

# Shell-model derivation of the shears mechanism

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\*supported by FUSTIPEN

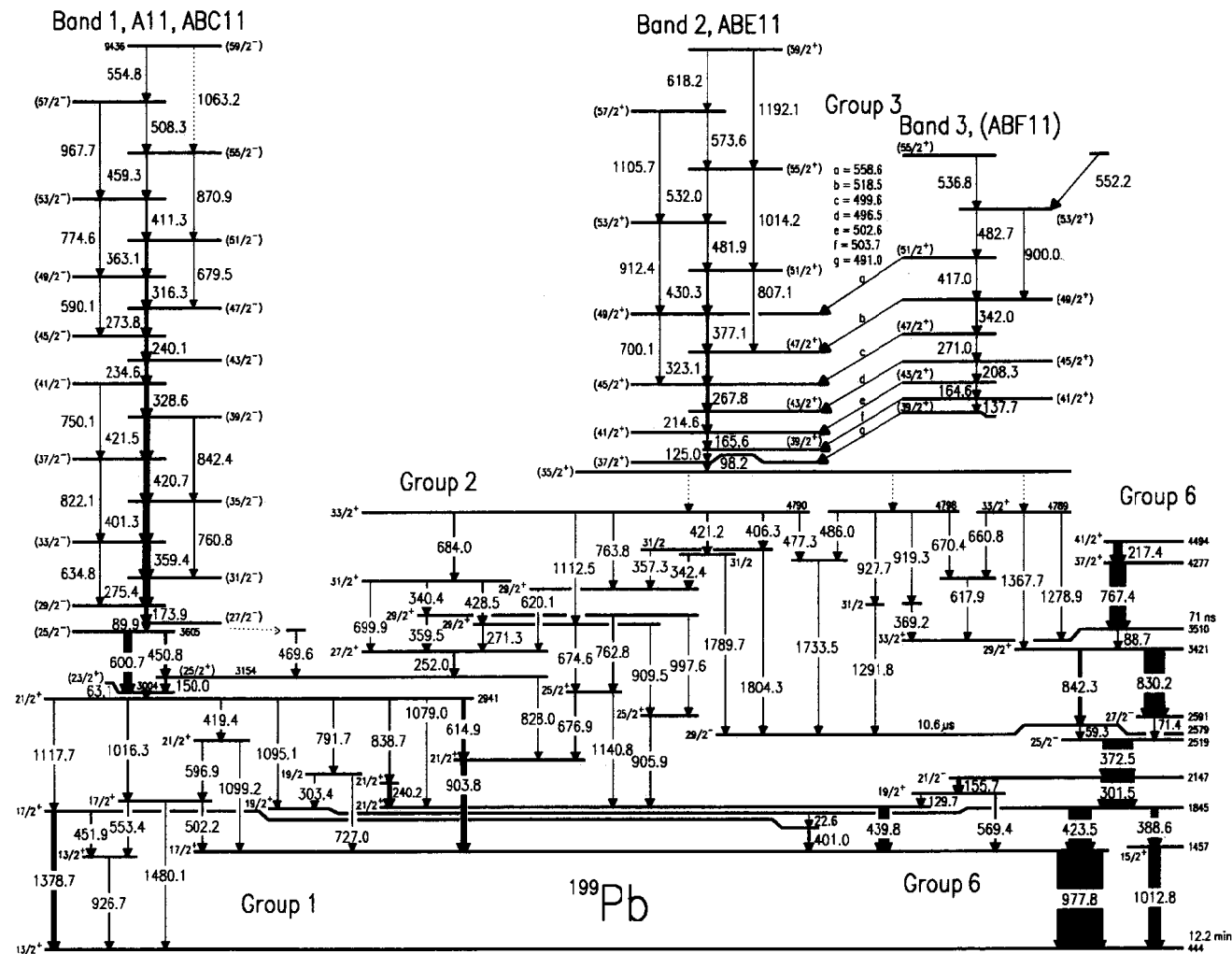
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# Bands without deformation

Regular sequences of levels (bands) are usually associated with nuclear collective behaviour.

In several regions of the nuclear chart in the neighbourhood of closed-shells nuclei regular bands are observed.

# An example: $^{199}\text{Pb}$

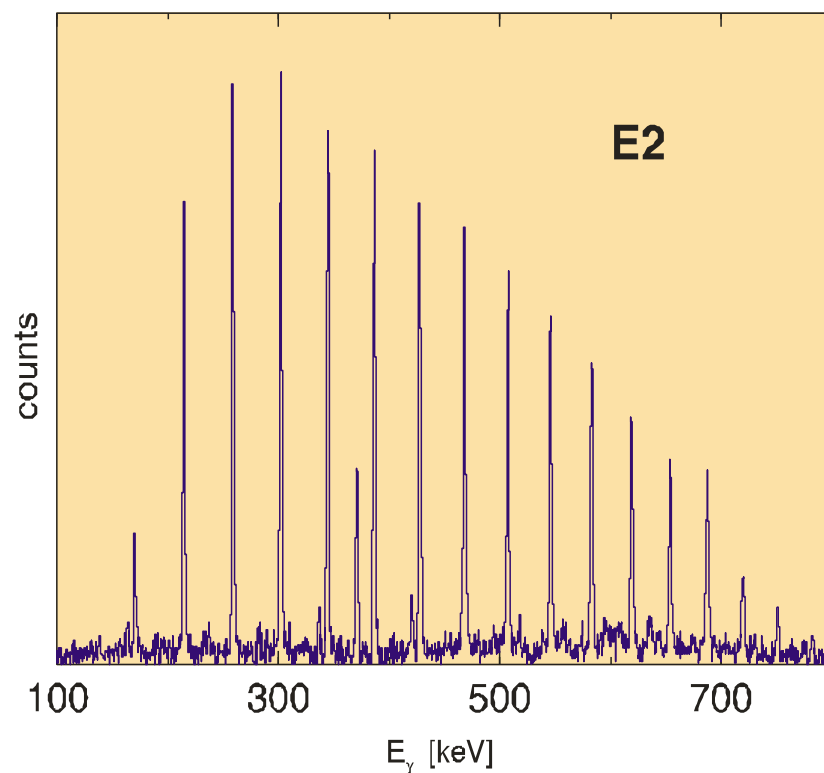


G. Baldisiefen *et al.*, Nucl. Phys. A 574 (1994) 521

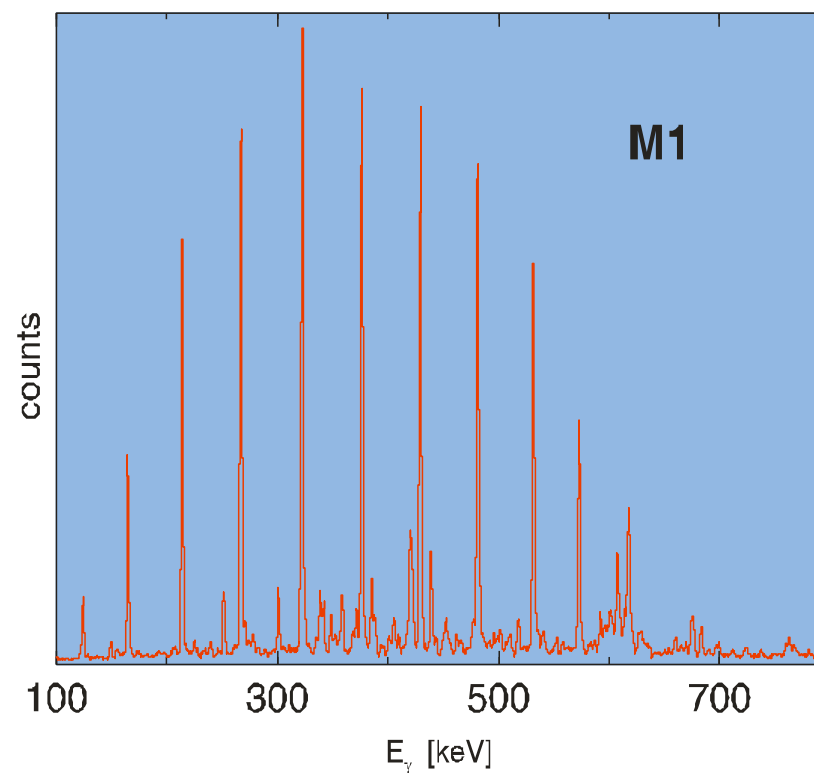
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# Regular sequences

rotational band in superdeformed  $^{194}\text{Pb}$



magnetic rotation in spherical  $^{199}\text{Pb}$



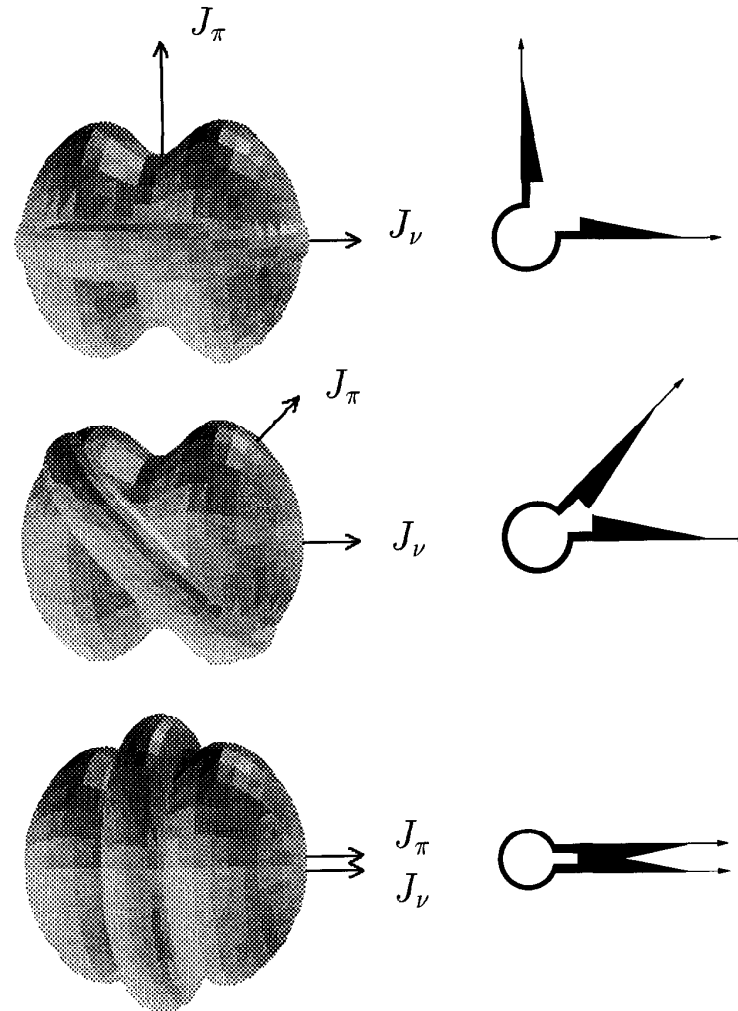
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# Shears bands

*Question:* How can sequences of levels appear rotational when deformation is weak?

*Answer:* Through the shears mechanism. This implies strong in-band M1 transitions.

# The shears mechanism



S. Frauendorf *et al.*, Nucl. Phys. A 601 (1996) 41

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# Semi-classical interpretation

Schematic model in terms of the coupling of two vectors  $J_\nu$  and  $J_\pi$  and a 'shears' angle

$$\theta_{\nu\pi} = \arccos \frac{J(J+1) - J_\nu(J_\nu+1) - J_\pi(J_\pi+1)}{2\sqrt{J_\nu(J_\nu+1)J_\pi(J_\pi+1)}}$$

An effective interaction of the form

$$V(\theta_{\nu\pi}) = V_0 + V_2 P_2(\cos \theta_{\nu\pi}) + \dots$$

→ Can this geometry of the shears mechanism be derived from the spherical shell model?

A.O. Macchiavelli *et al.*, Phys. Rev. C **57** (1998) R1073

A.O. Macchiavelli *et al.*, Phys. Rev. C **58** (1998) R621

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# A shell-model configuration

Assume a shears band in terms of two neutron particles and two proton holes:

$$|N\rangle \equiv |j_\nu j'_\nu; J_\nu\rangle \quad \& \quad |P^{-1}\rangle \equiv |j_\pi^{-1} j_\pi'^{-1}; J_\pi\rangle \Rightarrow |NP^{-1}; J\rangle$$

How do the energies of these states evolve as a function of  $J$  ?

How does this evolution depends on the angular momenta of the single-particle orbits and on the angular momenta of the 'blades' ?

Take  $j_\nu = j'_\nu$  and  $j_\pi = j'_\pi$ .



# The shears matrix element

Consider a hamiltonian of the generic form

$$\hat{H} = \hat{H}_\nu + \hat{H}_\pi + \hat{V}_{\nu\pi}$$

The relative shears-band energies depend only on the neutron-proton interaction:

$$\frac{\langle NP^{-1}; J | \hat{V}_{\nu\pi} | NP^{-1}; J \rangle}{(2J_\nu + 1)(2J_\pi + 1)} = -4 \sum_R (2R + 1) V_{j_\nu j_\pi, j_\nu j_\pi}^R \left\{ \begin{matrix} j_\nu & j_\pi & J_\pi & J_\nu \\ R & j_\pi & J & j_\nu \\ j_\nu & j_\pi & J_\pi & J_\nu \end{matrix} \right\}$$

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# The non-shears matrix element

The corresponding matrix element for a particle-particle or hole-hole configuration:

$$\frac{\langle NP; J | \hat{V}_{v\pi} | NP; J \rangle}{(2J_v + 1)(2J_\pi + 1)} = \frac{\langle N^{-1}P^{-1}; J | \hat{V}_{v\pi} | N^{-1}P^{-1}; J \rangle}{(2J_v + 1)(2J_\pi + 1)}$$

$$= 4 \sum_R (2R + 1) V_{j_v j_\pi, j_v j_\pi}^R \begin{bmatrix} j_v & j_\pi & J_\pi & J_v \\ R & j_\pi & J & j_v \\ j_v & j_\pi & J_\pi & J_v \end{bmatrix}$$

# The classical limit

Study the shears matrix element in the classical limit, i.e. for large angular momenta.

Semi-classical expressions are known for Wigner ( $3j$ ) and Racah ( $6j$ ) coefficients but not for  $3nj$  coefficients with  $n > 2$ .

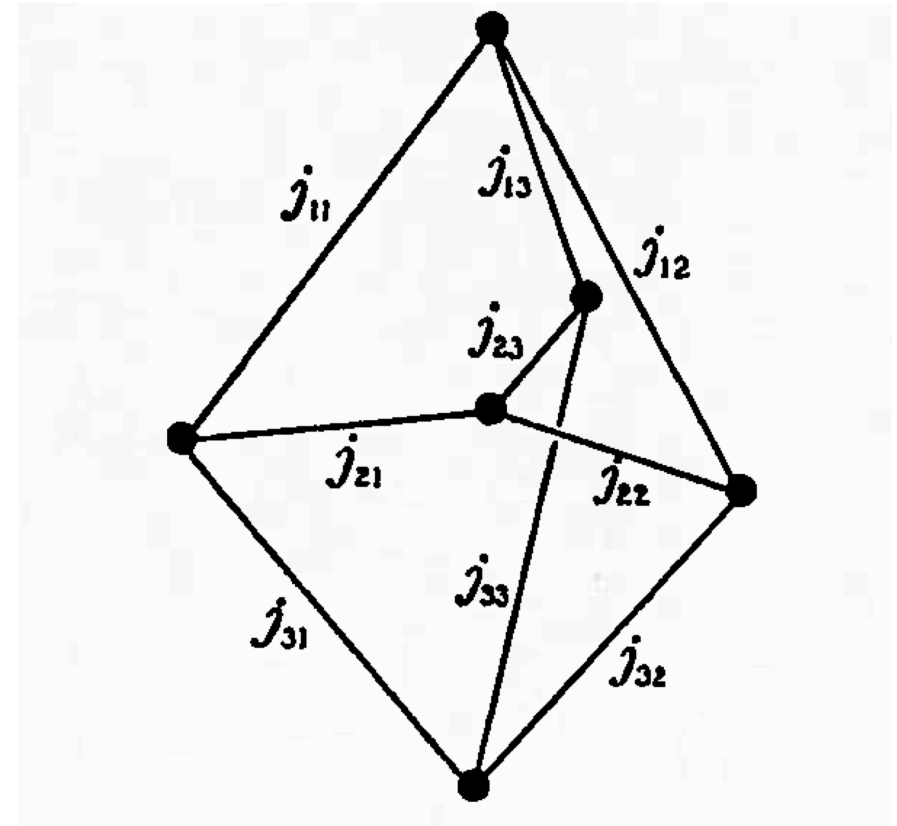
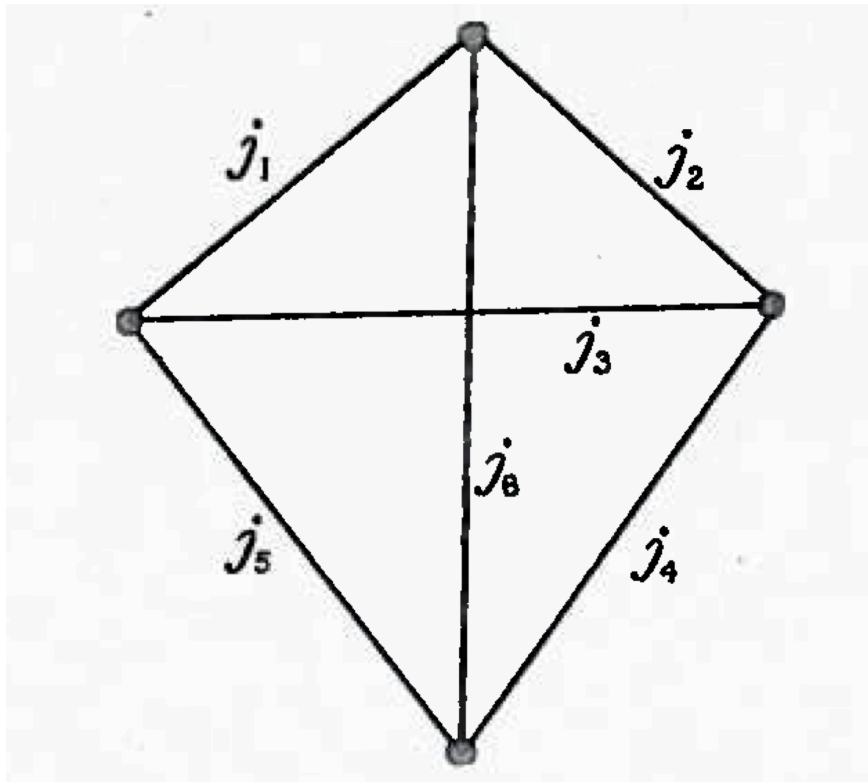
A field of active mathematical research with connections to graph theory, quantum gravity, spin networks...

E.P. Wigner, *Group Theory* (1959)

G. Ponzano & T. Regge, *Group Theoretical Methods in Physics* (1968)

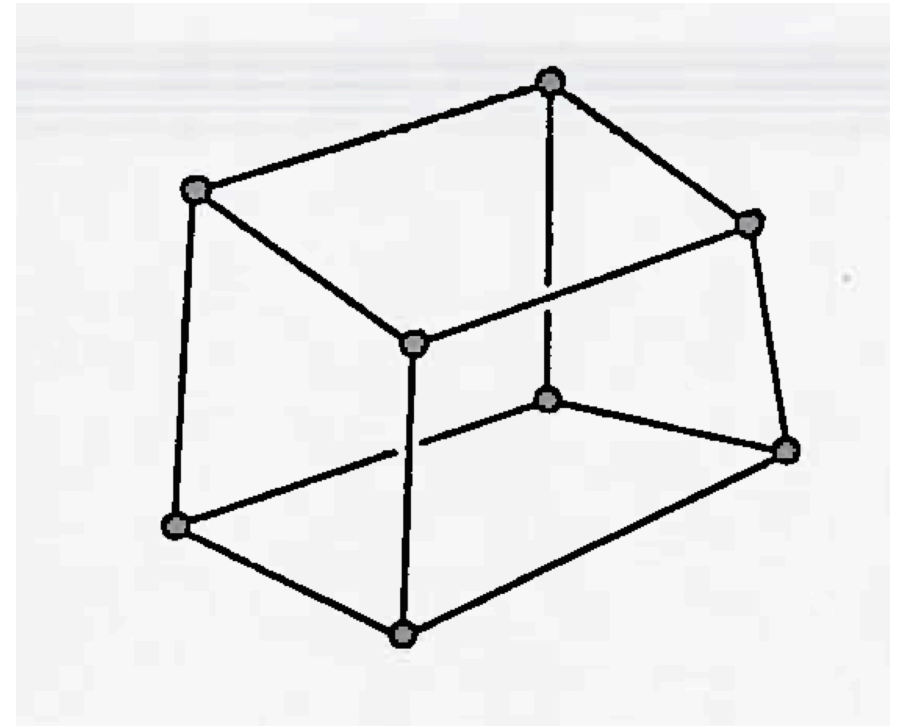
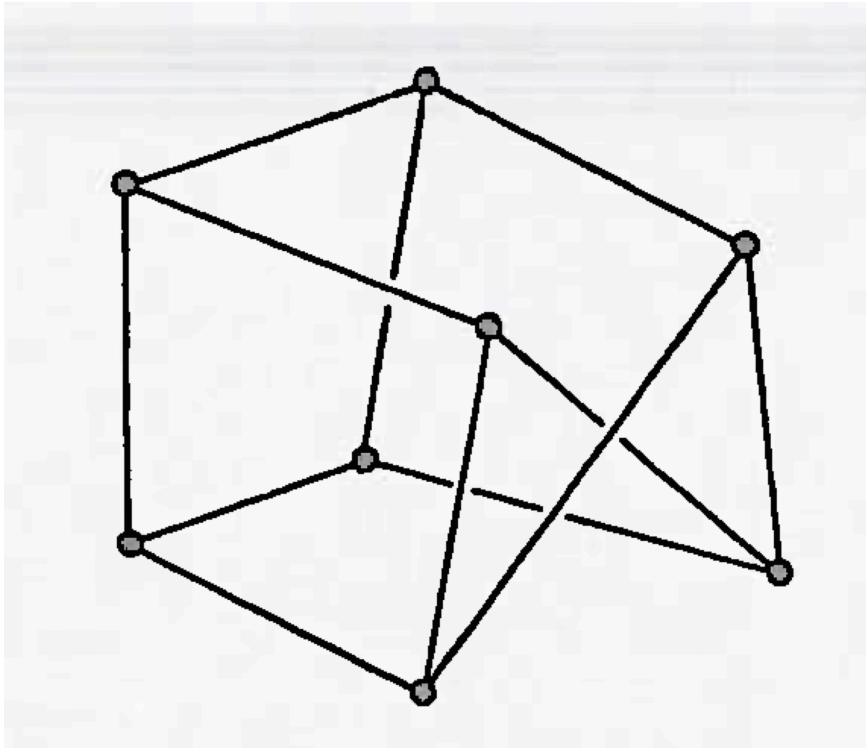
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# $3nj$ coefficients as graphs



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# Two kinds of $12j$ symbols

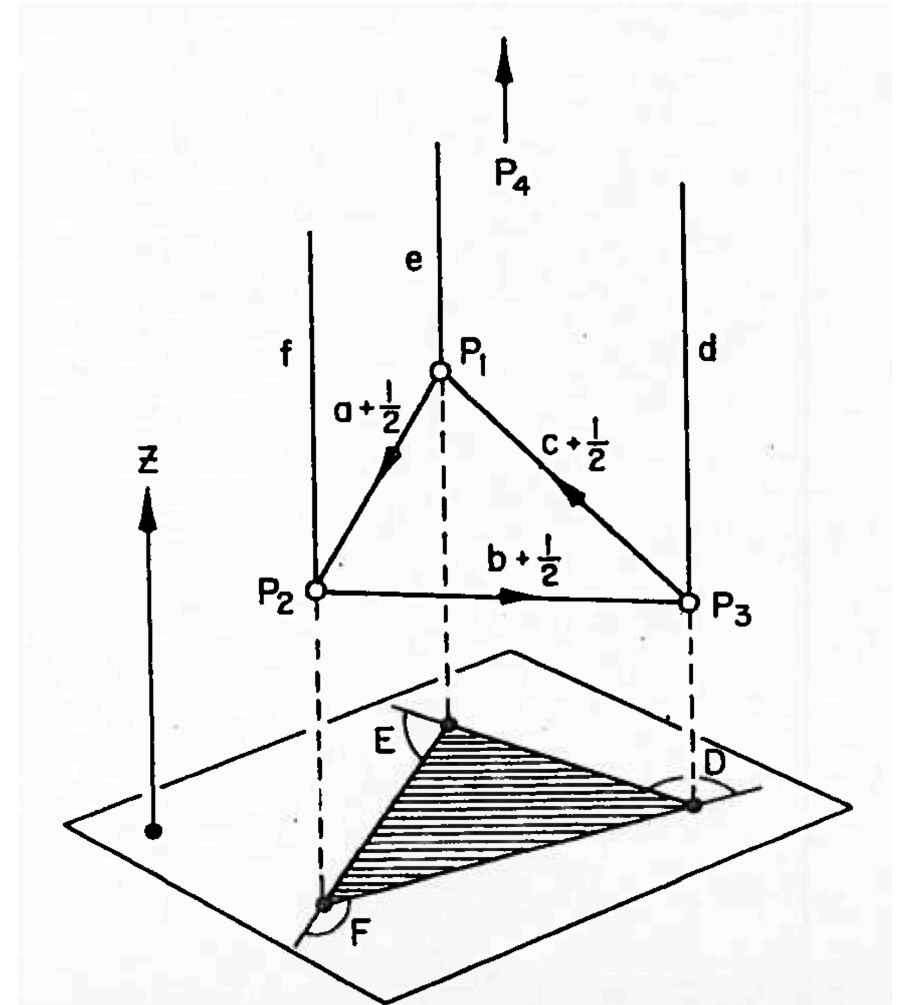


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# 3j as the limit of 6j

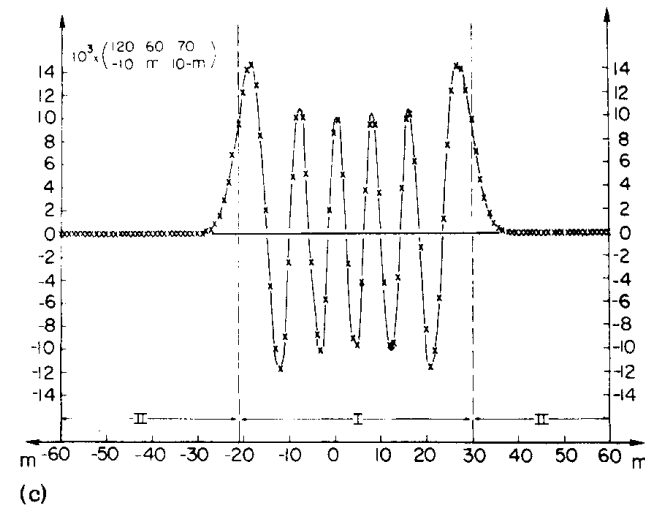
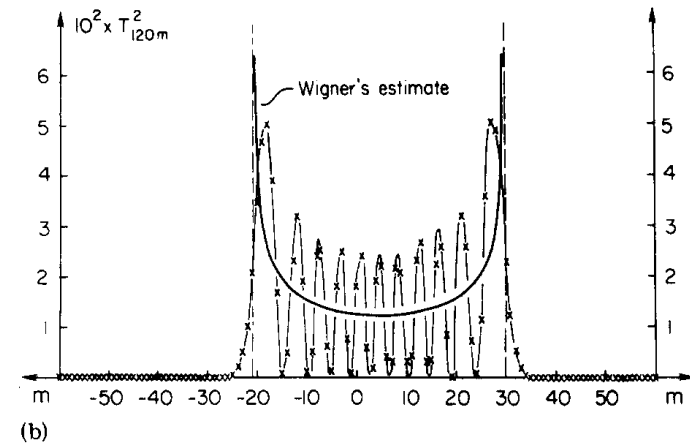
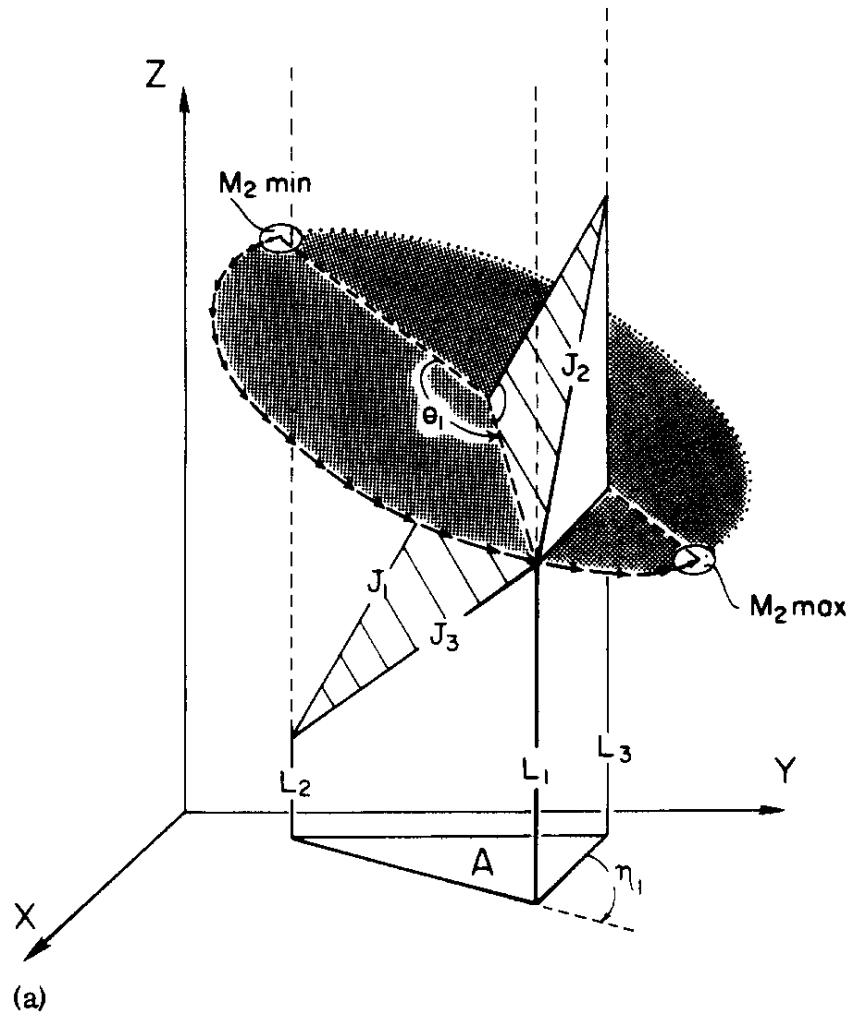
The asymptotic formula:

$$\left\{ \begin{array}{ccc} a & b & c \\ d+R & e+R & f+R \end{array} \right\} \xrightarrow{R \rightarrow \infty} \frac{(-)^{a+b+c+2(d+e+f)}}{\sqrt{2R}} \times \left( \begin{array}{ccc} a & b & c \\ e-f & f-d & d-e \end{array} \right)$$



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# (Semi-)classical approximations



K. Schulten & R.G. Gordon, J. Math. Phys. 16 (1975) 1961 & 1971

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# Matrix elements of MSDI

Modified surface delta interaction:

$$\hat{V}^{\text{MSDI}}(i, j) = -4\pi a'_T \delta(\bar{r}_i - \bar{r}_j) \delta(r_i - R_0) + b' \bar{\tau}_i \cdot \bar{\tau}_j + c'$$

Its matrix elements are

$$-\frac{(2j_v + 1)(2j_\pi + 1)}{2} \left[ a_{01} \begin{pmatrix} j_v & j_\pi & R \\ \frac{1}{2} & -\frac{1}{2} & 0 \end{pmatrix}^2 + a_0 \begin{pmatrix} j_v & j_\pi & R \\ \frac{1}{2} & \frac{1}{2} & -1 \end{pmatrix}^2 \right] - b + c$$

with

$$a_{01} = \frac{a_0 + a_1}{2} - (-)^{\ell_v + \ell_\pi + R} \frac{a_0 - a_1}{2}$$

$$a_T = a'_T C(R_0), \quad b = b' C(R_0), \quad c = c' C(R_0)$$

P.J. Brussaard & P.W.M. Glaudemans, *Shell-Model Applications* (1977)

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# A simple sum

An exact result:

$$\sum_R (2R+1) \begin{Bmatrix} j_\nu & j_\pi & J_\pi & J_\nu \\ R & j_\pi & J & j_\nu \\ j_\nu & j_\pi & J_\pi & J_\nu \end{Bmatrix} = \frac{1}{(2J_\nu+1)(2J_\pi+1)}$$

A.P. Yutsis et al., *The Theory of Angular Momentum* (1962)

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# A more complicated sum (1)

An exact result:

$$\begin{aligned}
 S_n &= \sum_R (2R+1) \begin{pmatrix} j_v & j_\pi & R \\ 1/2 & n-1/2 & -n \end{pmatrix}^2 \left\{ \begin{matrix} j_v & j_\pi & J_\pi & J_v \\ R & j_\pi & J & j_v \\ j_v & j_\pi & J_\pi & J_v \end{matrix} \right\} \\
 &= \sum_{\substack{m_v M_v \\ m_\pi M_\pi}} \begin{pmatrix} j_v & j_v & J_v \\ 1/2 & m_v & M_v \end{pmatrix}^2 \begin{pmatrix} j_\pi & j_\pi & J_\pi \\ -n+1/2 & m_\pi & M_\pi \end{pmatrix}^2 \begin{pmatrix} J_v & J_\pi & J \\ M_v & M_\pi & M \end{pmatrix}^2
 \end{aligned}$$

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# A more complicated sum (2)

An approximate result (Wigner):

$$\begin{pmatrix} J_\nu & J_\pi & J \\ M_\nu & M_\pi & M \end{pmatrix}^2 \mapsto \frac{1}{4\pi A}$$

Therefore

$$\begin{aligned} S_n &\approx \frac{1}{4\pi A} \sum \begin{pmatrix} j_\nu & j_\nu & J_\nu \\ \frac{1}{2} & m_\nu & M_\nu \end{pmatrix}^2 \begin{pmatrix} j_\pi & j_\pi & J_\pi \\ -n + \frac{1}{2} & m_\pi & M_\pi \end{pmatrix}^2 \\ &\approx \frac{1}{4\pi(2j_\nu + 1)(2j_\pi + 1)A} \end{aligned}$$

$A$  is the area of a triangle with sides of lengths  $J_\nu + 1/2$ ,  $J_\pi + 1/2$  and  $J + 1/2$ .

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# In terms of the shears angle

The shears angle is the angle between the angular momentum vectors of neutron particles and the proton holes:

$$\theta_{\nu\pi} = \arccos \frac{J(J+1) - J_{\nu}(J_{\nu}+1) - J_{\pi}(J_{\pi}+1)}{2\sqrt{J_{\nu}(J_{\nu}+1)J_{\pi}(J_{\pi}+1)}}$$

We have

$$S_n \approx \frac{2}{\pi(2j_{\nu}+1)(2j_{\pi}+1)(2J_{\nu}+1)(2J_{\pi}+1)\sin\theta_{\nu\pi}}$$

# Another sum

Another approximate result:

$$\bar{S}_0 = \sum_R (-)^R (2R+1) \begin{pmatrix} j_\nu & j_\pi & R \\ 1/2 & -1/2 & 0 \end{pmatrix}^2 \begin{Bmatrix} j_\nu & j_\pi & J_\pi & J_\nu \\ R & j_\pi & J & j_\nu \\ j_\nu & j_\pi & J_\pi & J_\nu \end{Bmatrix}$$

$$\approx -(-)^{j_\nu + j_\pi} \frac{2}{\pi(2j_\nu + 1)(2j_\pi + 1)(2J_\nu + 1)(2J_\pi + 1) \tan \theta_{\nu\pi}}$$

# Classical shears matrix element

We obtain for a MSDI the following classical approximation of the shears matrix element:

$$\left\langle NP^{-1}; J \left| \hat{V}_{v\pi}^{\text{MSDI}} \right| NP^{-1}; J \right\rangle \approx 4(b - c) + \frac{\alpha_s}{2\pi \sin \theta_{v\pi}} + \frac{\alpha_t}{2\pi \tan \theta_{v\pi}}$$

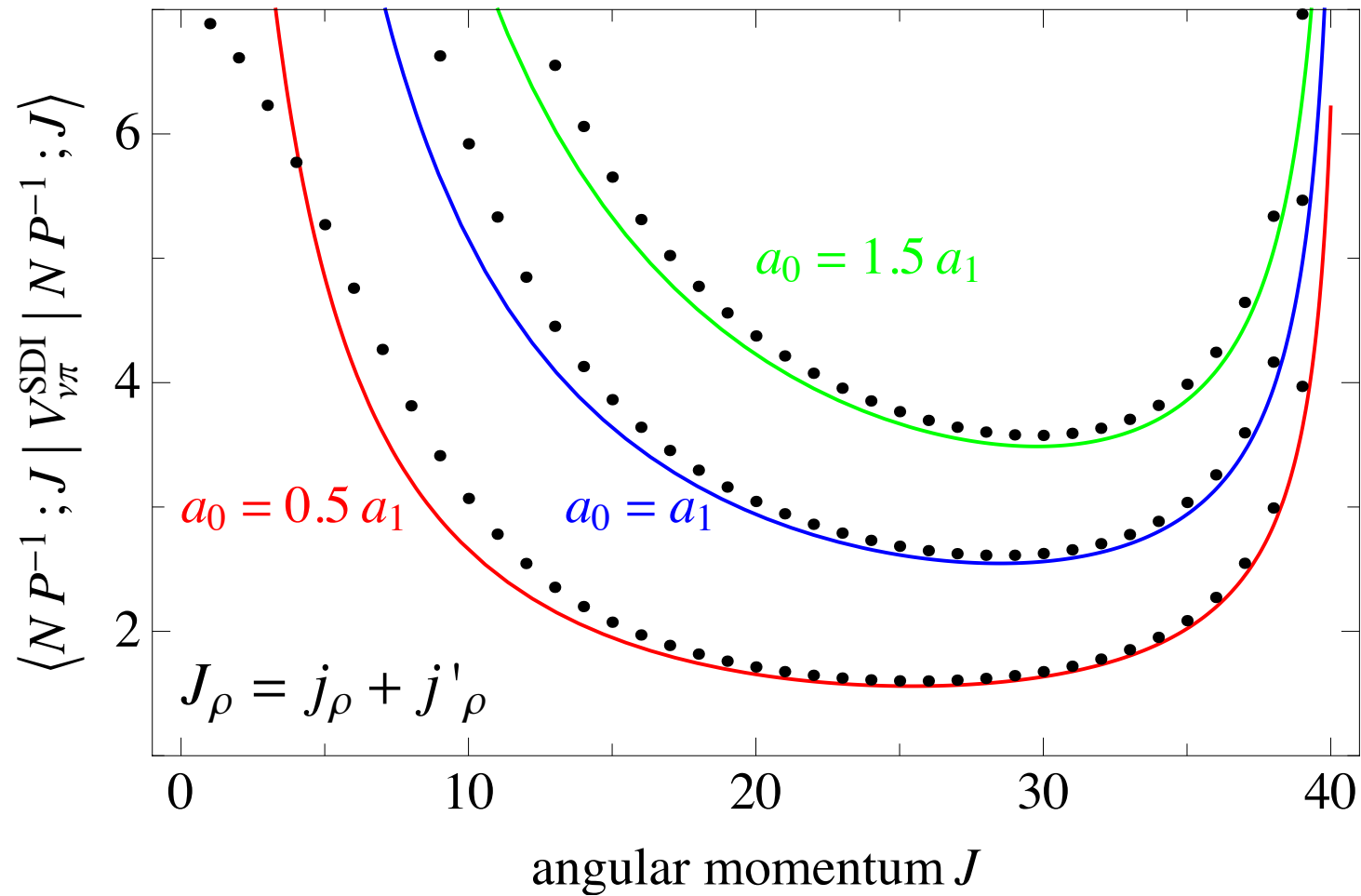
with

$$\alpha_s = 4(3a_0 + a_1), \quad \alpha_t = 4(a_0 - a_1)\varphi$$

$$\varphi = \frac{1}{4}(\varphi_v \varphi_\pi + \varphi_v \varphi'_\pi + \varphi'_v \varphi_\pi + \varphi'_v \varphi'_\pi)$$

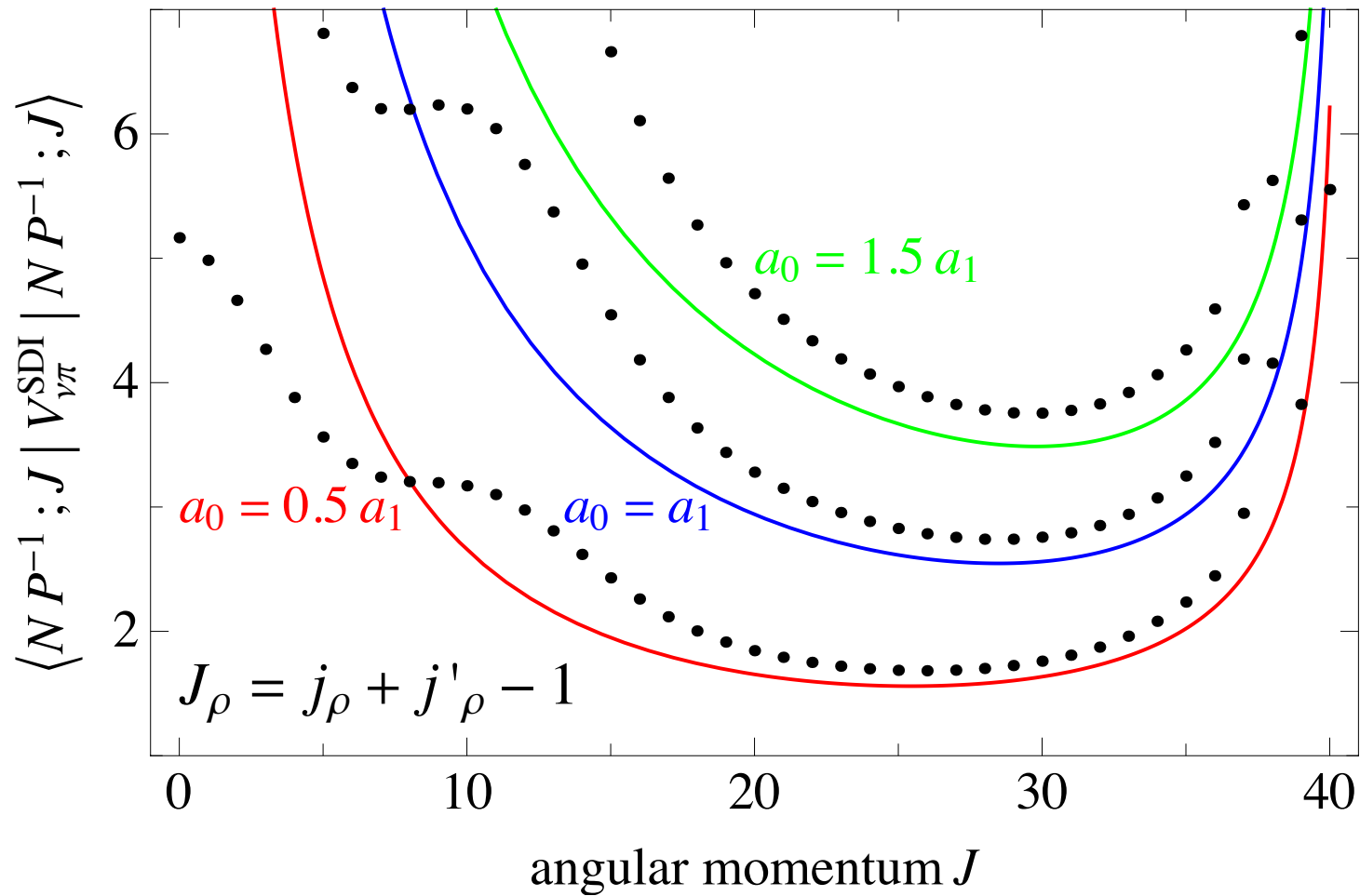
$$\varphi_\rho = (-)^{l_\rho + j_\rho}, \quad \varphi'_\rho = (-)^{l'_\rho + j'_\rho}$$

$$j_\rho = 19/2 \text{ \& } j'_\rho = 21/2 \text{ \& } J_\rho = 20$$



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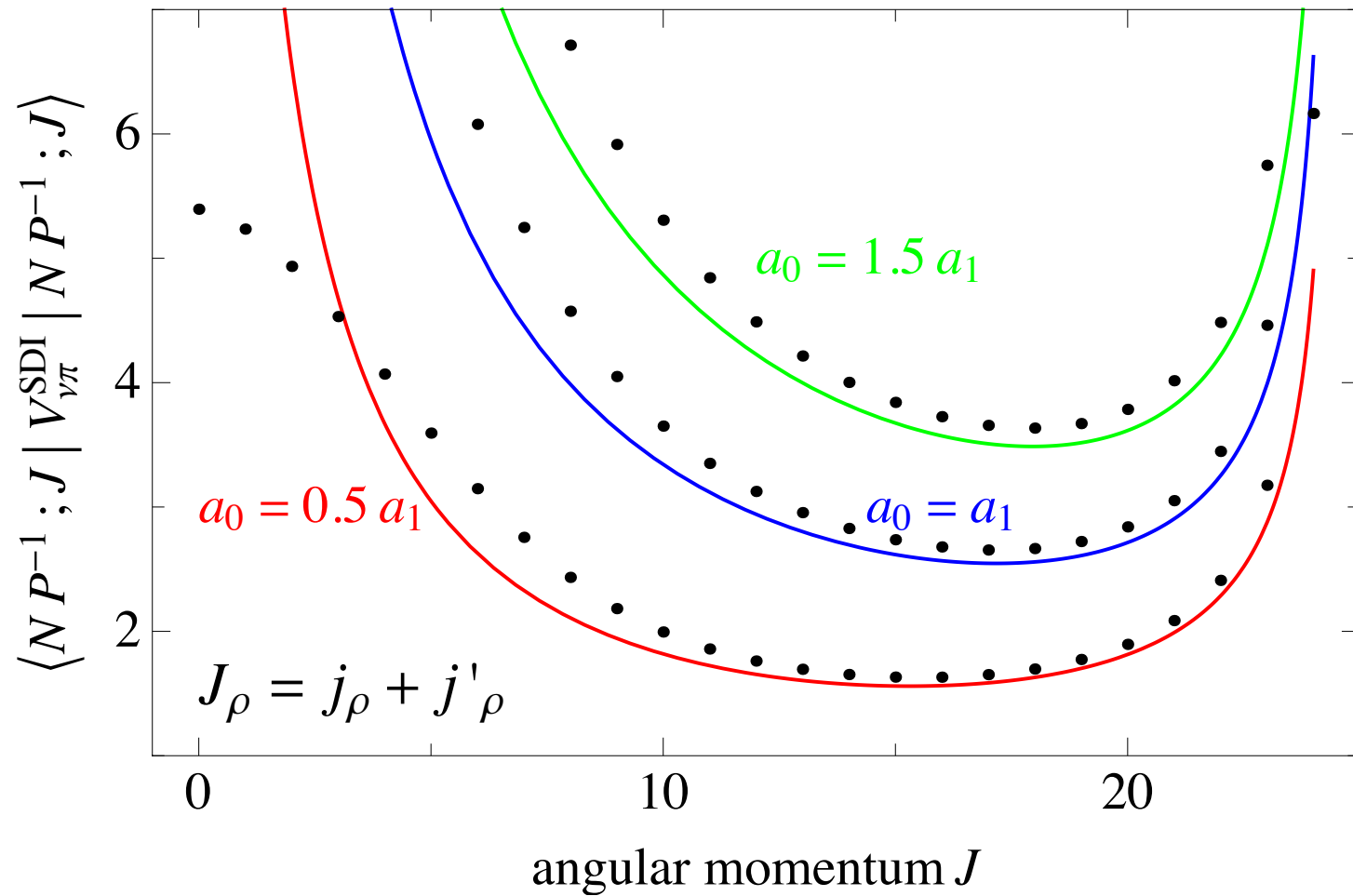
$$j_\rho = 21/2 \text{ \& } j'_\rho = 21/2 \text{ \& } J_\rho = 20$$



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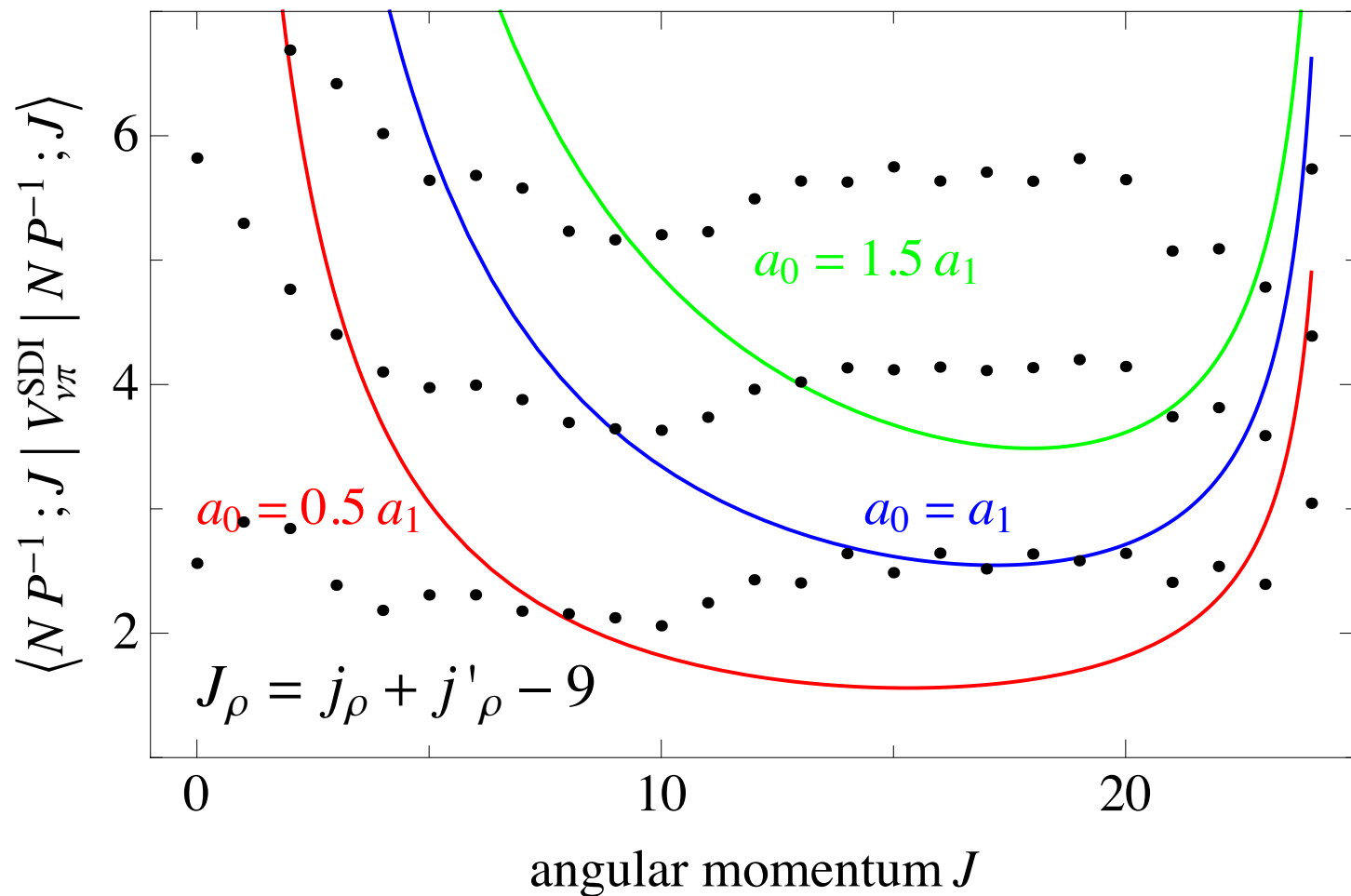


$$j_Q=11/2 \text{ \& } j'_Q=13/2 \text{ \& } J_Q=12$$



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$$j_Q = 21/2 \text{ \& } j'_Q = 21/2 \text{ \& } J_Q = 12$$



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# 1p-1h matrix element

Recall the well-known classical interpretation of a short-range nuclear matrix element.

For MSDI:

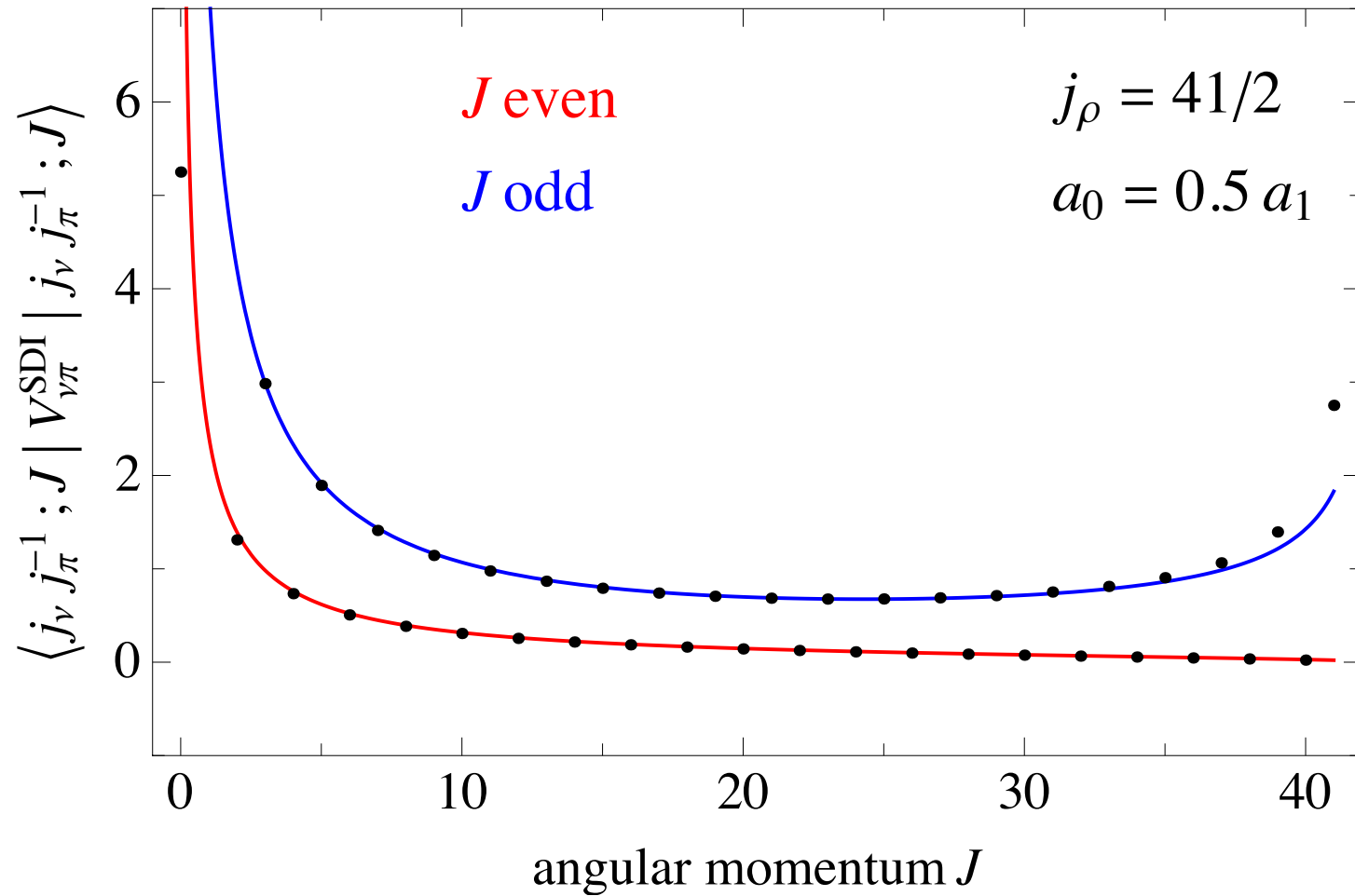
$$\langle j_\nu j_\pi^{-1}; J | \hat{V}_{\nu\pi}^{\text{MSDI}} | j_\nu j_\pi^{-1}; J \rangle \approx (b - c) + \frac{\alpha_s}{2\pi \sin \theta_{\nu\pi}} + \frac{\alpha_t}{2\pi \tan \theta_{\nu\pi}}$$

with

$$\alpha_s = (a_0 + a_1) \left[ 1 + (-)^{j_\nu + j_\pi + J} \right] + 2a_0 + (-)^{\ell_\nu + \ell_\pi + J} (a_0 - a_1)$$

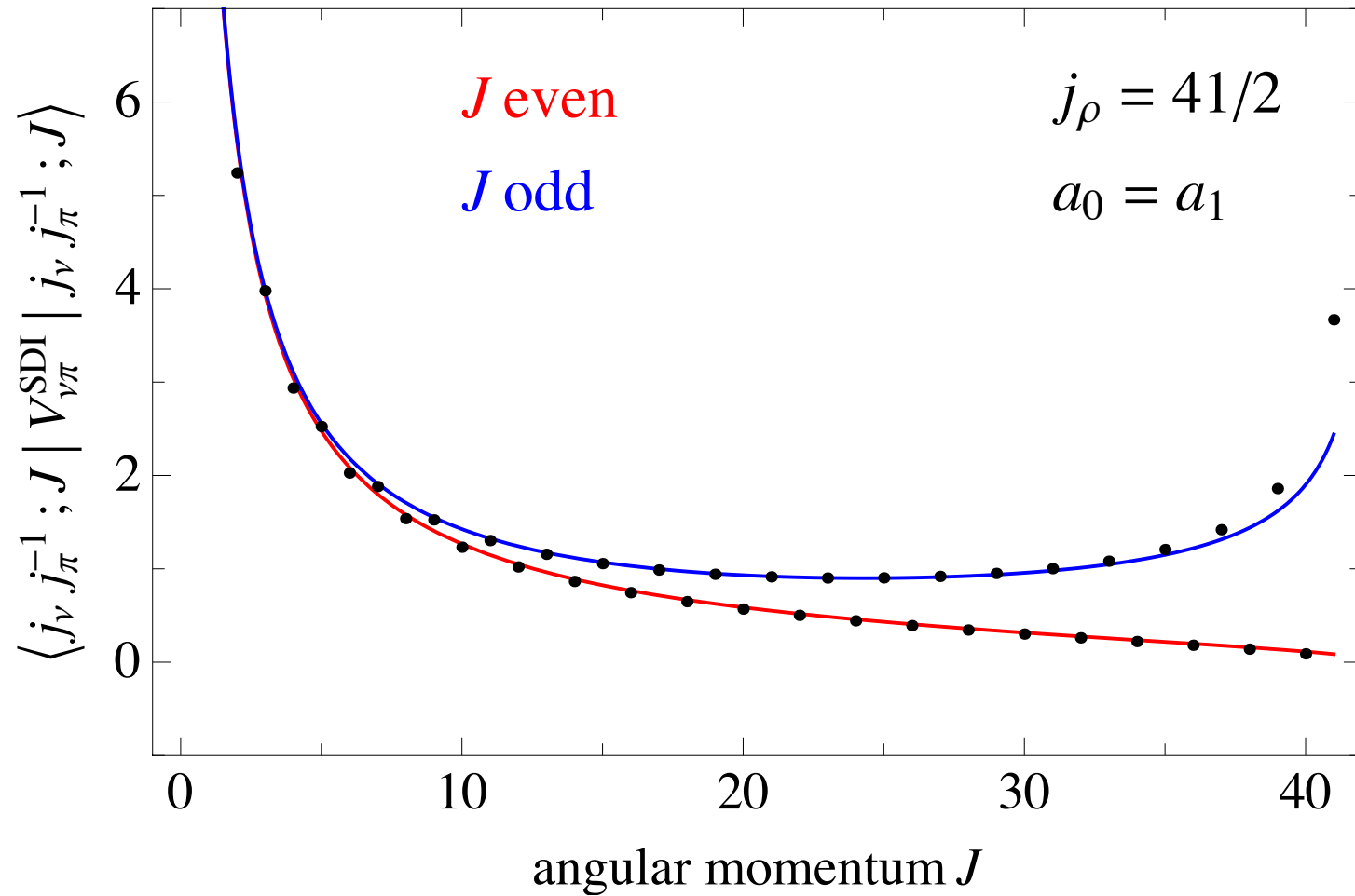
$$\alpha_t = 2(-)^{j_\nu + j_\pi + J} a_0 + (-)^{\ell_\nu + \ell_\pi + j_\nu + j_\pi} (a_0 - a_1)$$

# 1p-1h matrix element



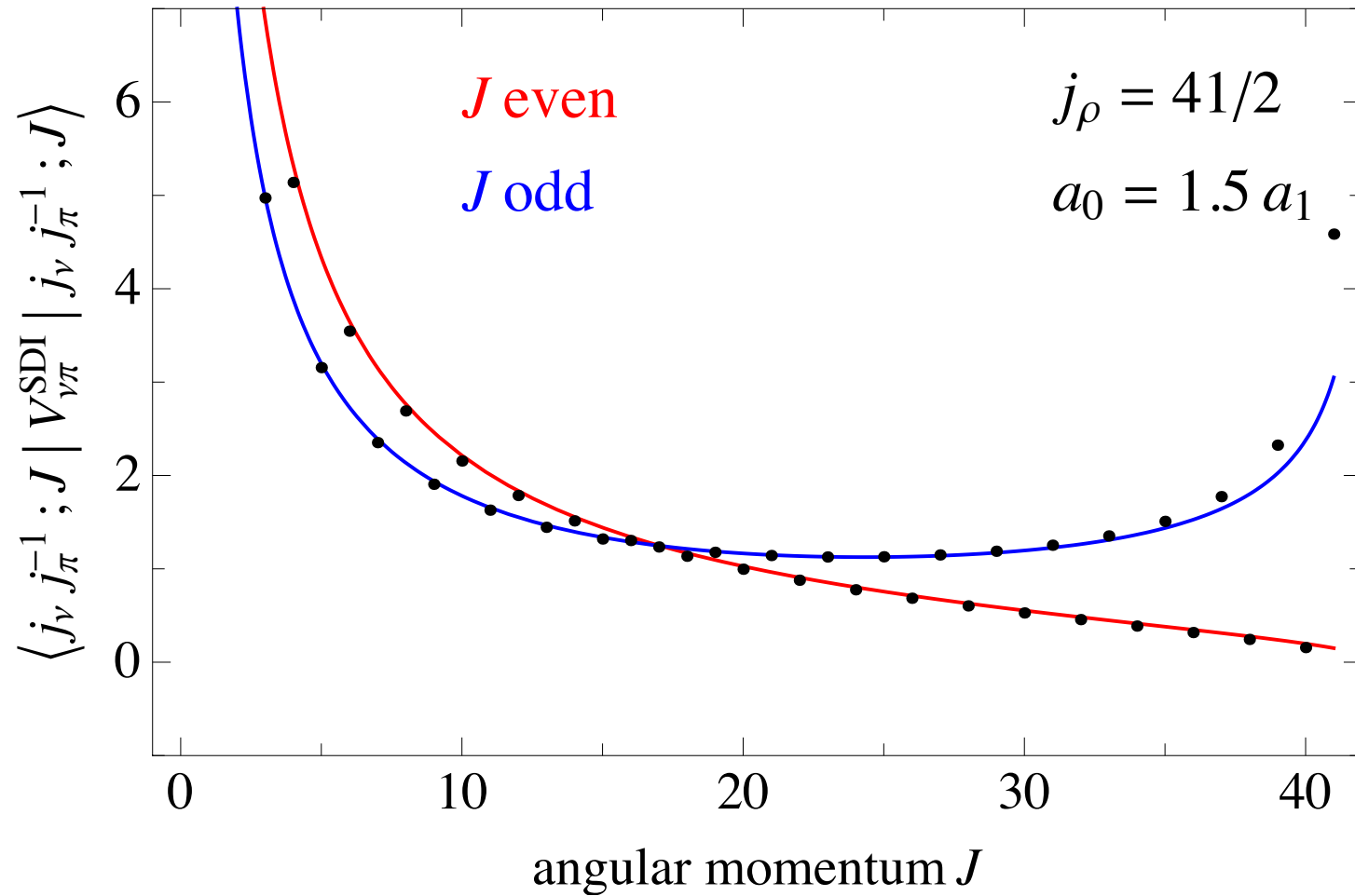
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# 1p-1h matrix element



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# 1p-1h matrix element



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# Conjecture

Assume *near-aligned* neutron particles and *near-aligned* proton holes:

$$|N\rangle \equiv |j_v j'_v j''_v \dots; J_v\rangle \quad \& \quad |P^{-1}\rangle \equiv |j_\pi^{-1} j'^{-1}_\pi j''^{-1}_\pi \dots; J_\pi\rangle$$

A neutron-proton short-range force has an interaction energy in the coupled state which can be approximated as

$$E(J) \equiv \langle NP^{-1}; J | \hat{V}_{v\pi} | NP^{-1}; J \rangle \approx \frac{\alpha_s}{2\pi \sin \theta_{v\pi}} + \frac{\alpha_t}{2\pi \tan \theta_{v\pi}}$$

The coefficients  $\alpha_s$  and  $\alpha_t$  depend on the isoscalar and isovector interaction strengths.

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# A simple application

Let's accept the expression for the shears energy

$$E(J) = \frac{\alpha_s}{2\pi \sin \theta_{v\pi}} + \frac{\alpha_t}{2\pi \tan \theta_{v\pi}}$$

The head of the shears band follows from

$$\left. \frac{\partial E}{\partial \theta_{v\pi}} \right|_{\theta_{v\pi} = \theta_{v\pi}^0} = 0 \Rightarrow \cos \theta_{v\pi}^0 = -\frac{\alpha_t}{\alpha_s} \quad \left( = \frac{a_0 - a_1}{3a_0 + a_1} \right)$$

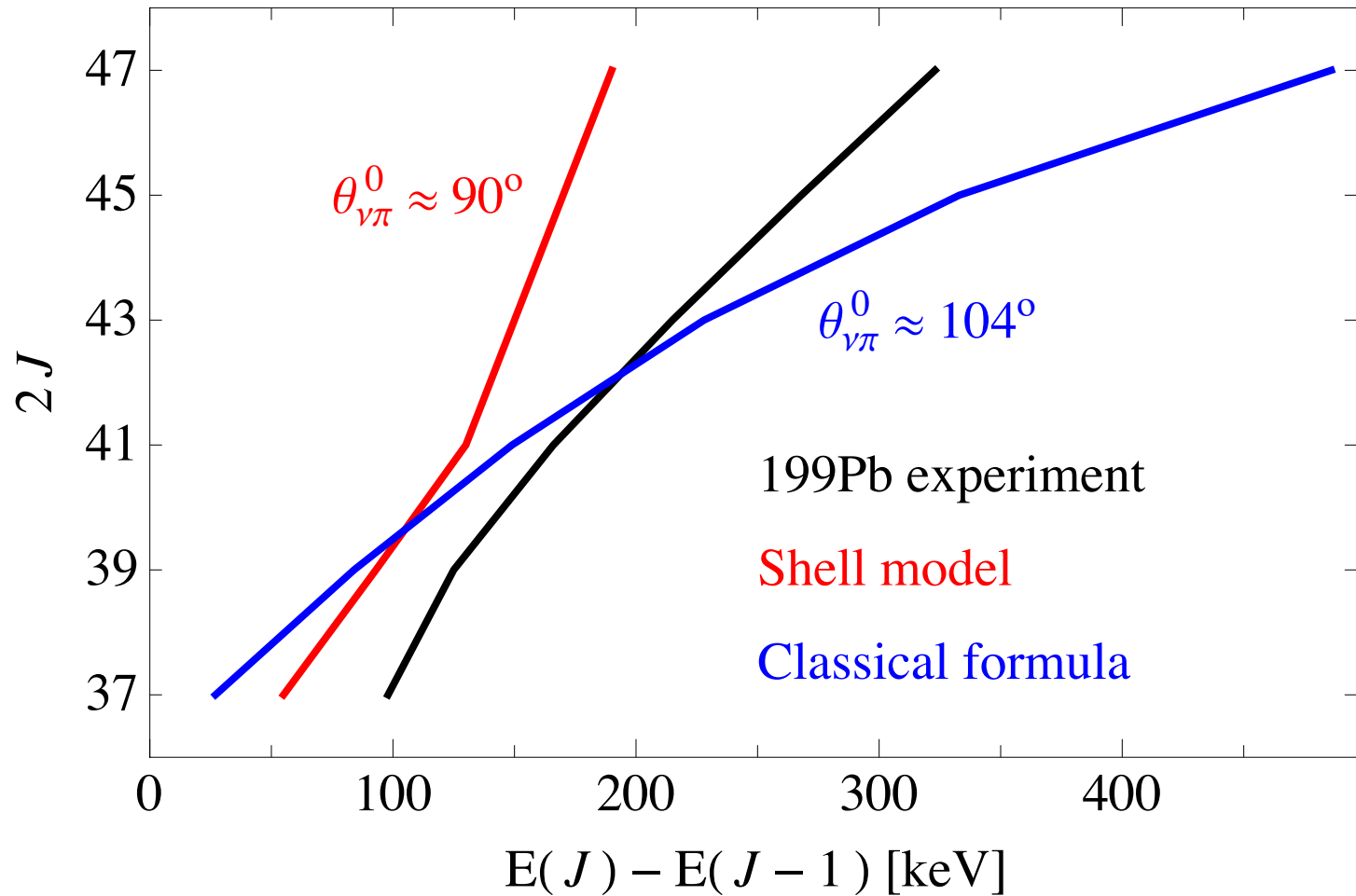
The excitation energies of the shears-band members are given as

$$E_x(J) = \frac{\alpha_s}{2\pi \sin \theta_{v\pi}} \left( 1 - \cos \theta_{v\pi}^0 \cos \theta_{v\pi} \right) - \frac{\alpha_s \sin \theta_{v\pi}^0}{2\pi}$$

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# A simple application: $^{199}\text{Pb}$



S. Frauendorf *et al.*, Nucl. Phys. A 601 (1996) 41

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# M1 transitions

Exact result for  $np-nh$  configurations:

$$B(\text{M1}; J \rightarrow J-1) = \frac{3}{4\pi} (g_{J_\nu} - g_{J_\pi})^2 \frac{(C'+1)(C'-2J_\nu)(C'-2J_\pi)(C'-2J+1)}{4J(2J+1)}$$

with  $C' = J_\nu + J_\pi + J$ .

Classical approximation:

$$B(\text{M1}; J \rightarrow J-1) \approx \frac{3}{4\pi} (g_{J_\nu} - g_{J_\pi})^2 \frac{(2J_\nu+1)^2 (2J_\pi+1)^2}{16J(2J+1)} \sin^2 \theta_{\nu\pi}$$

# M1 transitions in $^{199}\text{Pb}$

Proposed configuration of states in band 1:

$$\left[ \nu \left( 1i_{13/2}^{-3} \right)^{33/2} \times \pi \left( 1h_{9/2} 1i_{13/2} \right)^{11} \right]^{(J)}$$

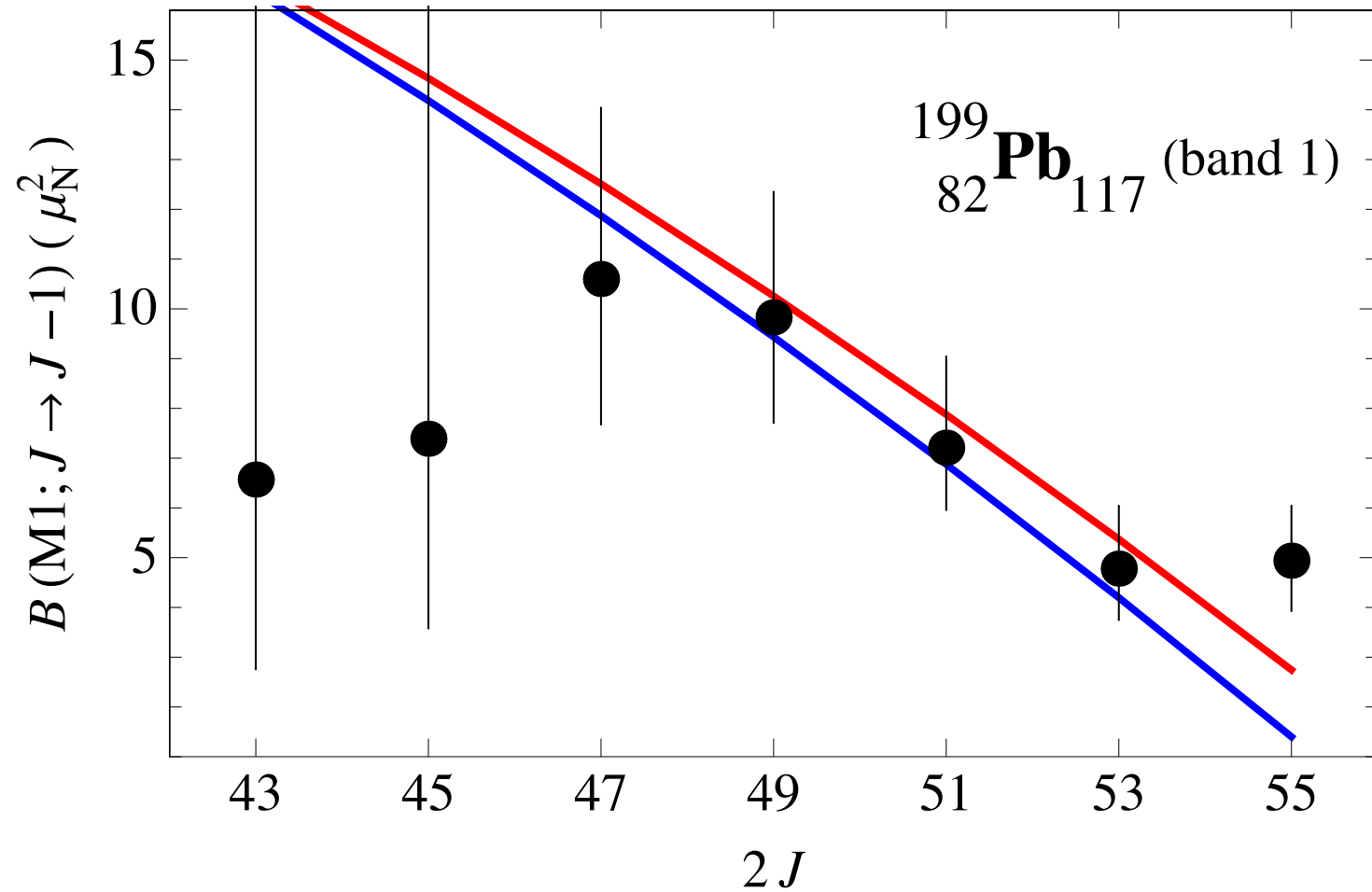
Calculation of  $g$  factors:

$$\nu \left( 1i_{13/2}^{-3} \right)^{33/2} : g_{J_\nu} = g_{1i_{13/2}}^\nu = -0.29$$

$$\pi \left( 1h_{9/2} 1i_{13/2} \right)^{(11)} : g_{J_\pi} = \frac{9}{22} g_{1h_{9/2}}^\pi + \frac{13}{22} g_{1i_{13/2}}^\pi = 1.03$$

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# M1 transitions in $^{199}\text{Pb}$



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# Conclusions and outlook

The geometry of the shears mechanism can be derived from the spherical shell model.

It establishes a connection with microscopic properties of the neutron-proton interaction and indicates the limits of application.

## Outlook:

*Proof of the  $np$ - $nh$  conjecture.*

*Analysis of other interactions (tensor...).*

*Treatment of mixed configurations.*