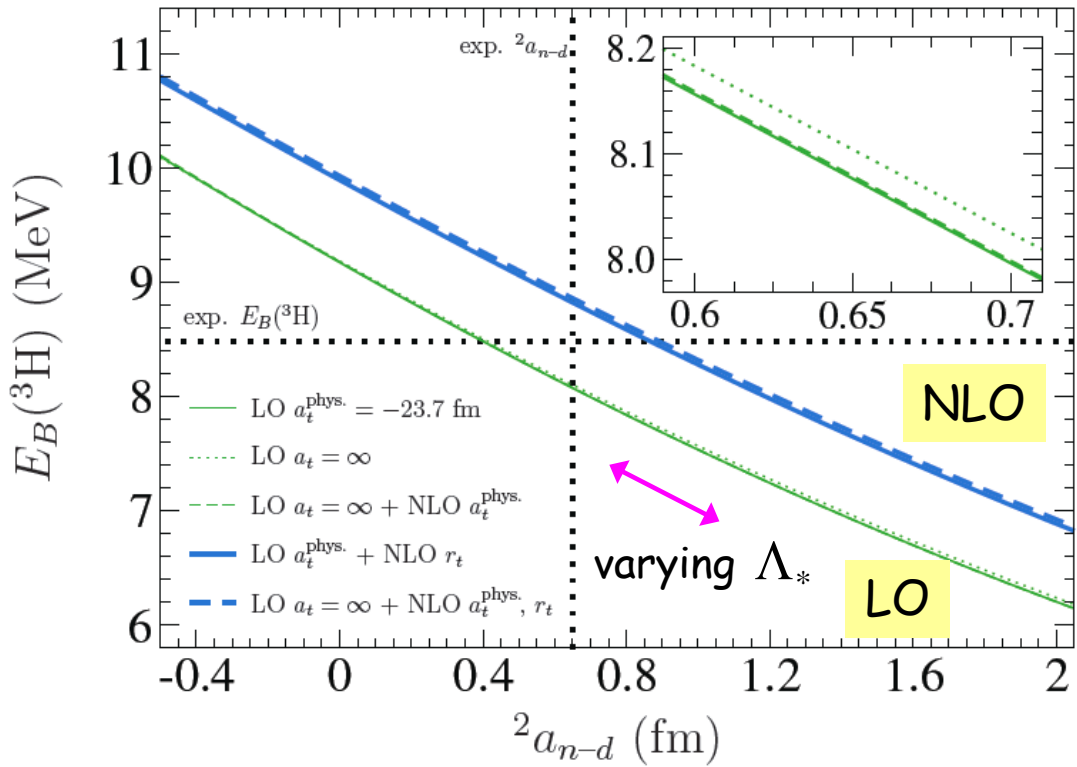
NLO
 $a_{2,I=1,I_3=+1} = -7.8063 \text{ fm} \Rightarrow \Delta C_{0I_3=1}$

$$t_{sC}(k) = \frac{4\pi}{m_N} \frac{1}{ik} \left\{ 1 + \frac{1}{ik} \left[\alpha m_N \left(C_E + \ln \frac{\alpha m_N}{2k} \right) - a_{2,I=1,I_3=+1}^{-1} + \frac{r_{2,I=1,I_3=0}}{2} k^2 \right] + \dots \right\}$$

predict	$\left\{ \begin{array}{l} a_{2,I=1,I_3=-1} \text{ (NLO)} = a_{2,I=1,I_3=0} \\ r_{2,I=1,I_3=-1} \text{ (NLO)} = r_{2,I=1,I_3=0} \\ r_{2,I=1,I_3=+1} \text{ (NLO)} = r_{2,I=1,I_3=0} \end{array} \right.$	vs.	$\left\{ \begin{array}{l} a_{2,I=1,I_3=-1} = -18.7 \text{ fm (?exp)} \\ r_{2,I=1,I_3=-1} = ? \text{ (exp)} \\ r_{2,I=1,I_3=+1} = 2.79 \text{ fm (exp)} \end{array} \right.$
---------	---	-----	---

$1/(Qa_{2,I=1,I_3=0}) \sim \mathfrak{N}_0/M_{lo}$ expansion

A = 3

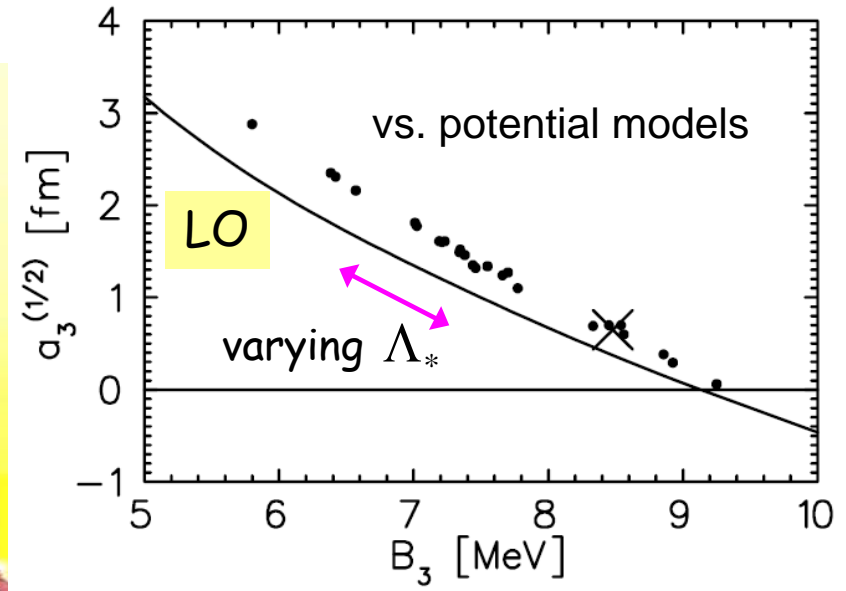


Phillips line

cf. standard PC
Bedaque, Hammer + v.K. '99

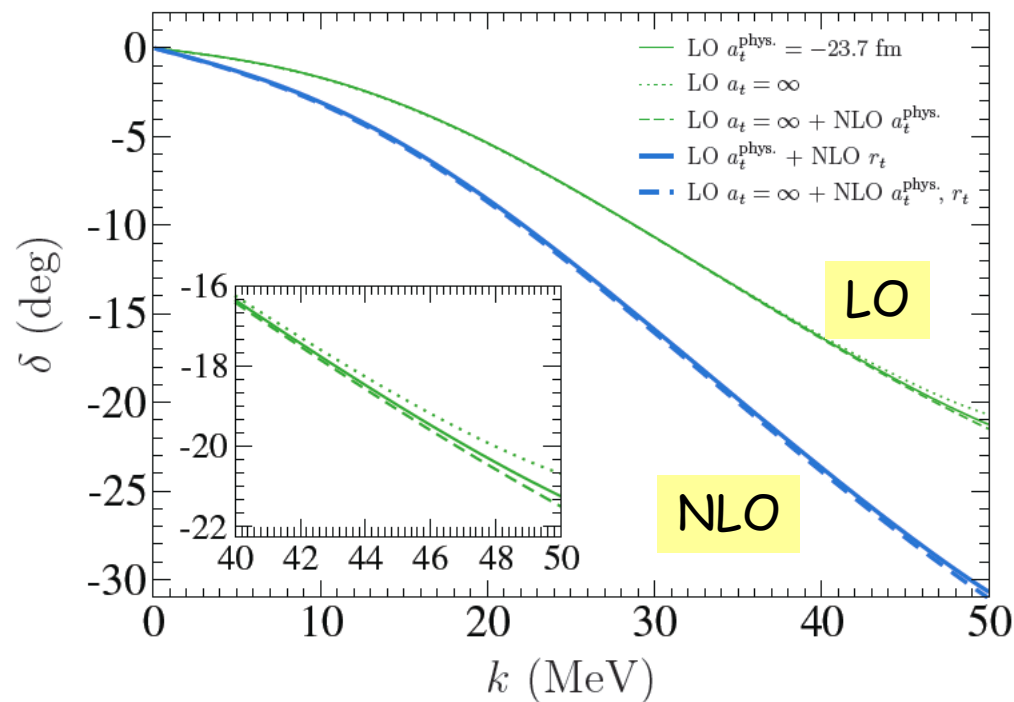
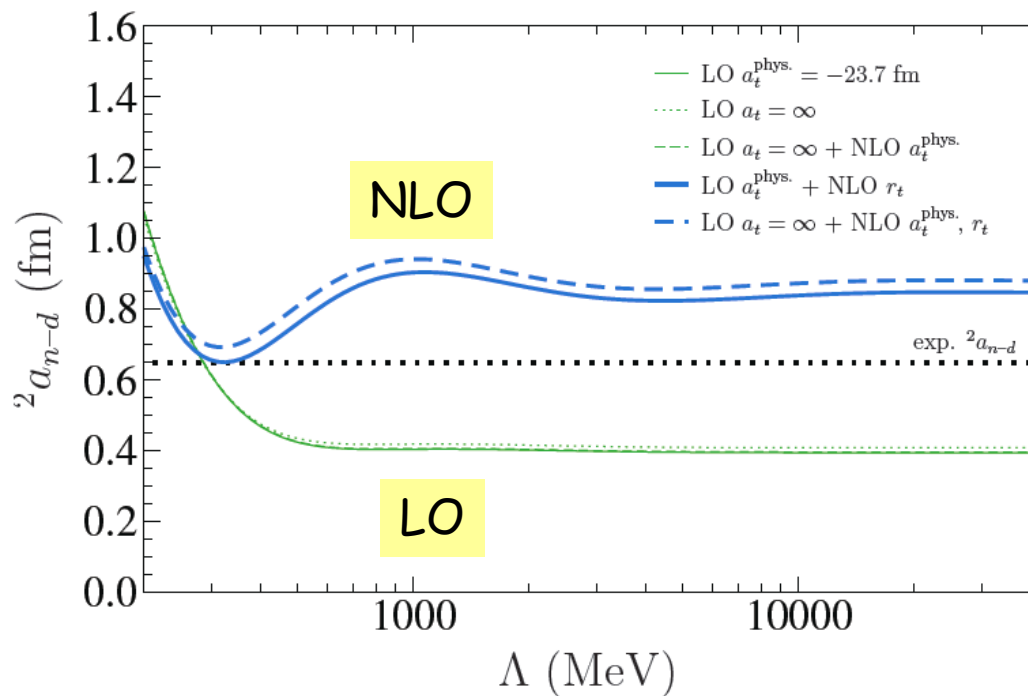
König, Grießhammer, Hammer + v.K. '15

$S_{1/2}$



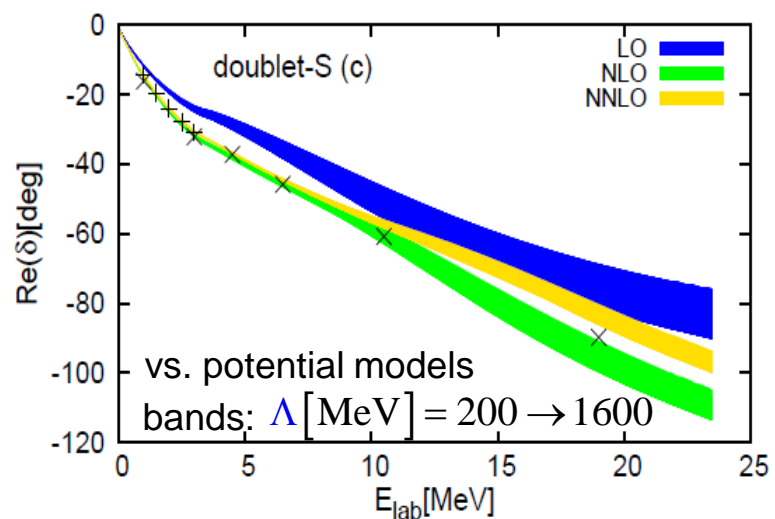
$$B_t = -8.48 \text{ fm (exp)} \rightarrow D_0$$

$S_{1/2}$

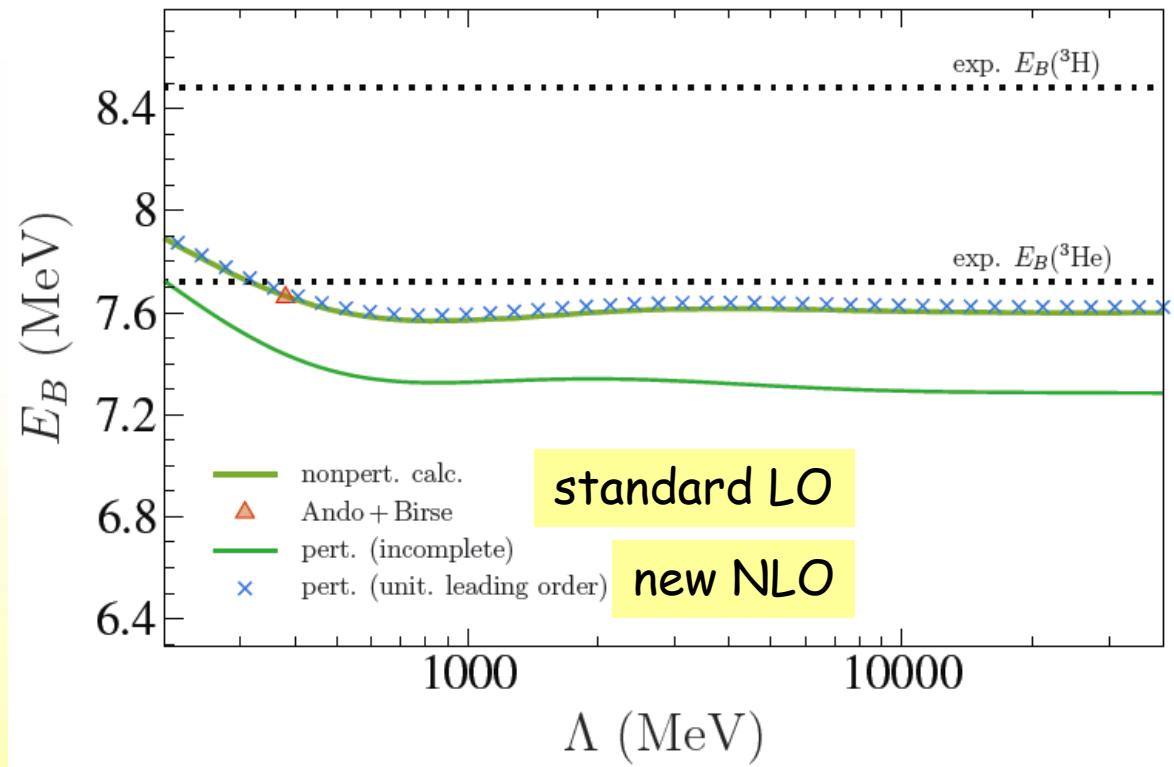


cf. standard PC

Vanasse '13



combined $\alpha m_N / M_{lo}, M_{lo} / M_{hi}$ expansions



$$\begin{aligned}
 E_B(^3\text{He})^{\text{LO+NLO}} &= E_B(^3\text{H}) + \Delta E^{\text{NLO}} \\
 &= 8.48 \text{ MeV} - (0.86 \pm 0.12) \text{ MeV} = (7.62 \pm 0.12) \text{ MeV}
 \end{aligned}$$

Conclusions

- ◆ Pionless EFT provides a model-independent description of few-body universal features
- ◆ Convergence and standard power counting
 - [well understood up to N²LO for $A \leq 3$,
 - [needs to be further studied for $A \geq 4$
- ◆ New power counting more predictive for bound states in expansion around unitarity in two-nucleon spin singlet
 - [works well for $A = 3$,
 - [needs to be tested for $A \geq 4$

Bazak's
talk

